

L26

googl/w3LvmN

4102

4.26.2016

abhi shelat

WE HAVE BEEN SOLVING  
PROBLEM A BY SOLVING  
SMALLER VERSIONS OF  
PROBLEM A

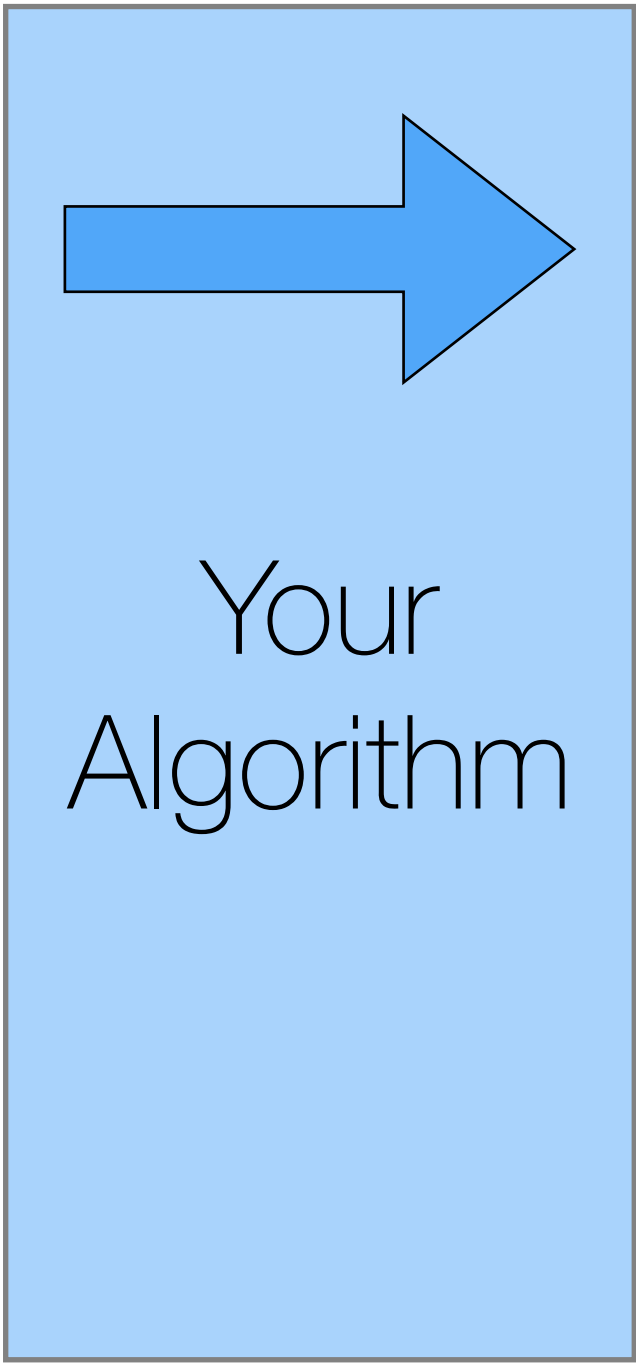
GENERAL IDEA:

SOLVE PROBLEM A BY

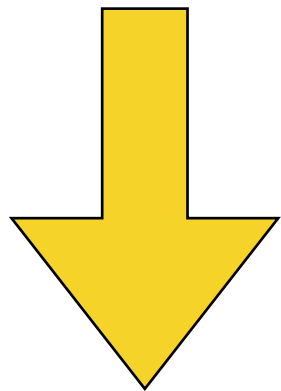
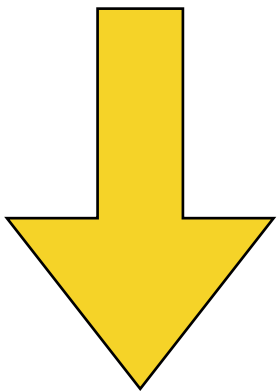
SOLVING PROBLEM B

D&C, DP, or  
Greedy  
Instance of size N

D&C, DP, or Greedy  
Instance of size  $N$



$n/2$   
D&C, DP, or Greedy Instance of size  $<N$     D&C, DP, or Greedy Instance of size  $<N$

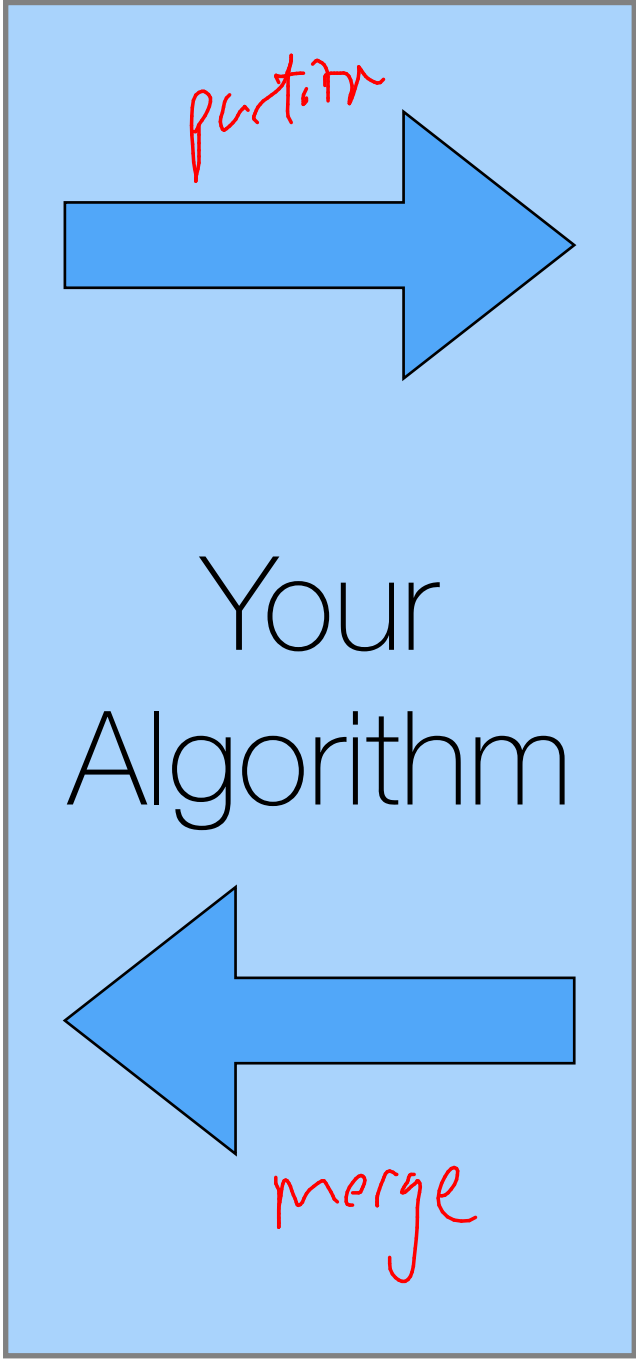


$S_L$

$S_R$

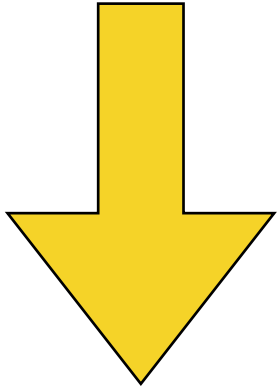
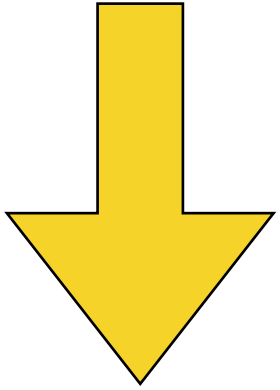
solutions to smaller instance

D&C, DP, or Greedy  
Instance of size N



D&C, DP, or Greedy  
Instance of size  $<N$

D&C, DP, or Greedy  
Instance of size  $<N$



$S_L$

$S_R$

S

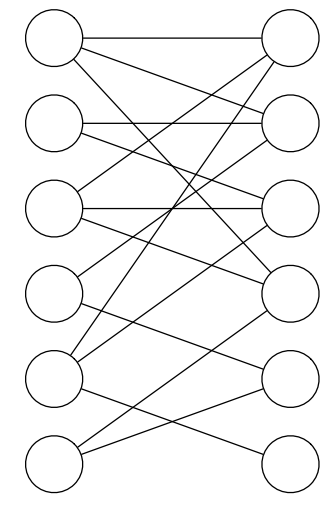
solution to original problem

solutions to smaller instance

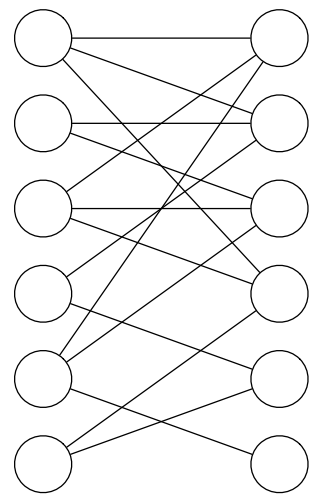


(LRE)

# Bipartite Matching Instance

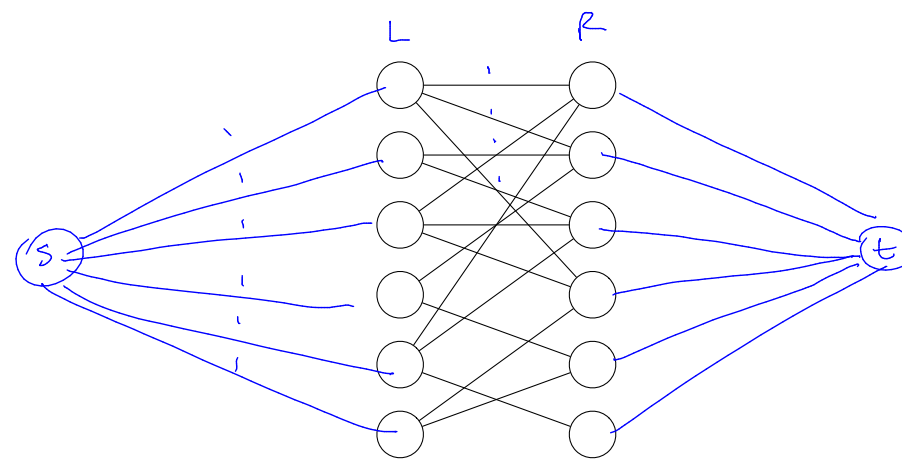


# Bipartite Matching Instance



# Max flow Instance

$(G, e)$

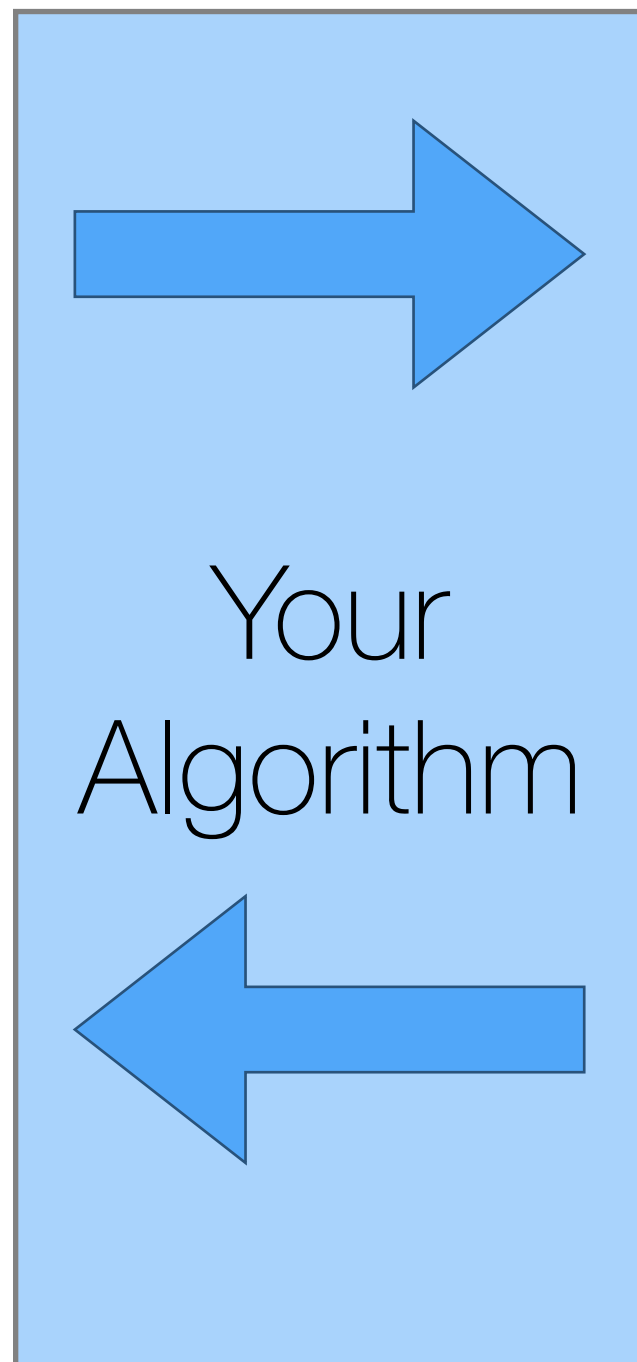




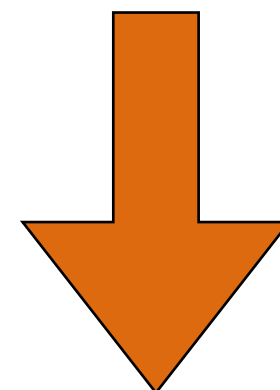
$(L,R,E)$

Instances of  
Bipartite matching

$(L,R,E)$   
Instances of  
Bipartite matching



$(G,c)$   
Instances of  
Max Flow

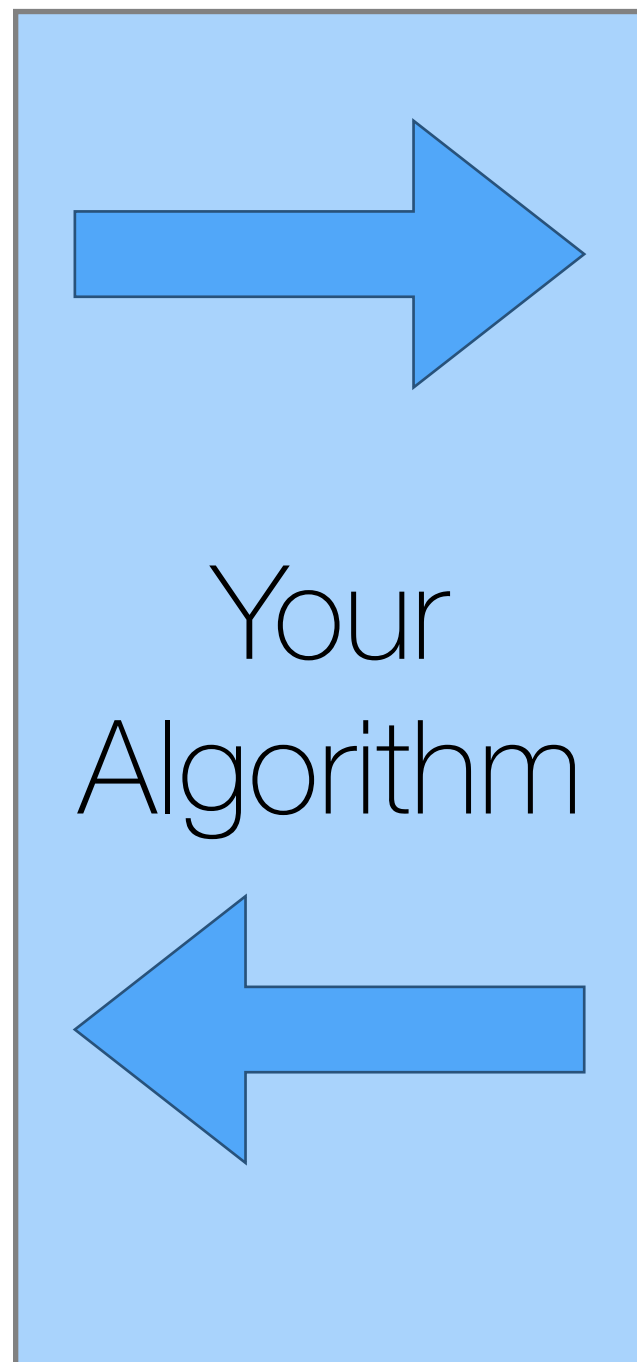


Ford-  
Fulkerson

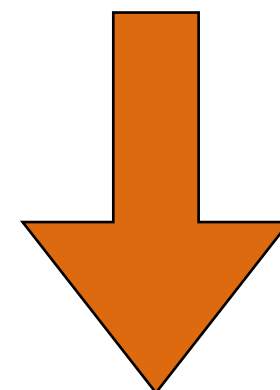
f

flow

$(L,R,E)$   
Instances of  
Bipartite matching



$(G,c)$   
Instances of  
Max Flow



Ford-  
Fulkerson

$f$

$M$

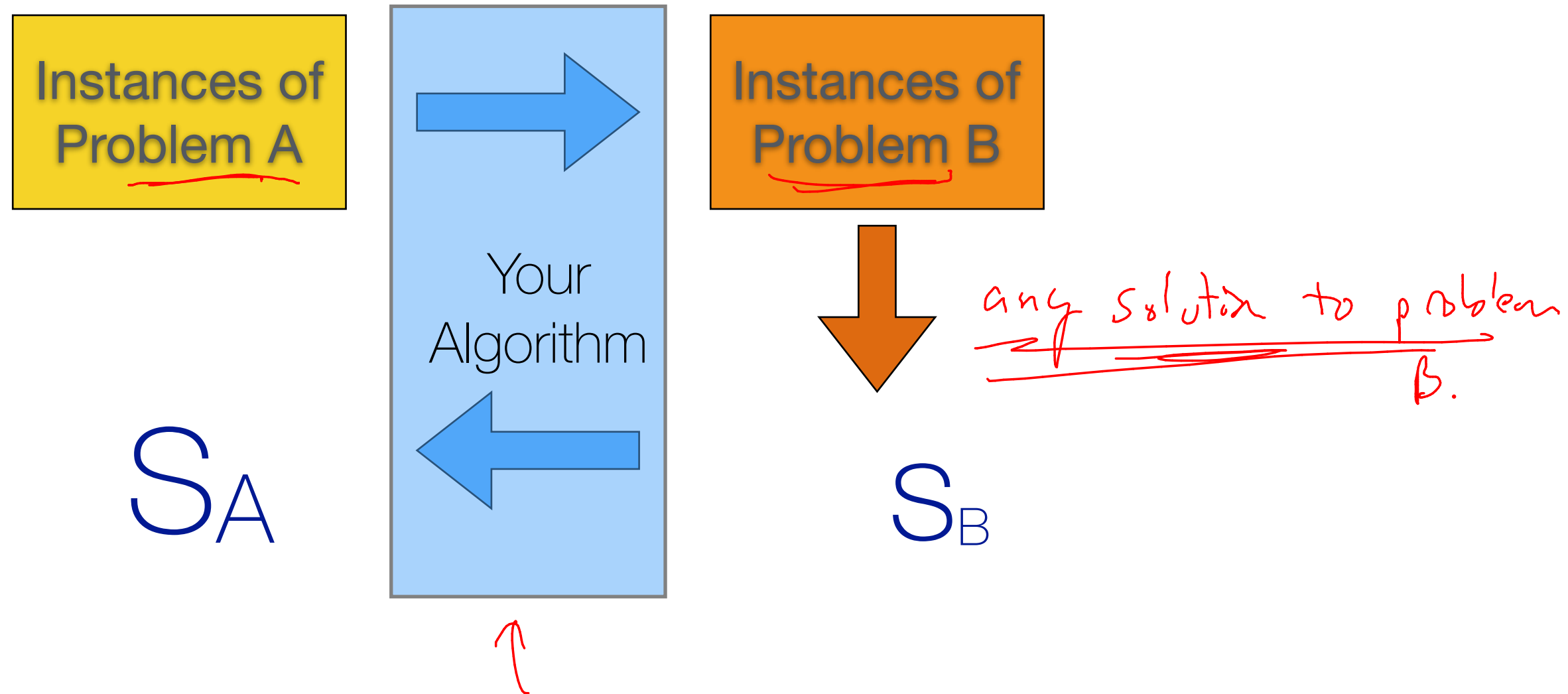
matching

flow

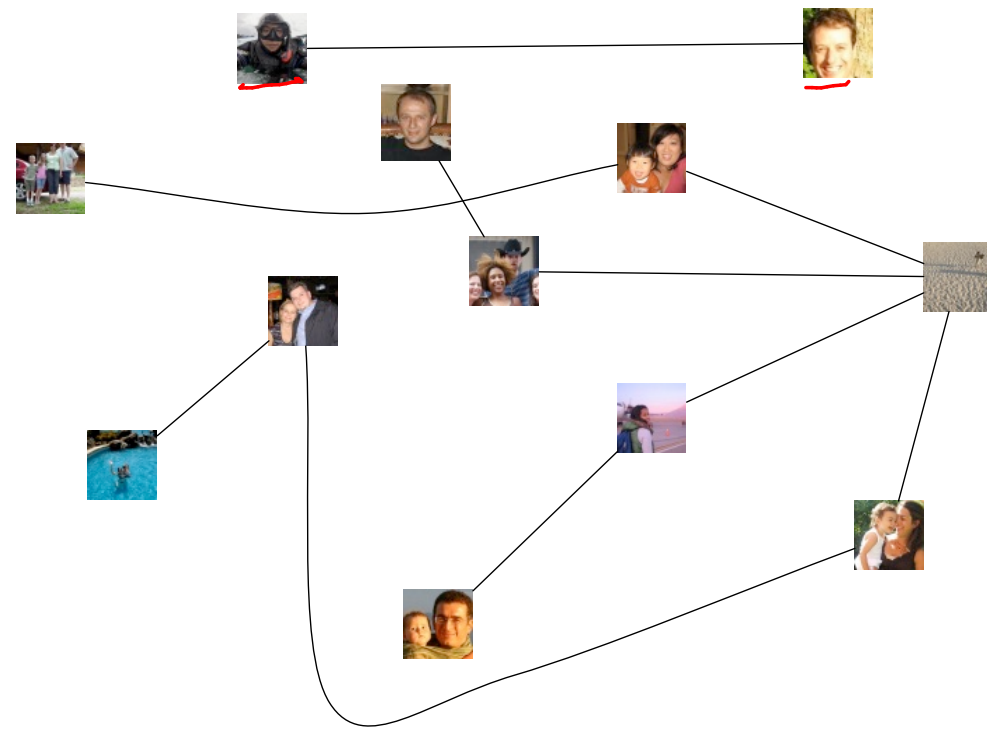
# Reduction

$$\text{PROBLEM}_a \leq_{f(n)} \text{PROBLEM}_b$$

"as hard as solving problem A."



# party problem



draw an edge b/w  
people who do not get along.

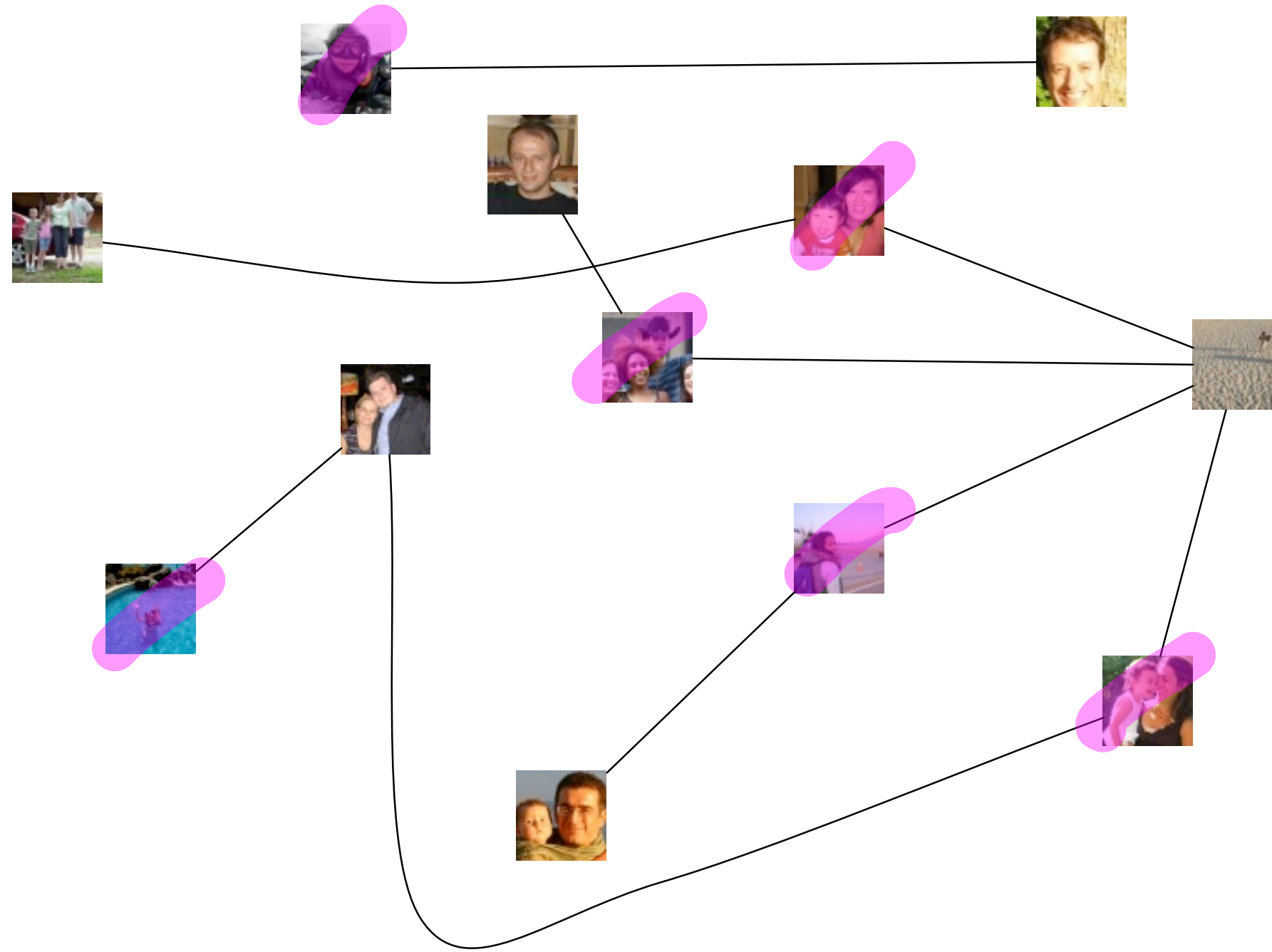
find a largest  
set of people who "get along"  
in this graph

# independent set

a set  $\underline{S} \subseteq V$  is an independent set if  
no two nodes in  $\underline{S}$  are joined by an edge.

# example

~ No two nodes  
joined by an  
edge.

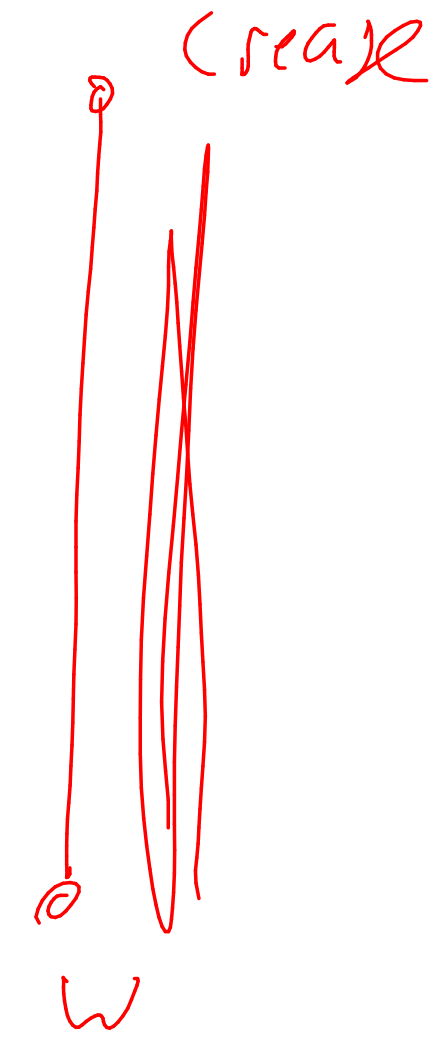
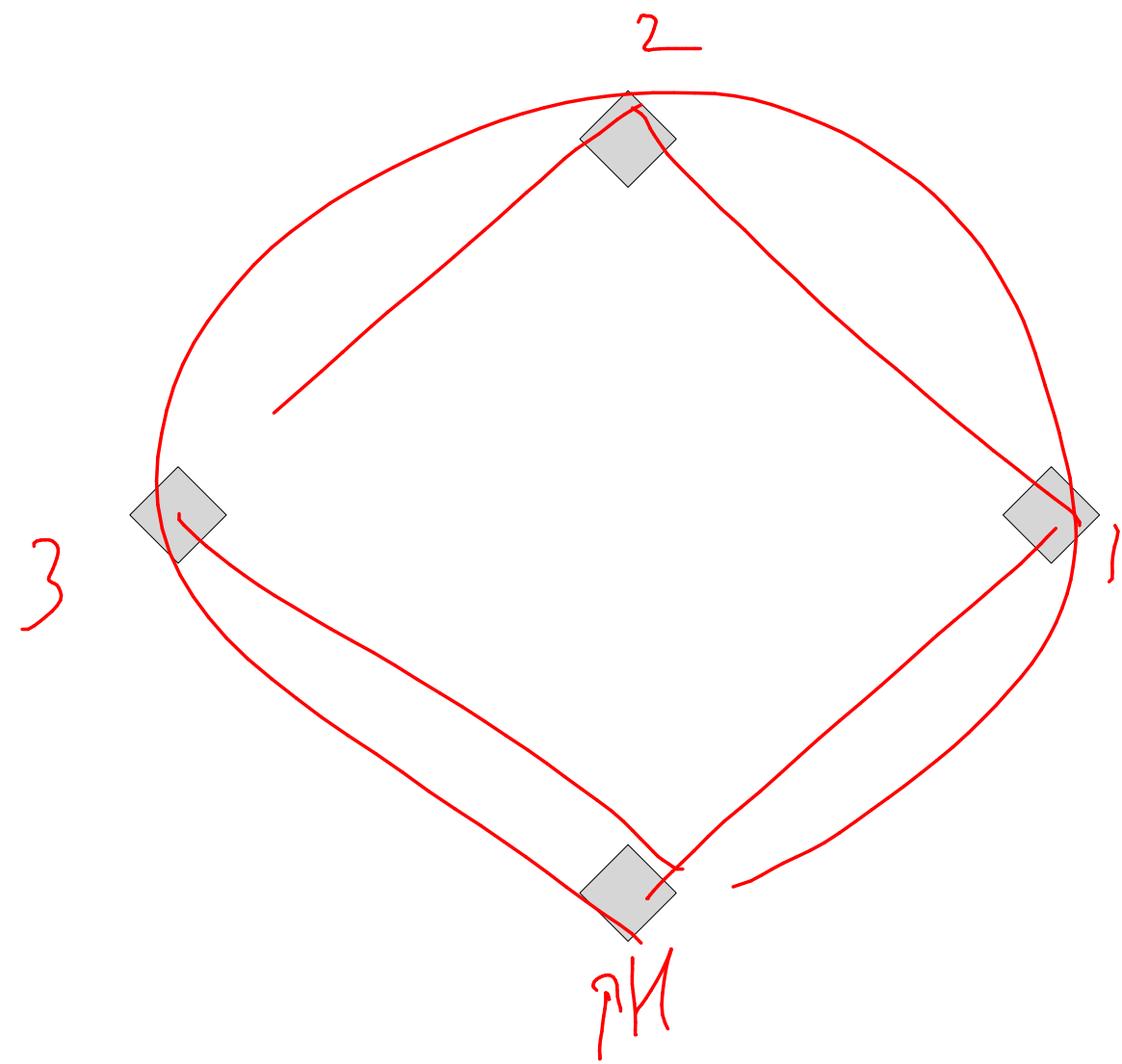


goal:

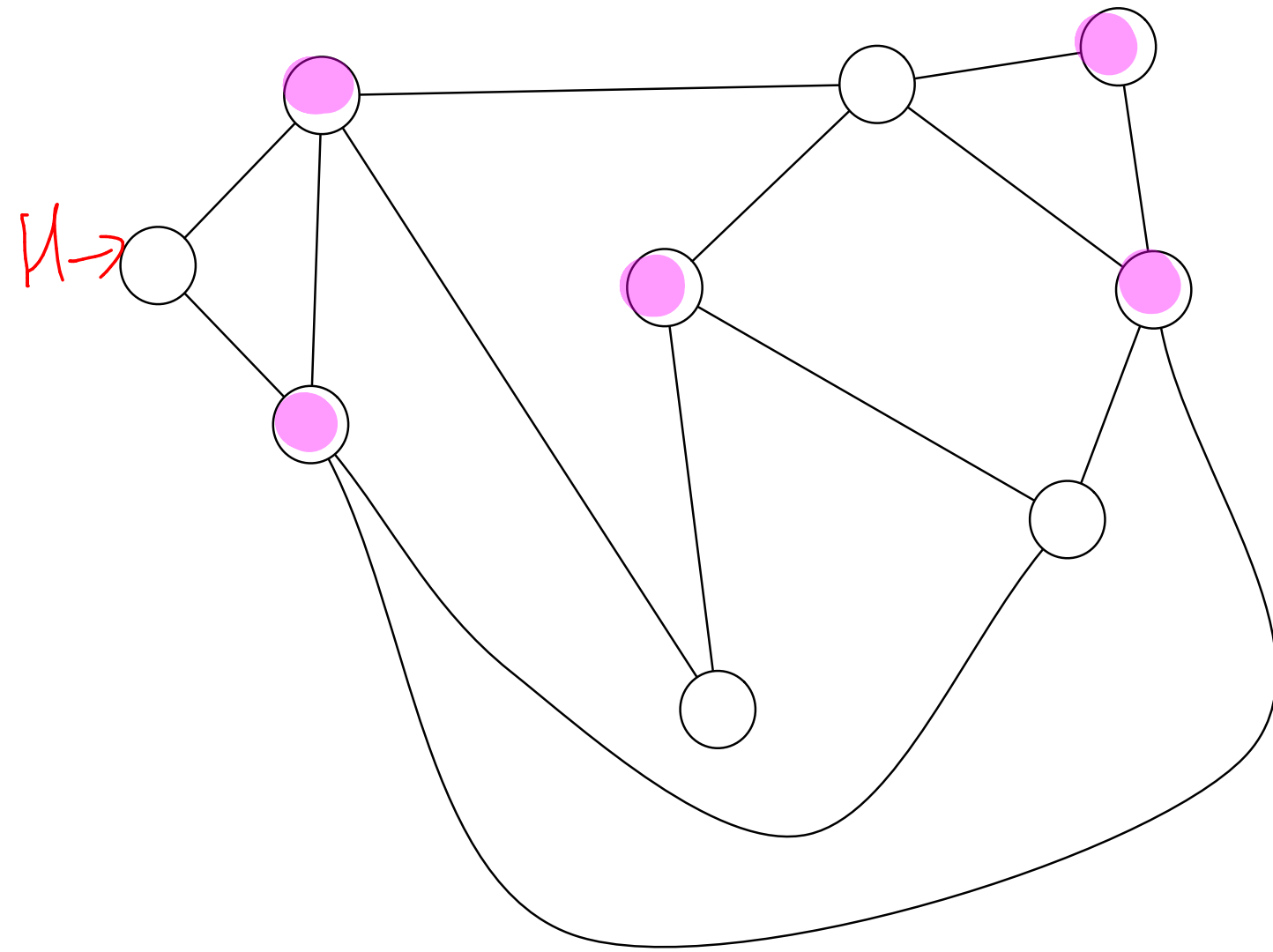
Given a graph G, find the MAX INDEPENDENT SET.



# baseball



# baseball



how to defend such a graph??

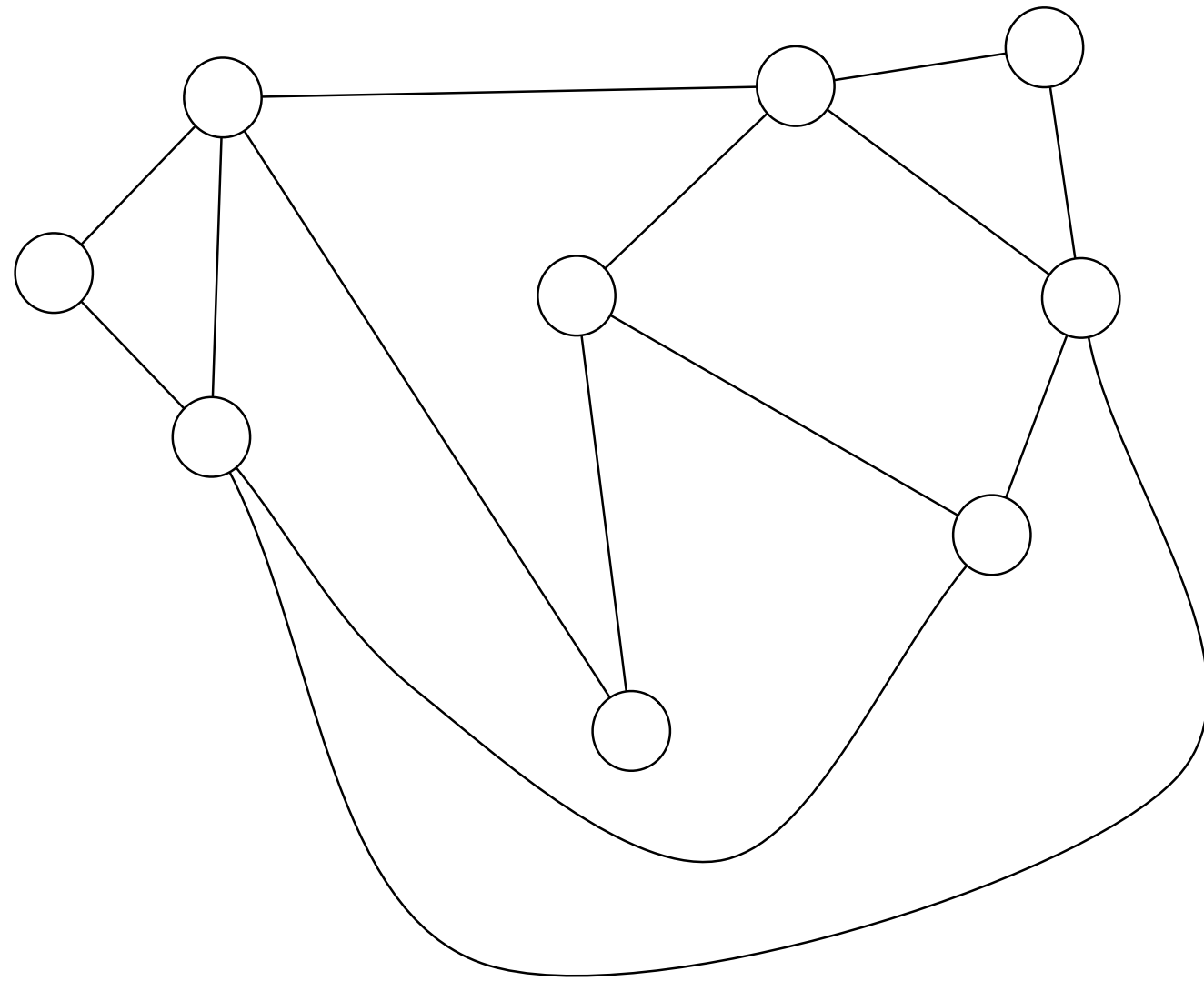
→ want some defender on every edge

Smallest such set

A **vertex cover** of a graph is a subset  $S \subseteq V$  such that  
for each edge  $e = (x, y) \in E$ ,  
either  $x \in S$  or  $y \in S$ .

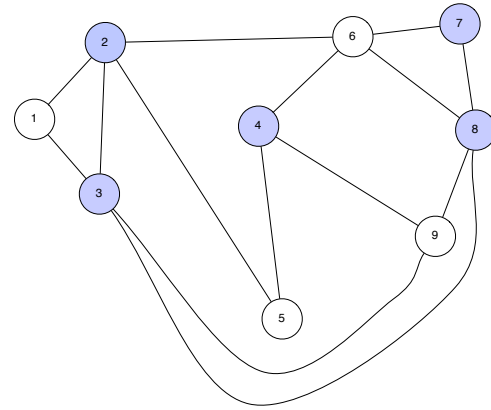
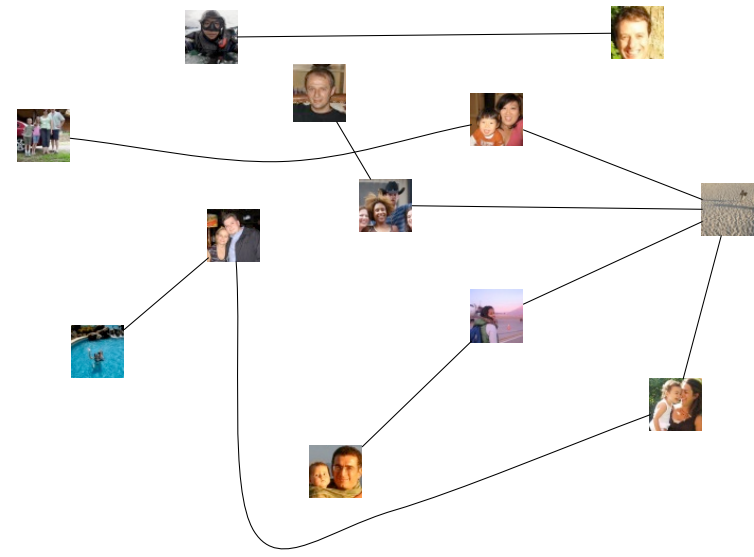
a **vertex cover** of a graph is a set  $C \subseteq V$   
such that  $\forall (x, y) \in E$   
either  $x \in C$  or  $y \in C$

example



goal:

given a graph  $G$ , find the minimum size vertex cover for  $G$ .



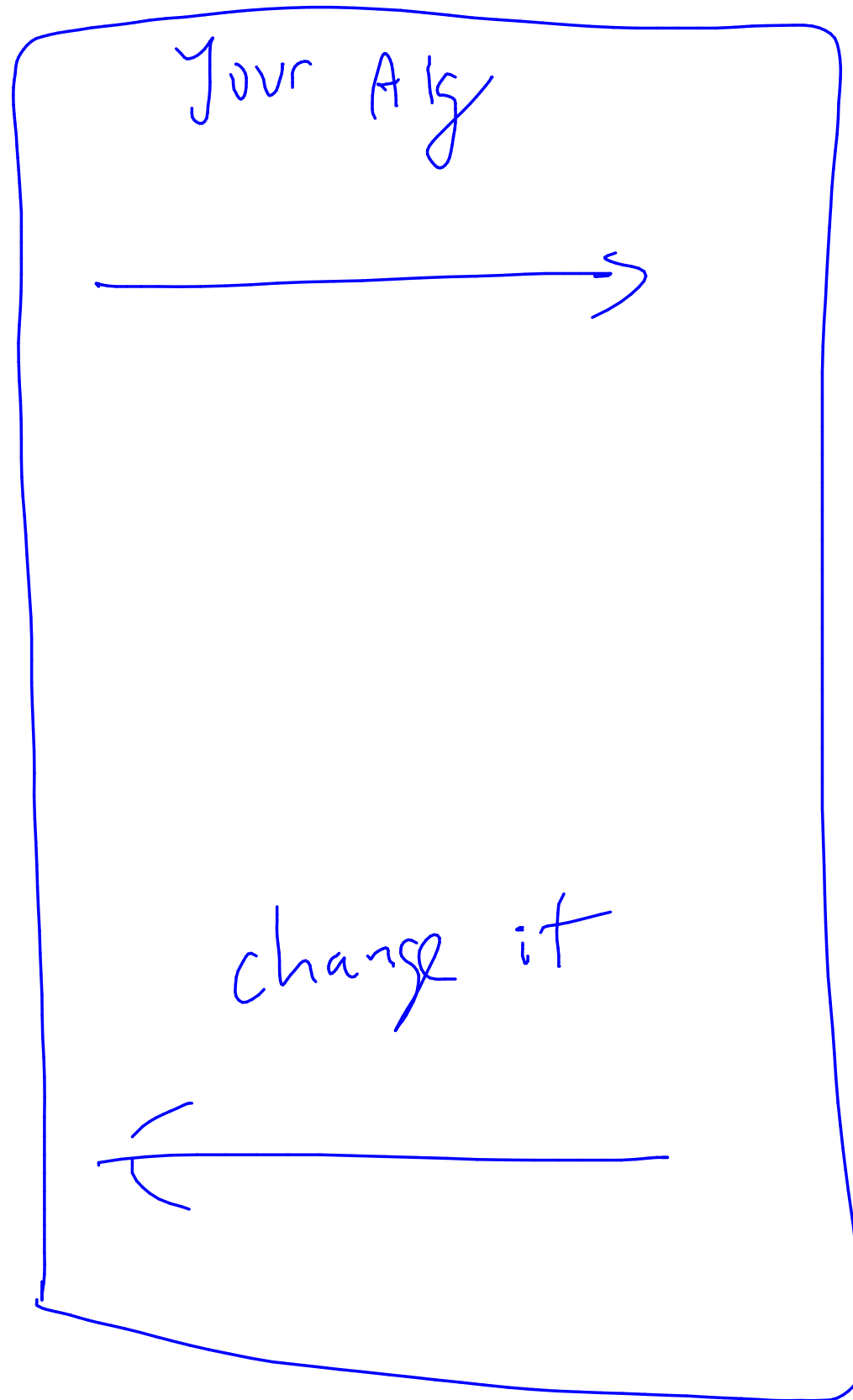
① VC problem is  
"AS HARD AS"  
the INDSET  
problem.

$$\underline{\text{MAXINDSET}} \leq_{O(V)} \underline{\text{MINVERTEXCOVER}}$$

A solution to VC can be  
used to solve INDSET.

G  
INDset

Solution for  
INDset.



$O(V)$  time

Vertex cover

any solution

S

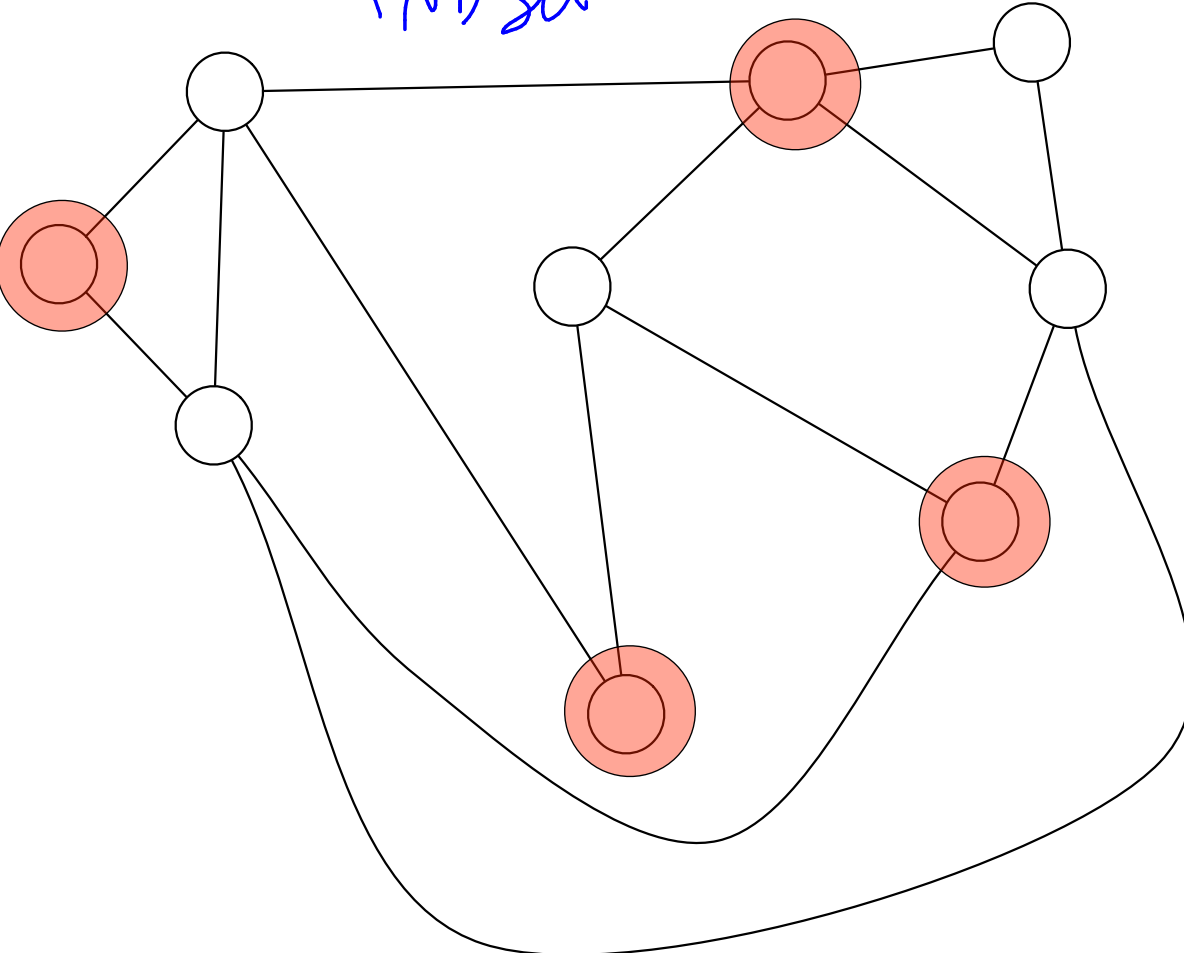


Thm:

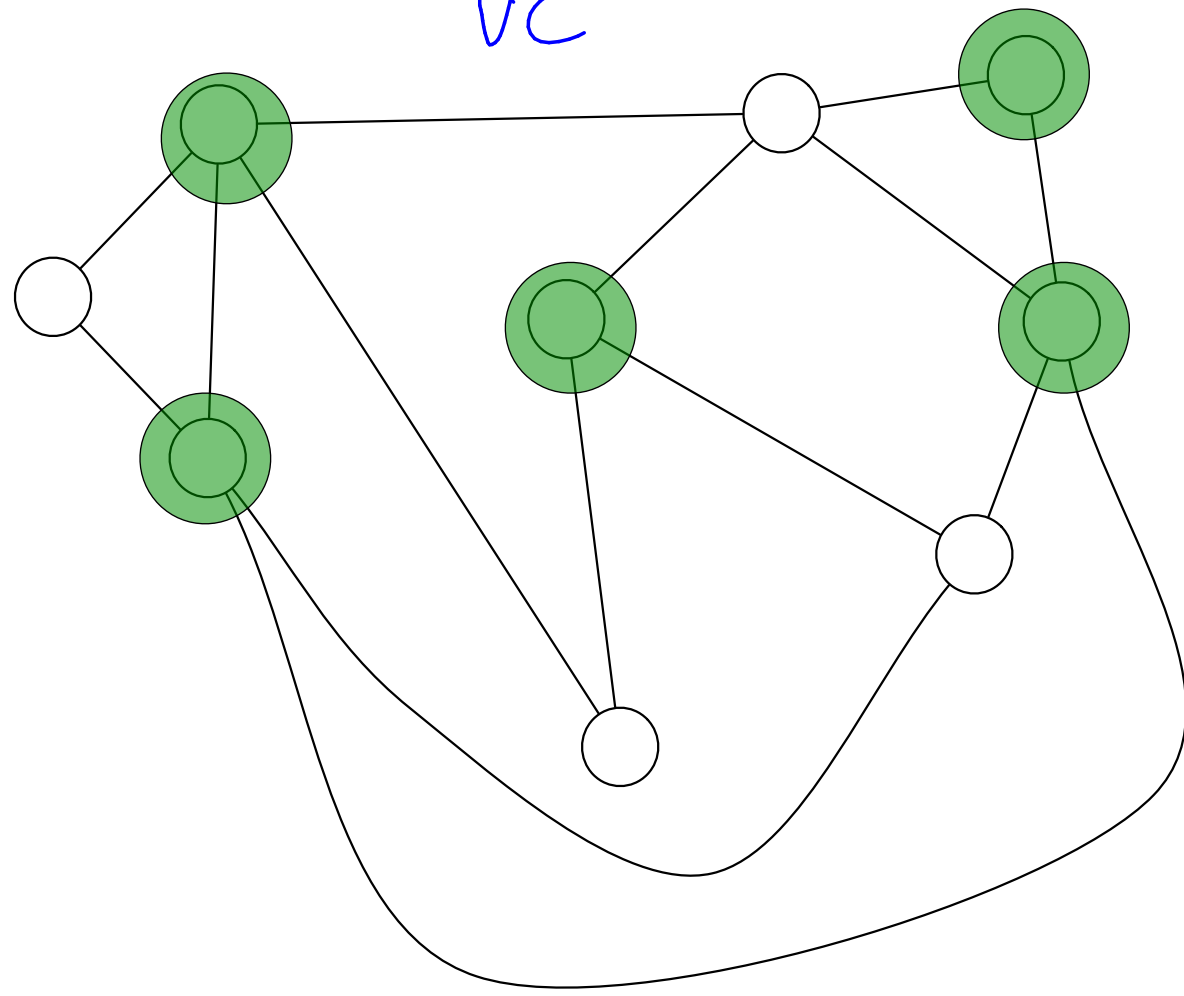
set  $S$  is VC of graph  $G \iff V-S$  is an inset of  $G$ .

**Thm:** set  $S$  is an independent set of  $G$  iff  $V-S$  is a vertex cover.

IND set



VC



**Thm:** set  $S$  is an independent set of  $G$  iff  $V-S$  is a vertex cover.

· suppose  $S$  is an independent set.

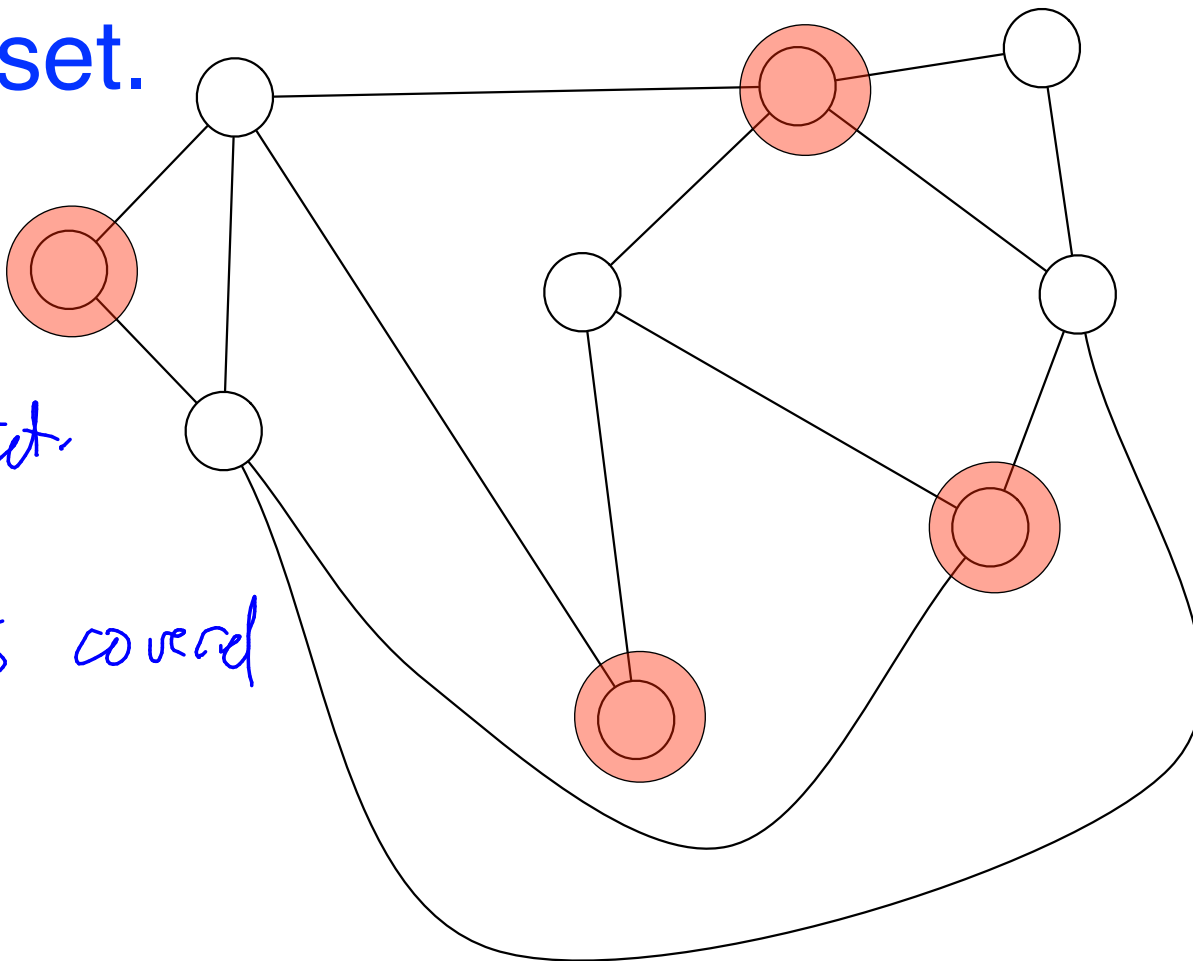
Consider any edge  $e = (x, y) \in E$ .

①  $x \in S \Rightarrow y \notin S$  b/c  $S$ 's ind set.

$\Rightarrow y \in V-S$ , edge  $e$  is covered

②  $x \notin S \Rightarrow x \in V-S$ , edge  $e$  is covered.

$\Rightarrow V-S$  covers every edge in  $G$  and so  $V-S$  is a VC.



We want to show that  $V-S$  is a vertex cover.

**Thm:** set  $S$  is an independent set of  $G$  iff  $V-S$  is a vertex cover.

suppose  $V-S$  is a vc.

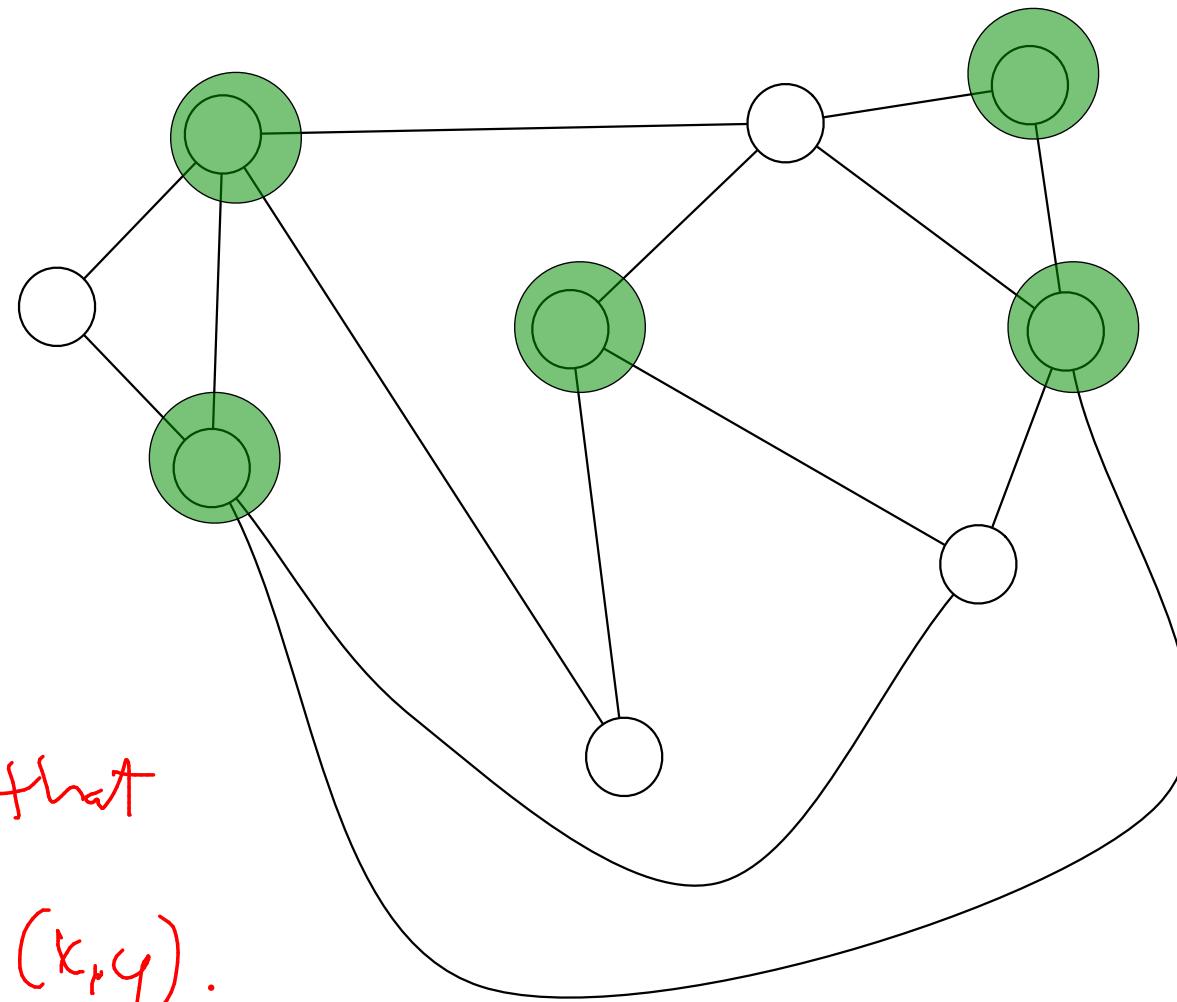
Consider an  $x \in S$ , and

consider any edge  $(x,y) \in E$ .

$\Rightarrow$  since  $x \notin V-S$ , but  $V-S$  is a vertex cover, this implies that  $y \in V-S$  to cover edge  $(x,y)$ .

$\Rightarrow y \notin S$ . This holds for any  $v \in S$  and any edge  $(v,w) \in E$ .

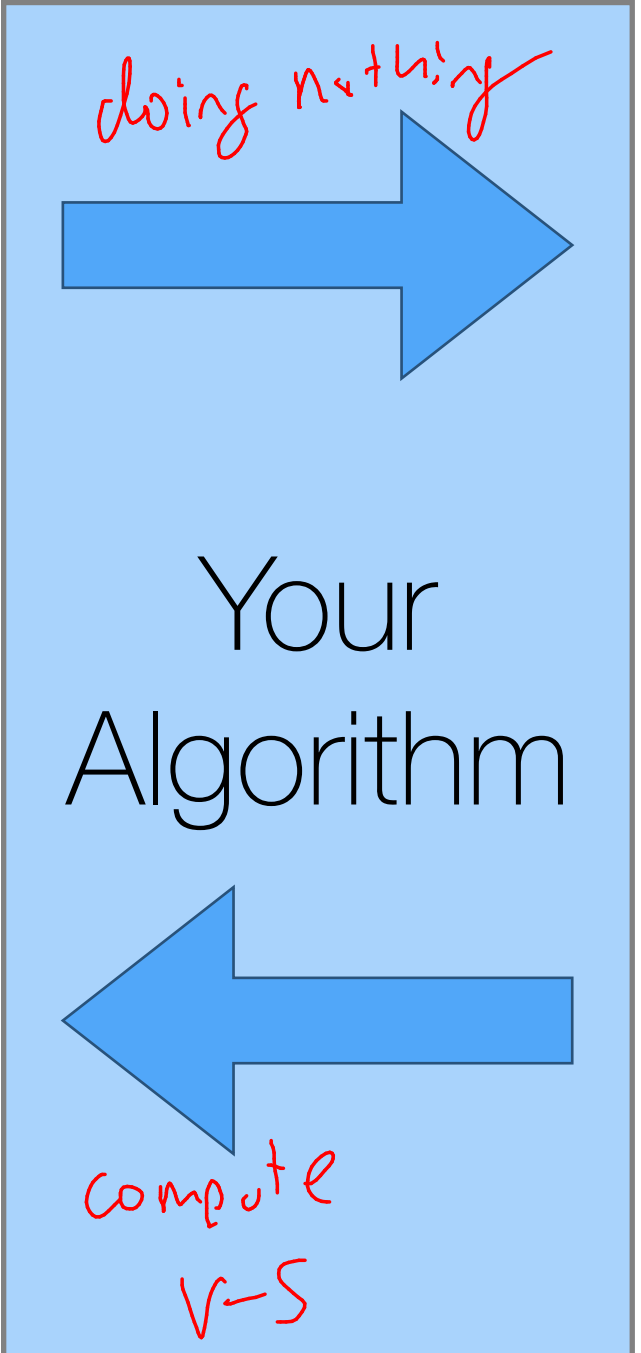
$\Rightarrow S$  must be an ind. set.



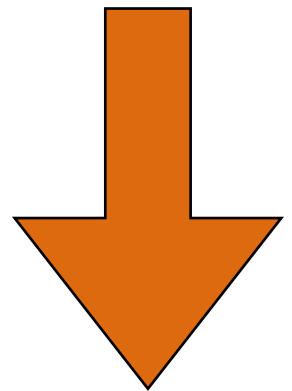
Need to show that  $S$  is an IND Set.

$VC \leq INDSET$   
 $INDSET \leq VC$

(G)  
Instances of  
MinVertex Cover



(G)  
Instances of  
MaxIndSet



Using ANY  
solver for NP  
set.

V-S

Vertex Cover

S

Ind Set

$\Theta(V)$  time

# 3sat problem

input: formula in 3CNF form: Logical AND of clauses of 3-variables ORs of

$$\underbrace{(a \text{ or } b \text{ or } c)}_{\text{classes}} \text{ AND } (\bar{a} \text{ or } d \text{ or } f) \text{ AND } (\text{---})$$

$\uparrow$  variables

output: "Assignment  $A: V \rightarrow \text{True or false}$  s.t. the formula is TRUE.

# 3sat example

$$(x \vee y \vee z) \wedge (x \vee \bar{y} \vee y) \wedge (u \vee y \vee \bar{z}) \wedge (z \vee \bar{x} \vee u) \wedge (\bar{x} \vee \bar{y} \vee \bar{z}) = \phi \quad \text{5 clause formula}$$

$$A(x) \rightarrow T$$

$$(y) \rightarrow T$$

$$(u) \rightarrow T$$

$$(z) \rightarrow F$$

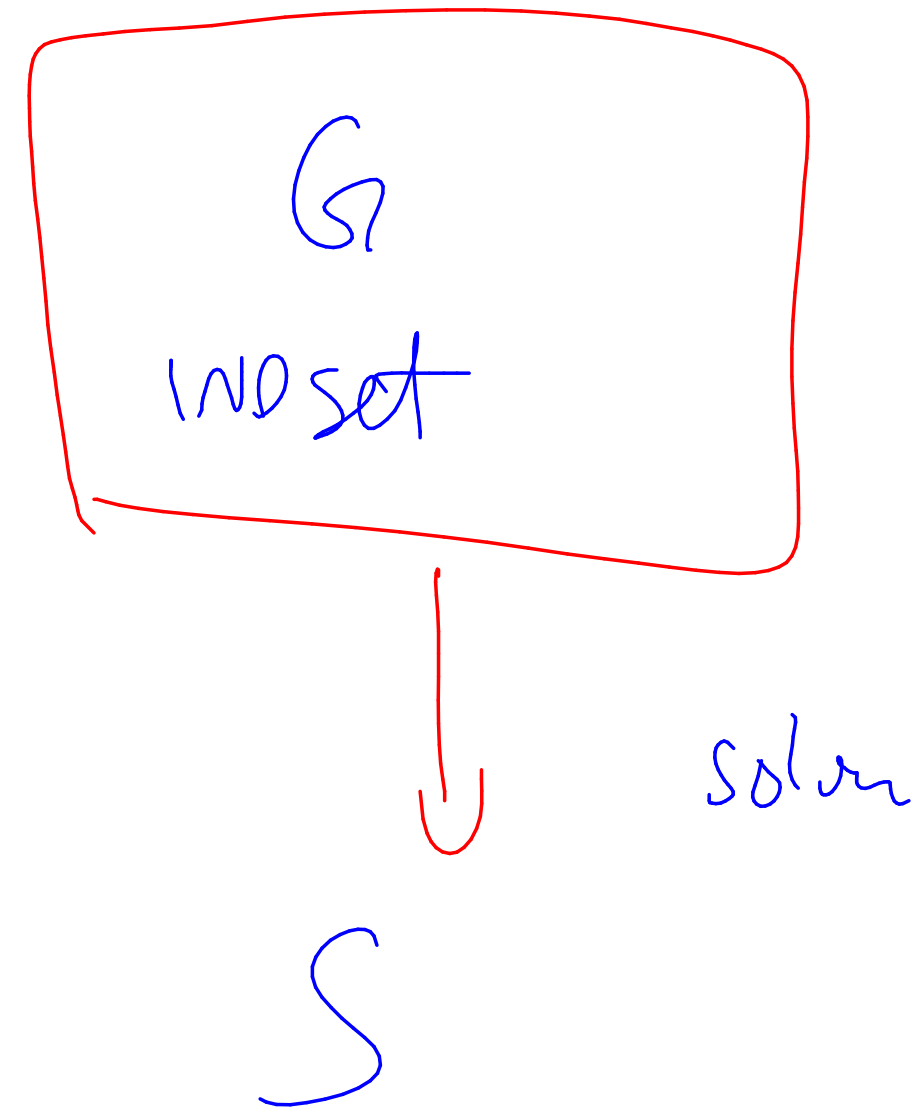
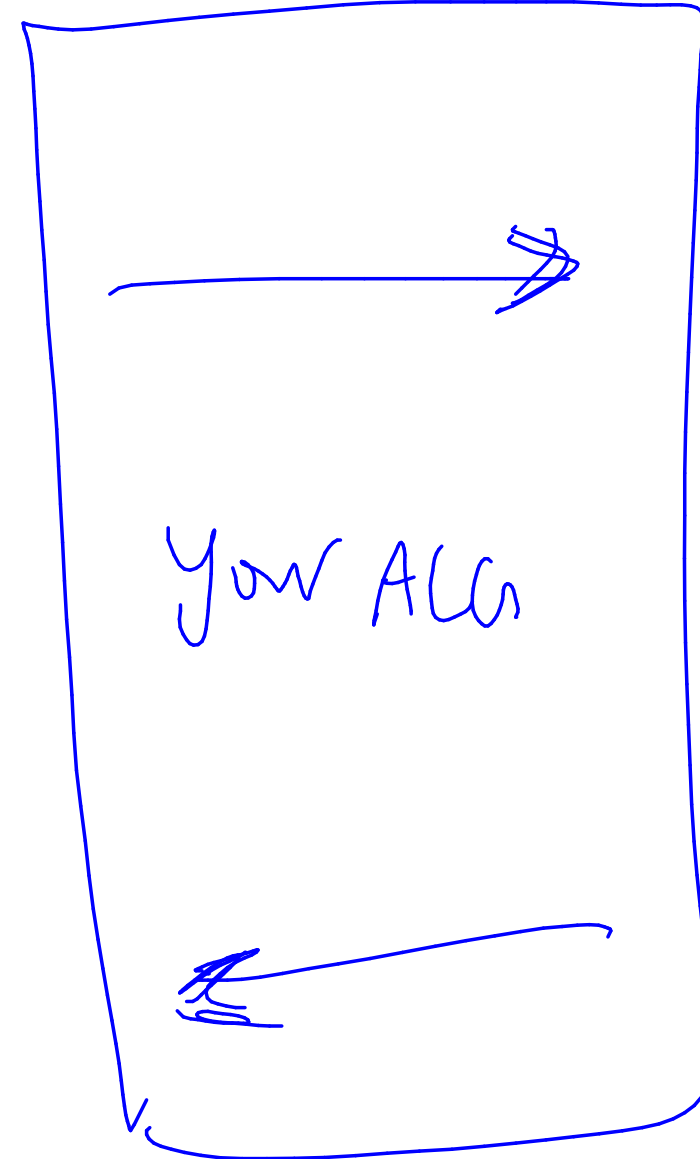
$$\underline{\exists\text{SAT}} \leq_p \underline{\text{INDSET}}$$

$$(x \vee y \vee z) \wedge (x \vee \bar{y} \vee y) \wedge (u \vee y \vee \bar{z}) \wedge (z \vee \bar{x} \vee u) \wedge (\bar{x} \vee \bar{y} \vee \bar{z})$$

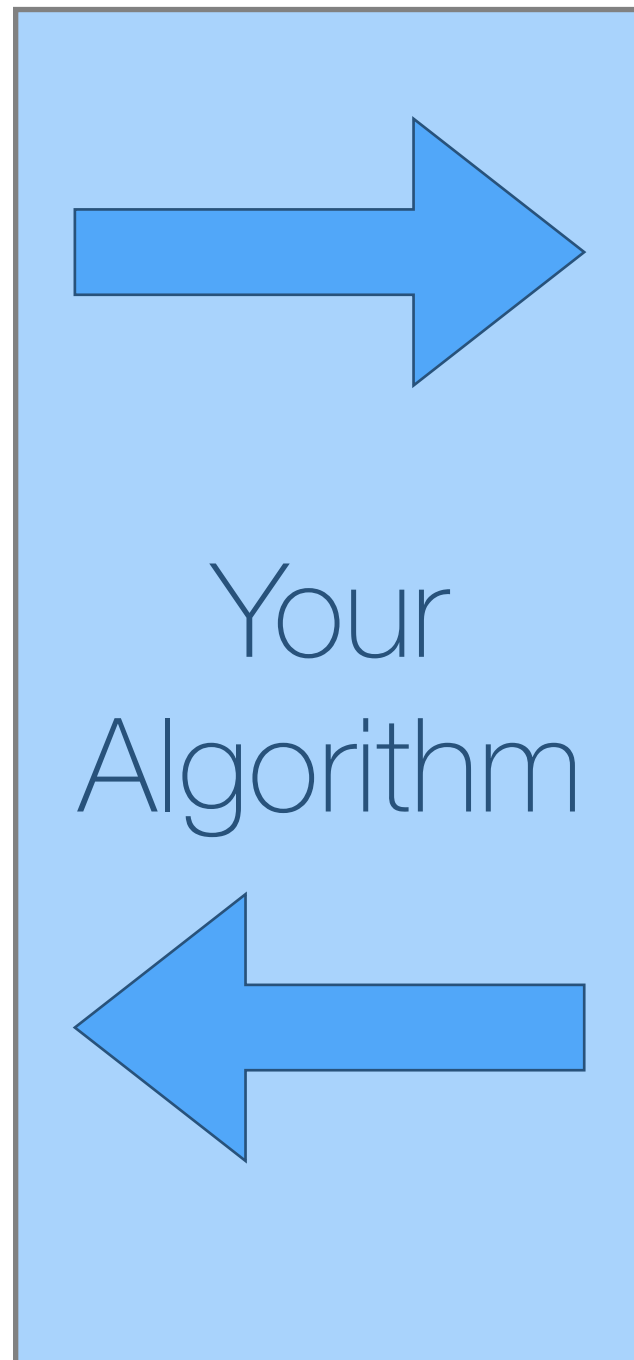
what must we do to?

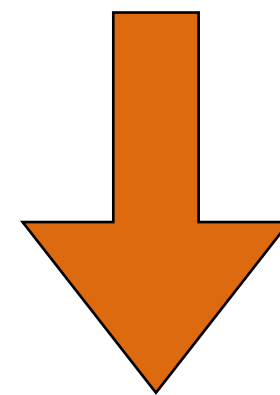
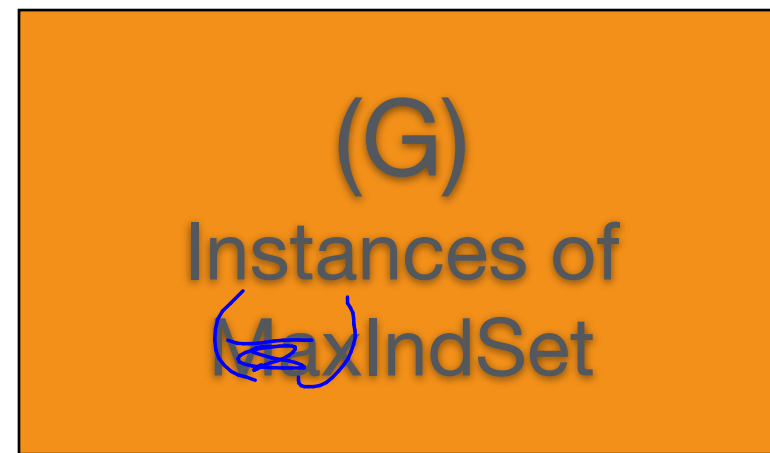
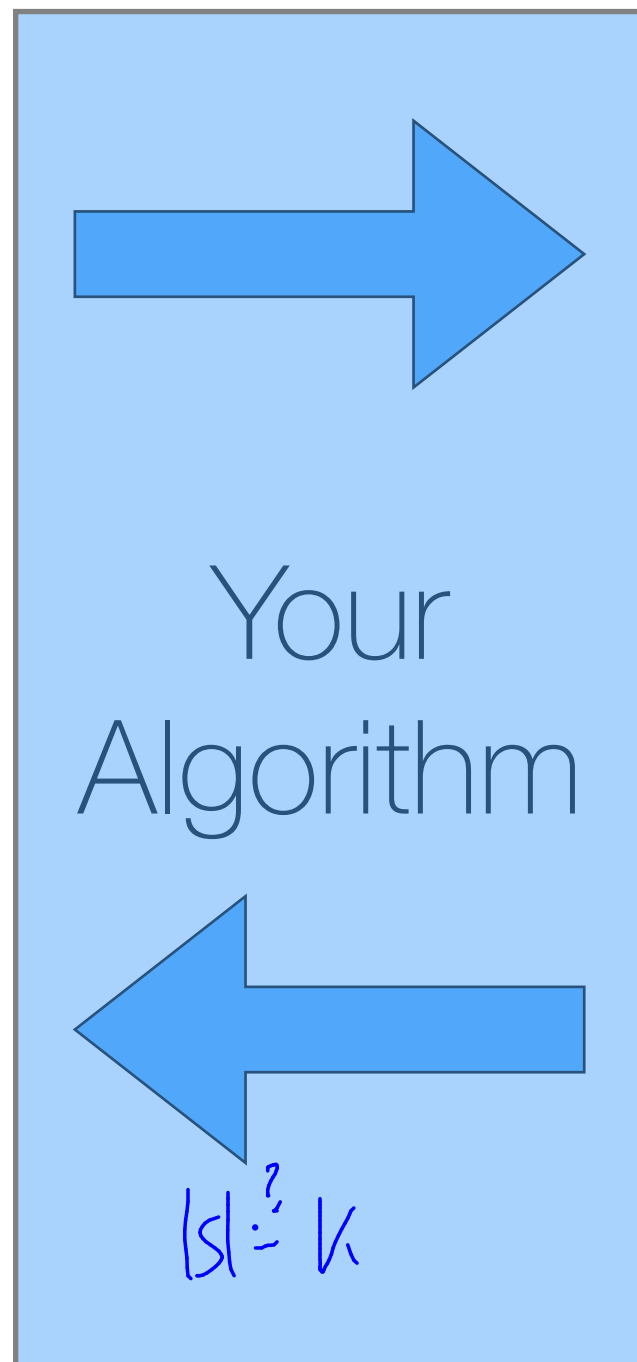
$\exists\text{SAT } \phi$

Assignment  $A$









$S$

Ind Set

A

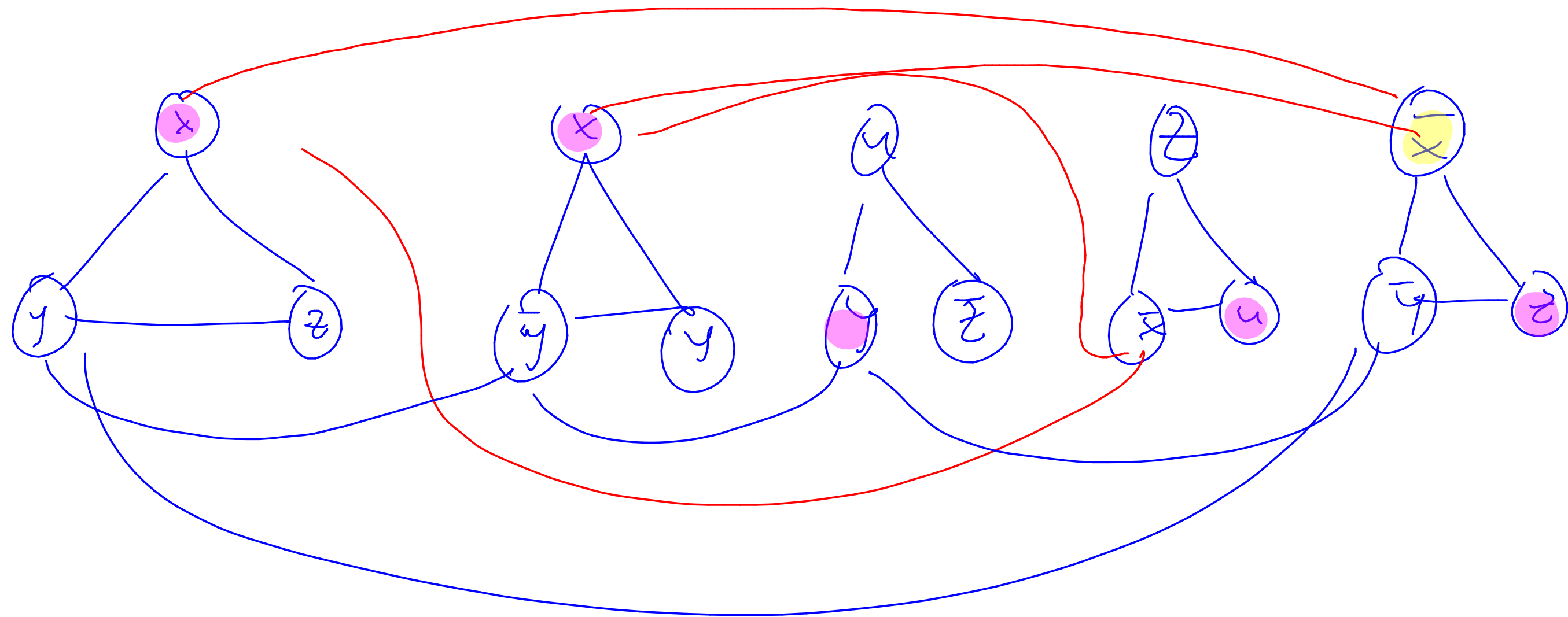
A satisfying  
assignment



*gadgets*

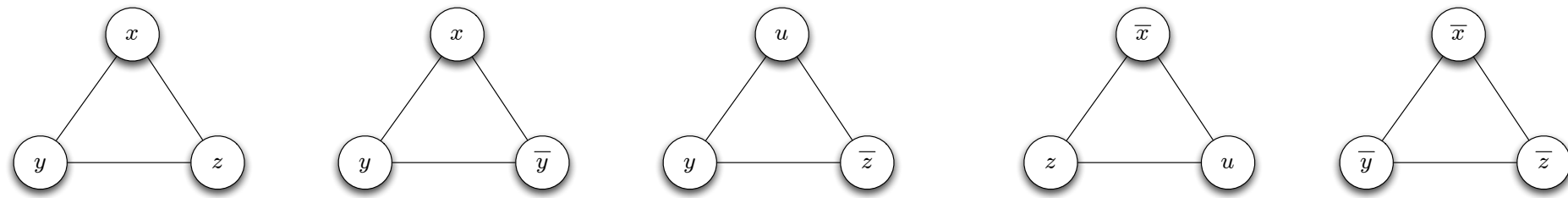
$3SAT \leq_p \underline{INDSET}$

$(x \vee y \vee z) \wedge (x \vee \bar{y} \vee y) \wedge (u \vee y \vee \bar{z}) \wedge (z \vee \bar{x} \vee u) \wedge (\bar{x} \vee \bar{y} \vee \bar{z})$



# $3\text{SAT} \leq_p \text{INDSET}$

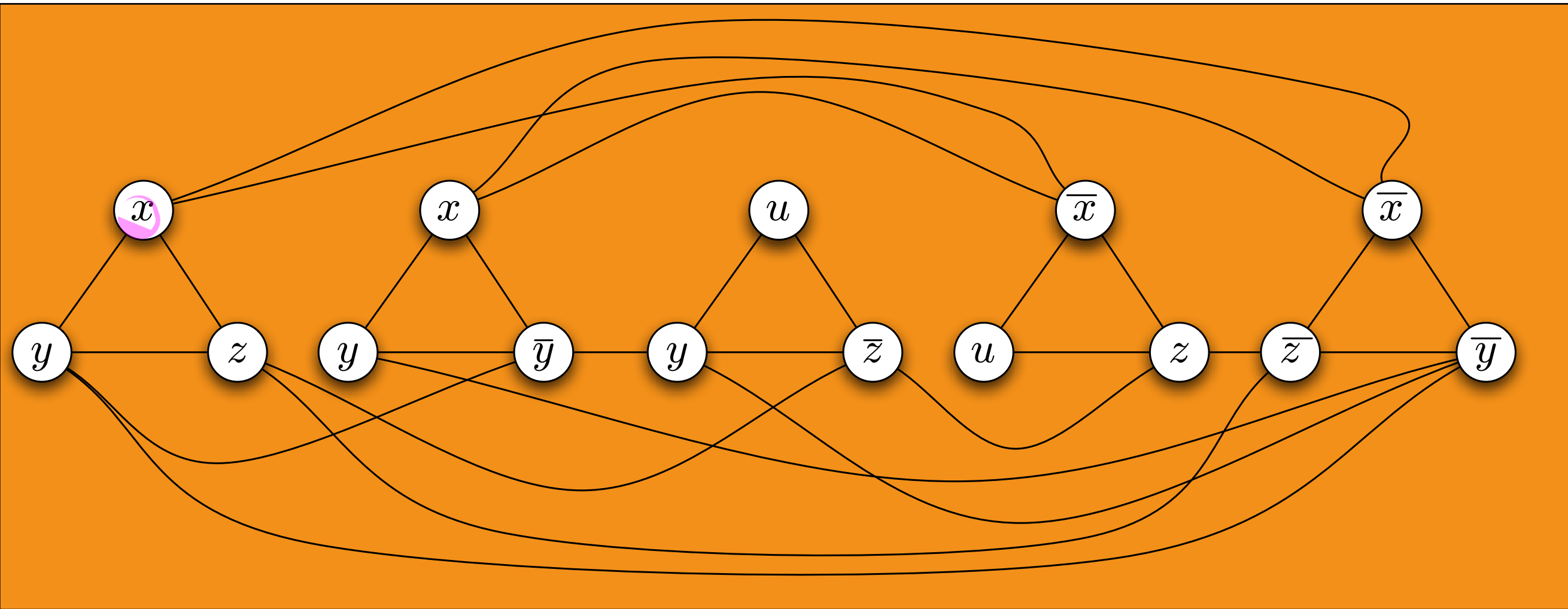
$$(x \vee y \vee z) \wedge (x \vee \bar{y} \vee y) \wedge (u \vee y \vee \bar{z}) \wedge (z \vee \bar{x} \vee u) \wedge (\bar{x} \vee \bar{y} \vee \bar{z})$$

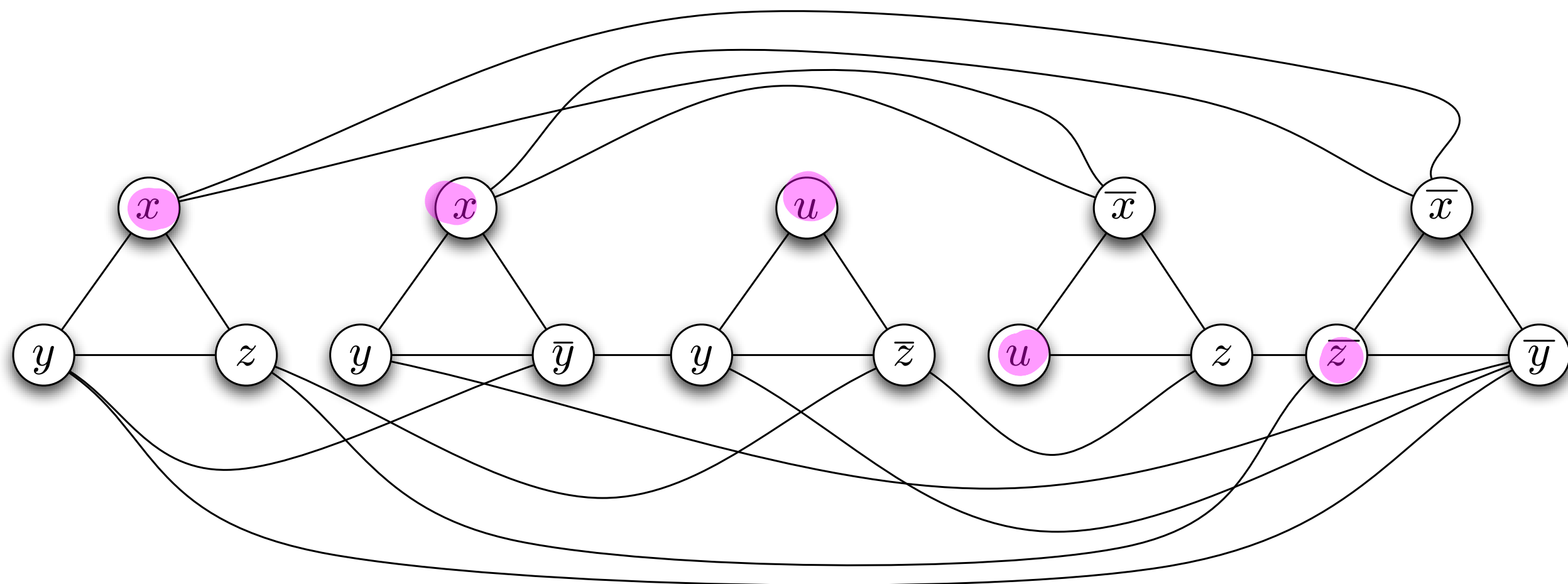


$k$  clauses

$$(x \vee y \vee z) \wedge (x \vee \bar{y} \vee y) \wedge (u \vee y \vee \bar{z}) \wedge (z \vee \bar{x} \vee u) \wedge (\bar{x} \vee \bar{y} \vee \bar{z})$$

$\phi$   
 $\downarrow$   
 $(G, k)$





} an ind set of size  $k$

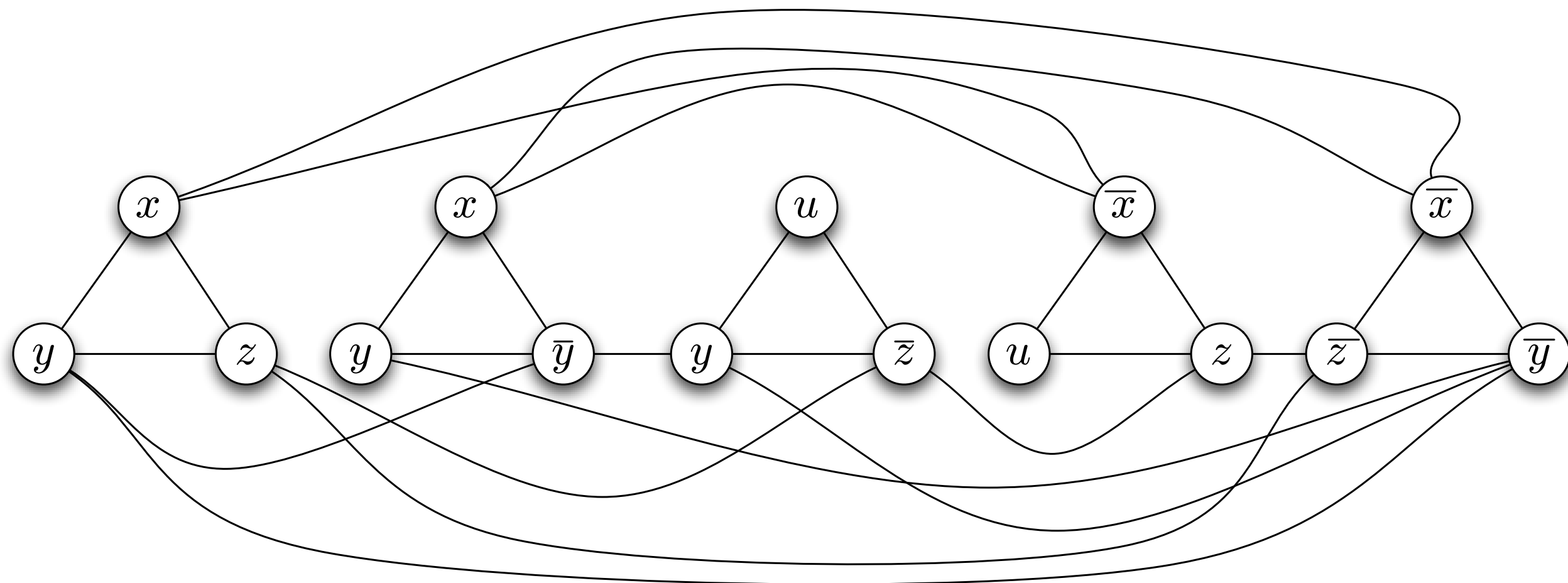
$\phi \in \text{SAT}$   $\implies \exists$  a satisfying assignment  $A$  for  $\phi$ ,

$\implies$  each clause has a true variable (can be  $\geq 1$ )

pick one true variable per clause.

Let  $S = \{ \}$  set  $\}$ .  $|S| = k$ . b/c there are  $k$  clauses.

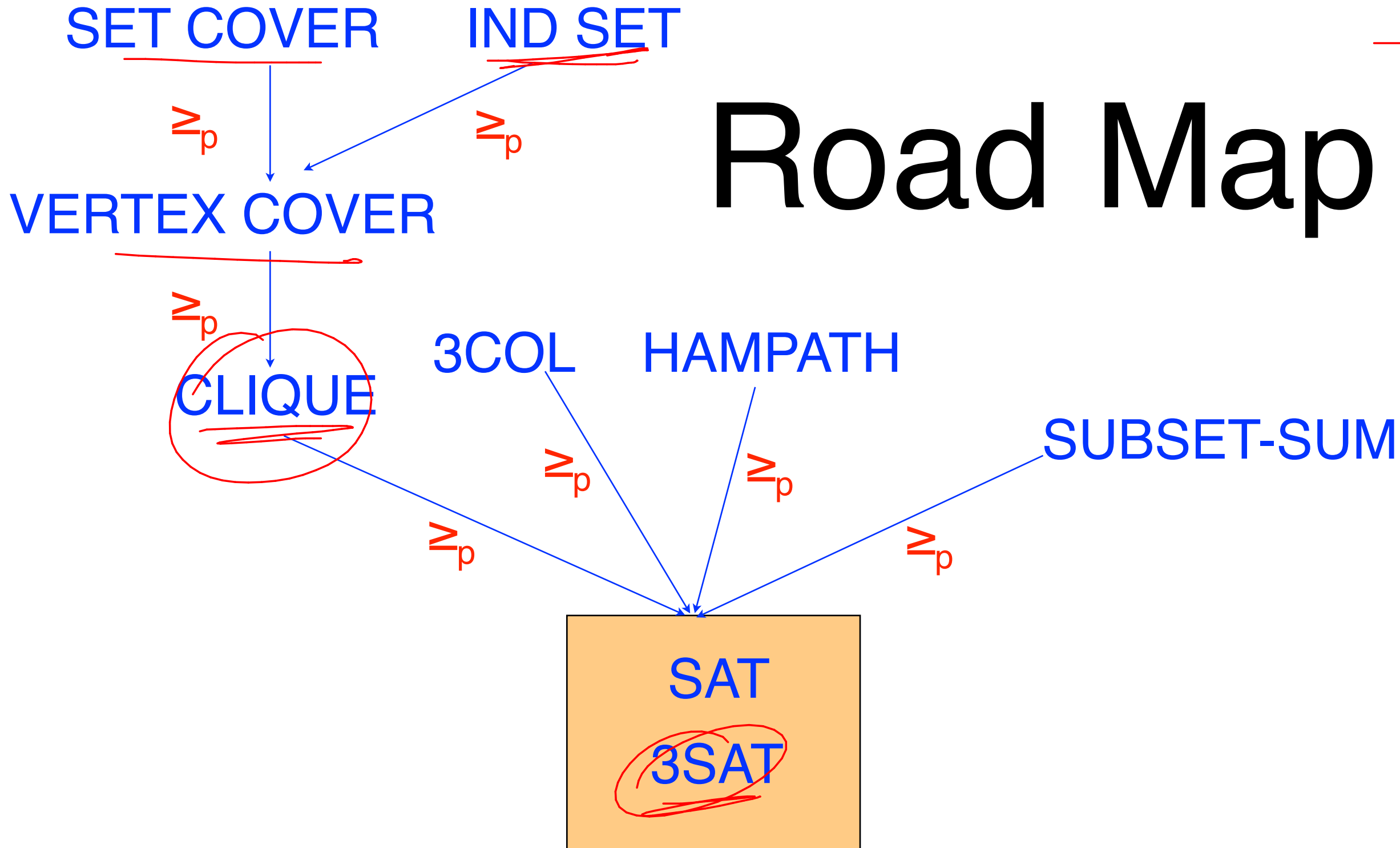
AND if a variable in clause  $i$  is selected, then its negation is false, & not selected. (Node per triangle)



- $(G, k) \in \text{INDSET} \implies$  By definition, only 1 node per triangle is selected.  
 make that variable true. Assign any unassigned <sup>(positive)</sup> variable to be T.
- ① The resulting  $A$  assigns every variable.
  - ② The assignment  $A$  is consistent. If  $A(x) = \text{true}$ , then  
 no vertex for  $\bar{x}$  is in the INDset.  $\implies \bar{x}$  is assigned F.
  - ③  $\phi$  is satisfied by  $A$ . b/c each clause is SATISFIED.

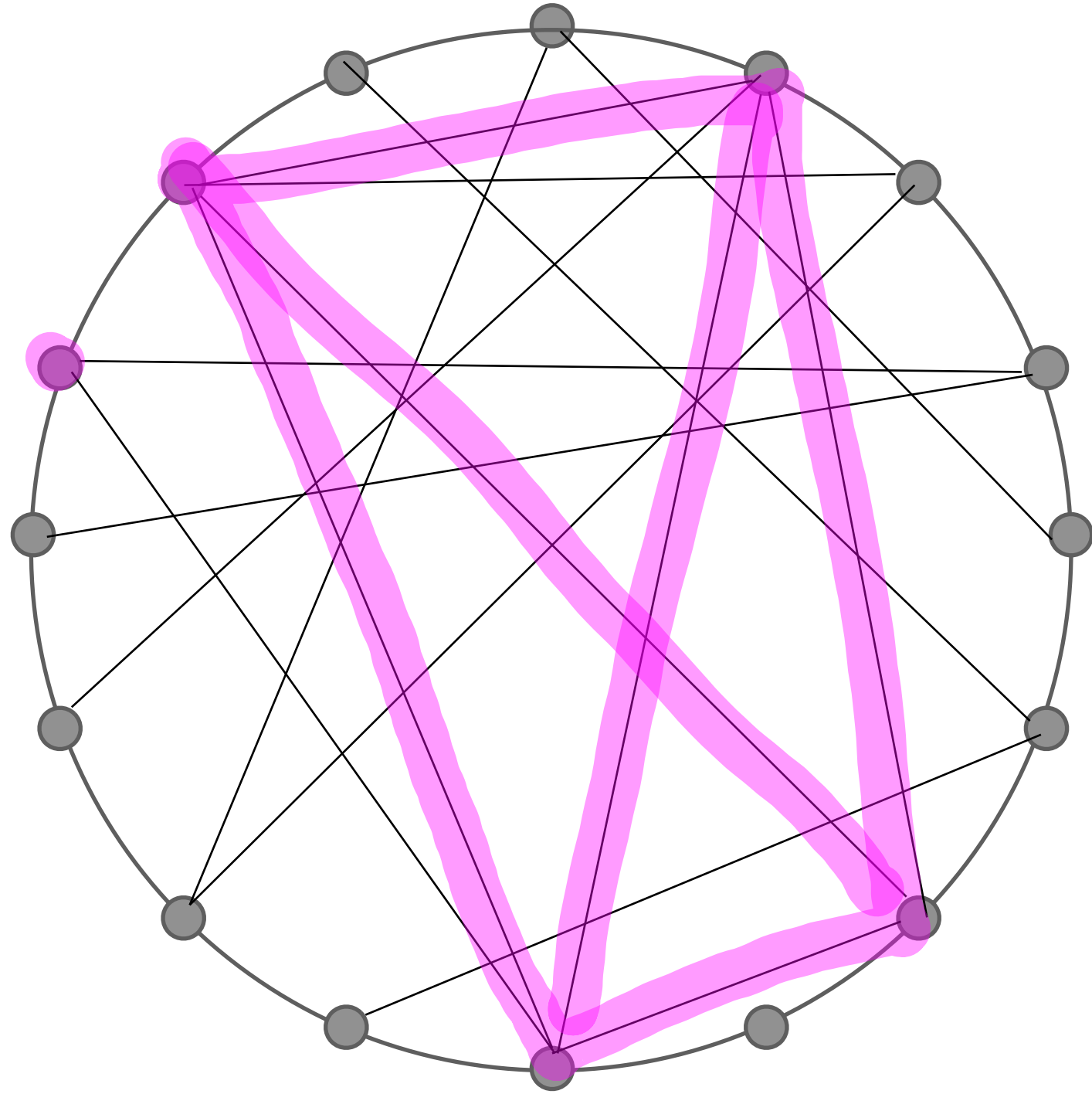


# Road Map



# clique

SocLAL graph



clique =  $\{G, k\}$  : determine if  $G$  has a clique of size  $k$

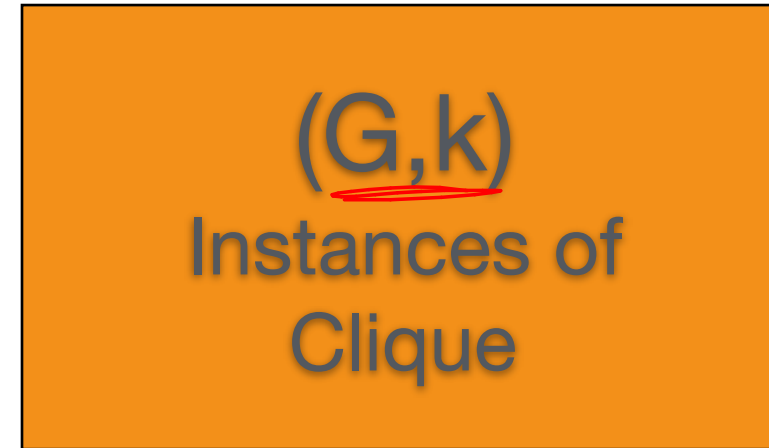
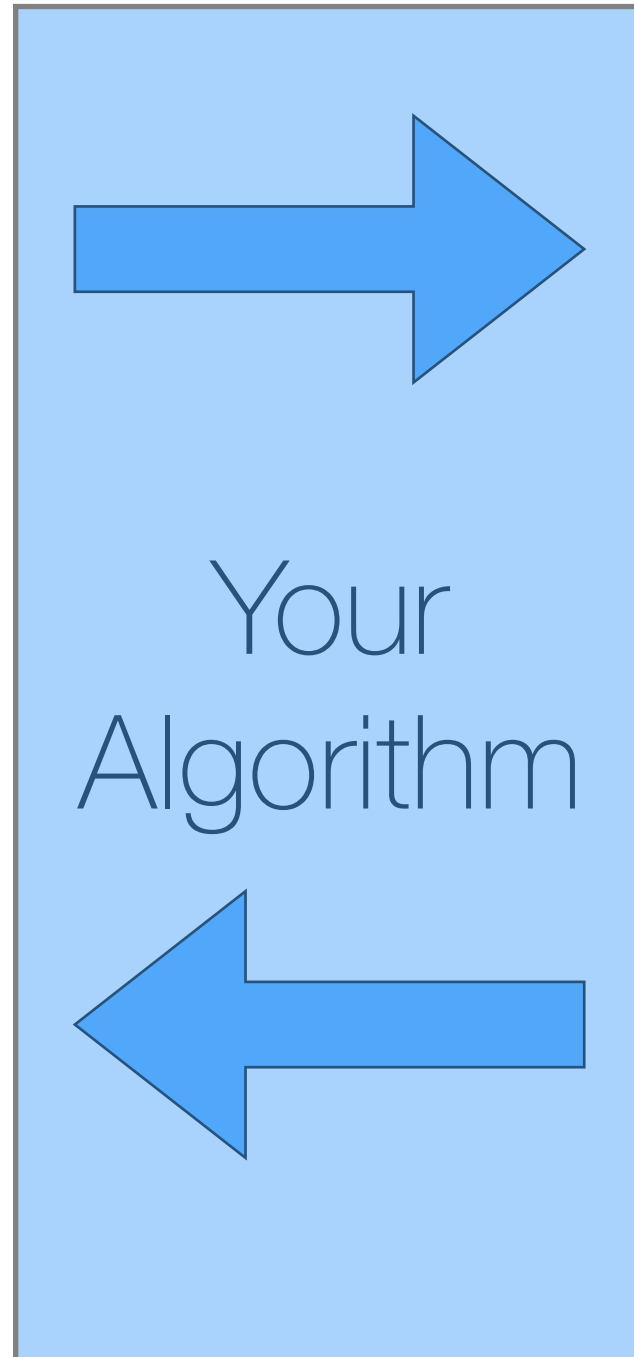
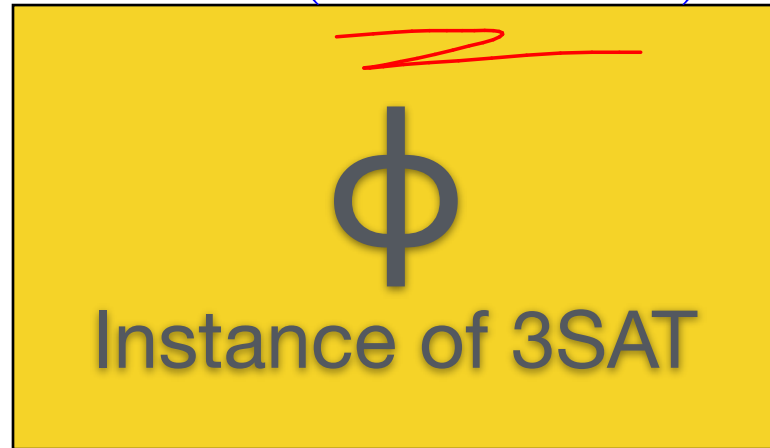
→ a complete graph on  $k$  nodes  
"all  $k$  vertices are connected to each other"

$3SAT \equiv \text{CLIQUE}$

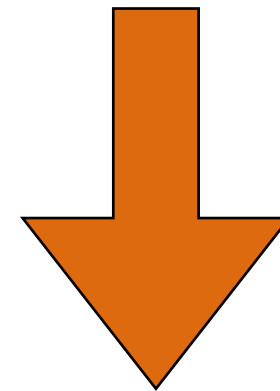
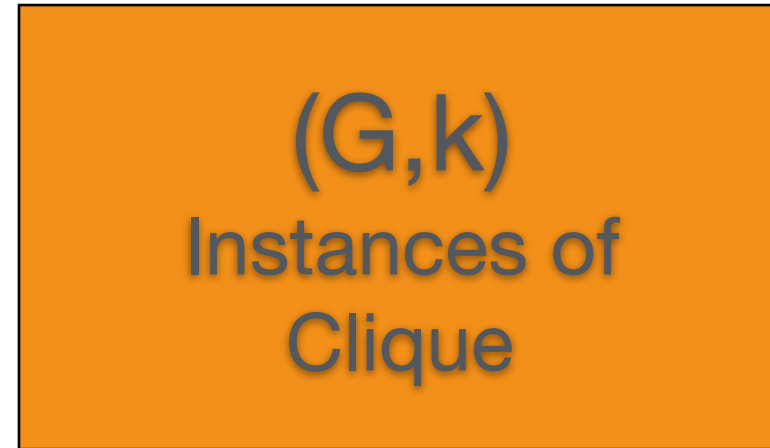
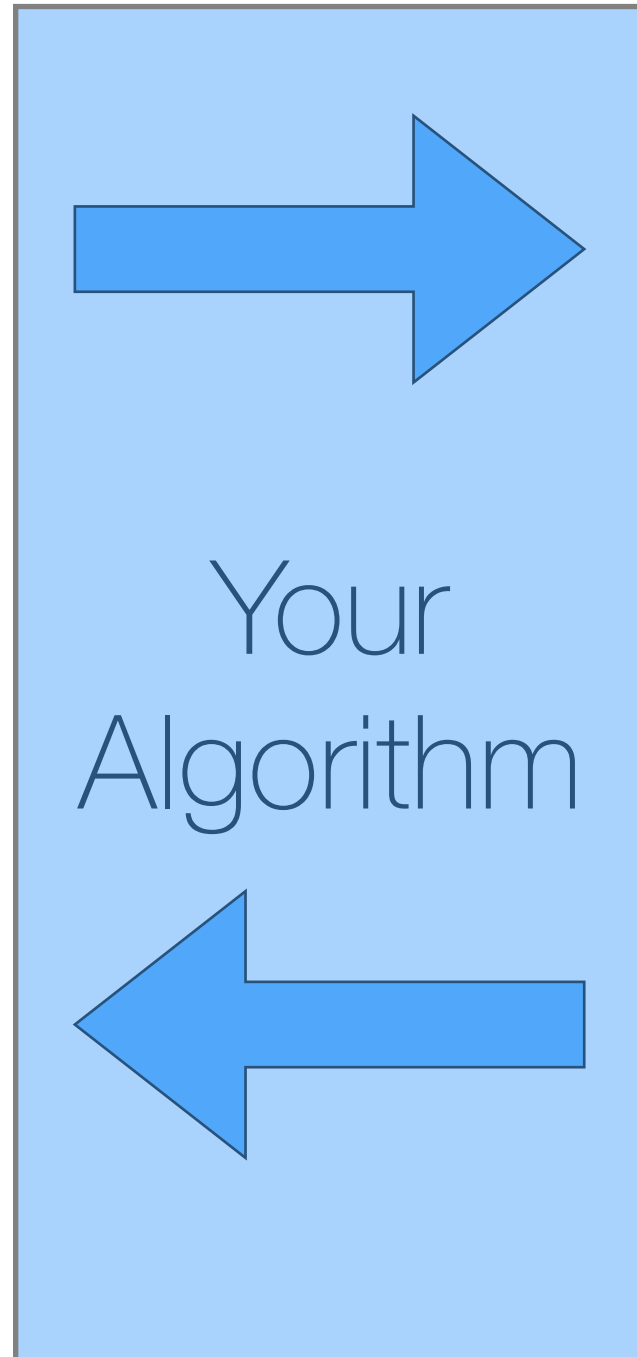
"Clique is AS HARD AS

3SAT upto polytime"

$$\phi = (x_1 \vee x_2 \vee x_3) \\ \wedge (\overline{x_1} \vee \overline{x_2} \vee x_3) \\ \wedge (\overline{x_1} \vee x_2 \vee \overline{x_3})$$



$$\phi = (x_1 \vee x_2 \vee x_3) \wedge (\overline{x_1} \vee \overline{x_2} \vee x_3) \wedge (\overline{x_1} \vee x_2 \vee \overline{x_3})$$

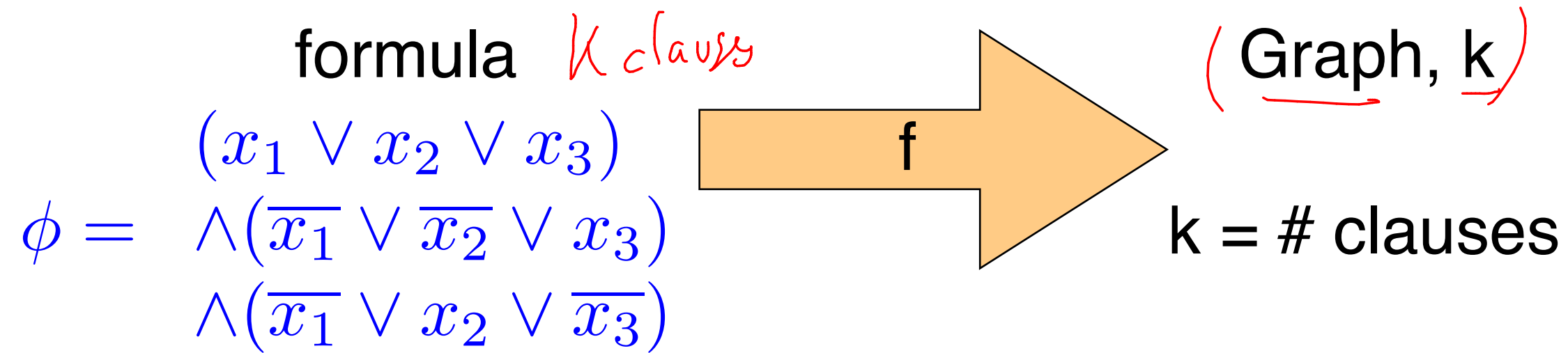


S

A  
A satisfying  
assignment

*CLIQUE*  
~~Ind Set~~

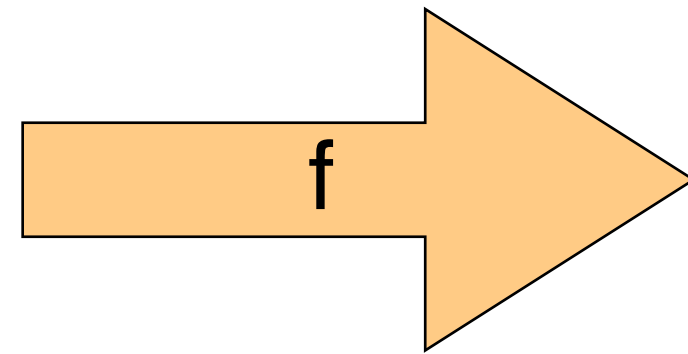
# CLIQUE



# CLIQUE

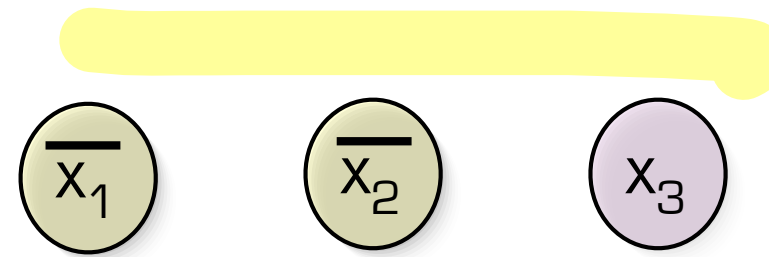
formula

$$\phi = (x_1 \vee x_2 \vee x_3) \wedge (\overline{x_1} \vee \overline{x_2} \vee x_3) \wedge (\overline{x_1} \vee x_2 \vee \overline{x_3})$$

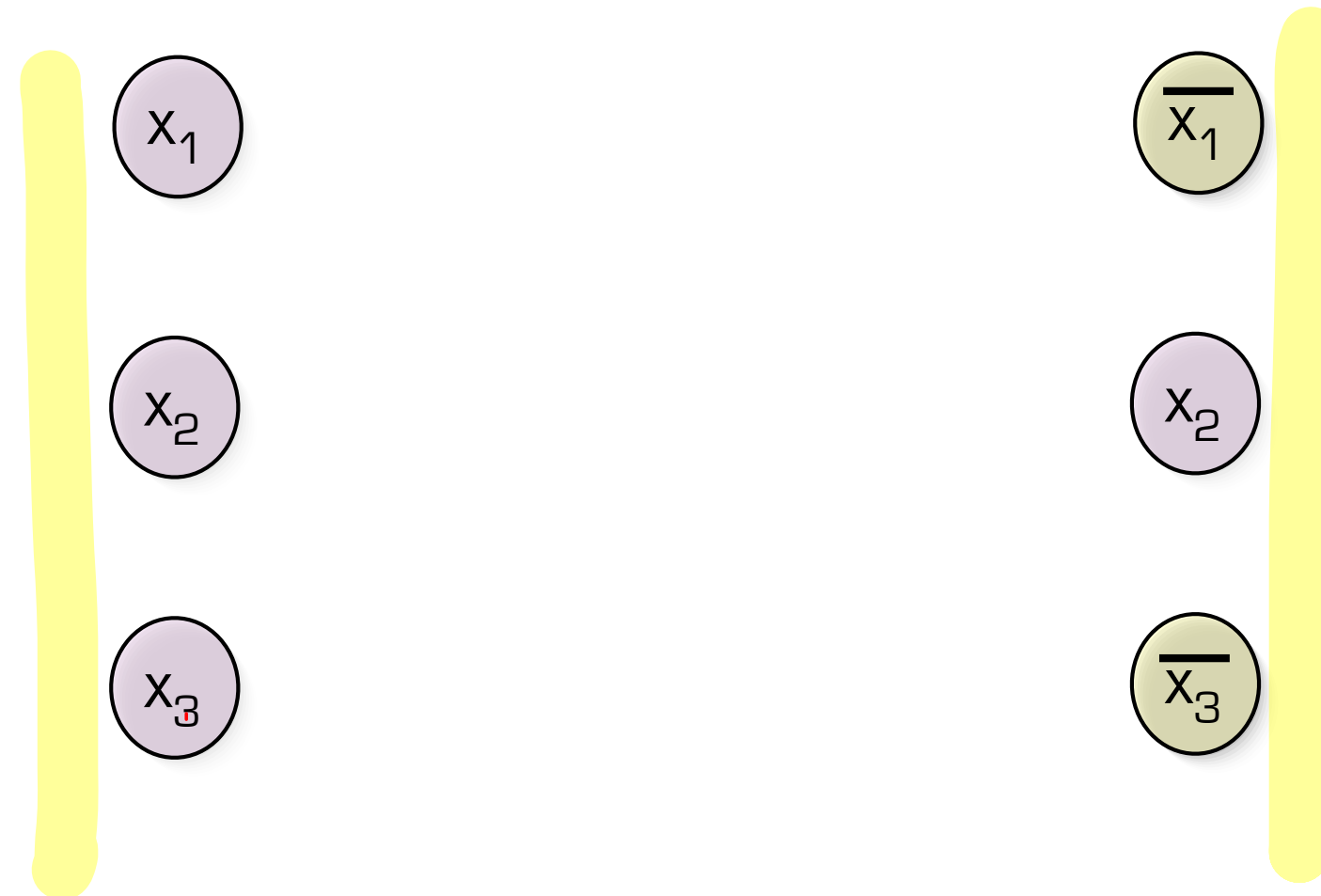


Graph, k

k = # clauses



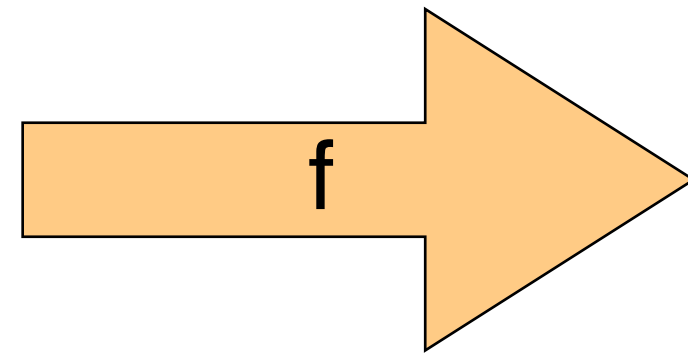
Create 3 nodes/clause



# CLIQUE

formula

$$\phi = (x_1 \vee x_2 \vee x_3) \wedge (\overline{x_1} \vee \overline{x_2} \vee x_3) \wedge (\overline{x_1} \vee x_2 \vee \overline{x_3})$$

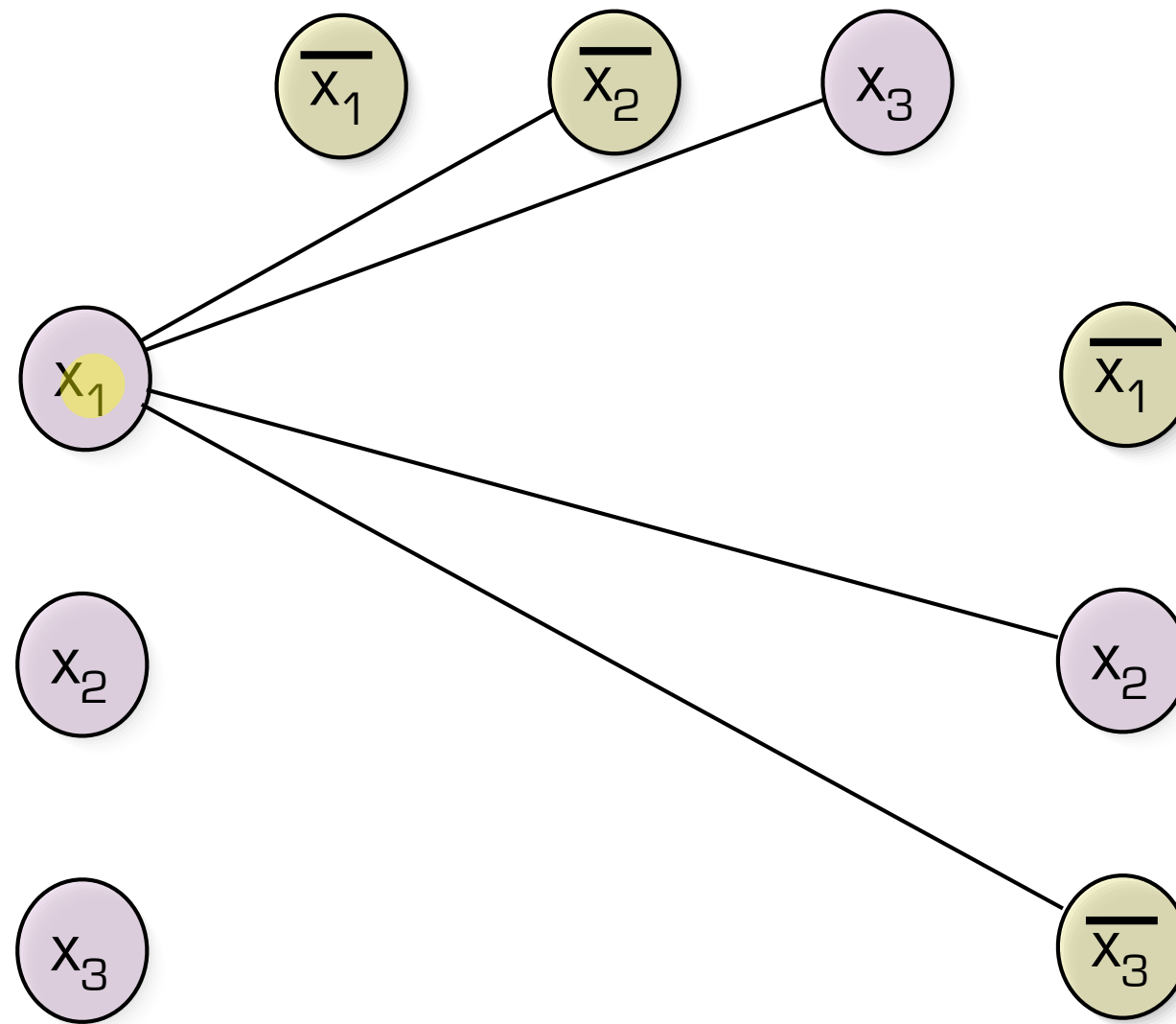


Graph, k

k = # clauses

Create 3 nodes/clause

Connect nodes to  
“non-opposites”

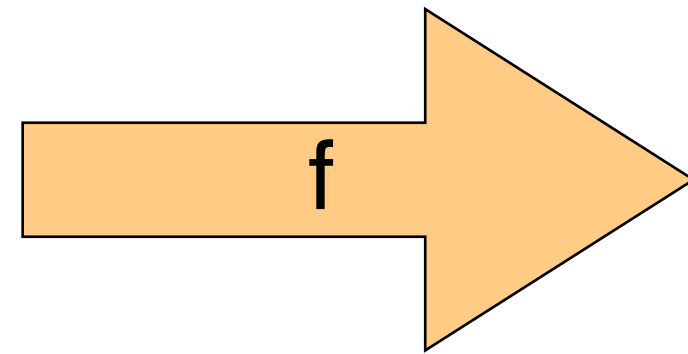




# CLIQUE

formula

$$\phi = (x_1 \vee x_2 \vee x_3) \wedge (\overline{x_1} \vee \overline{x_2} \vee x_3) \wedge (\overline{x_1} \vee x_2 \vee \overline{x_3})$$

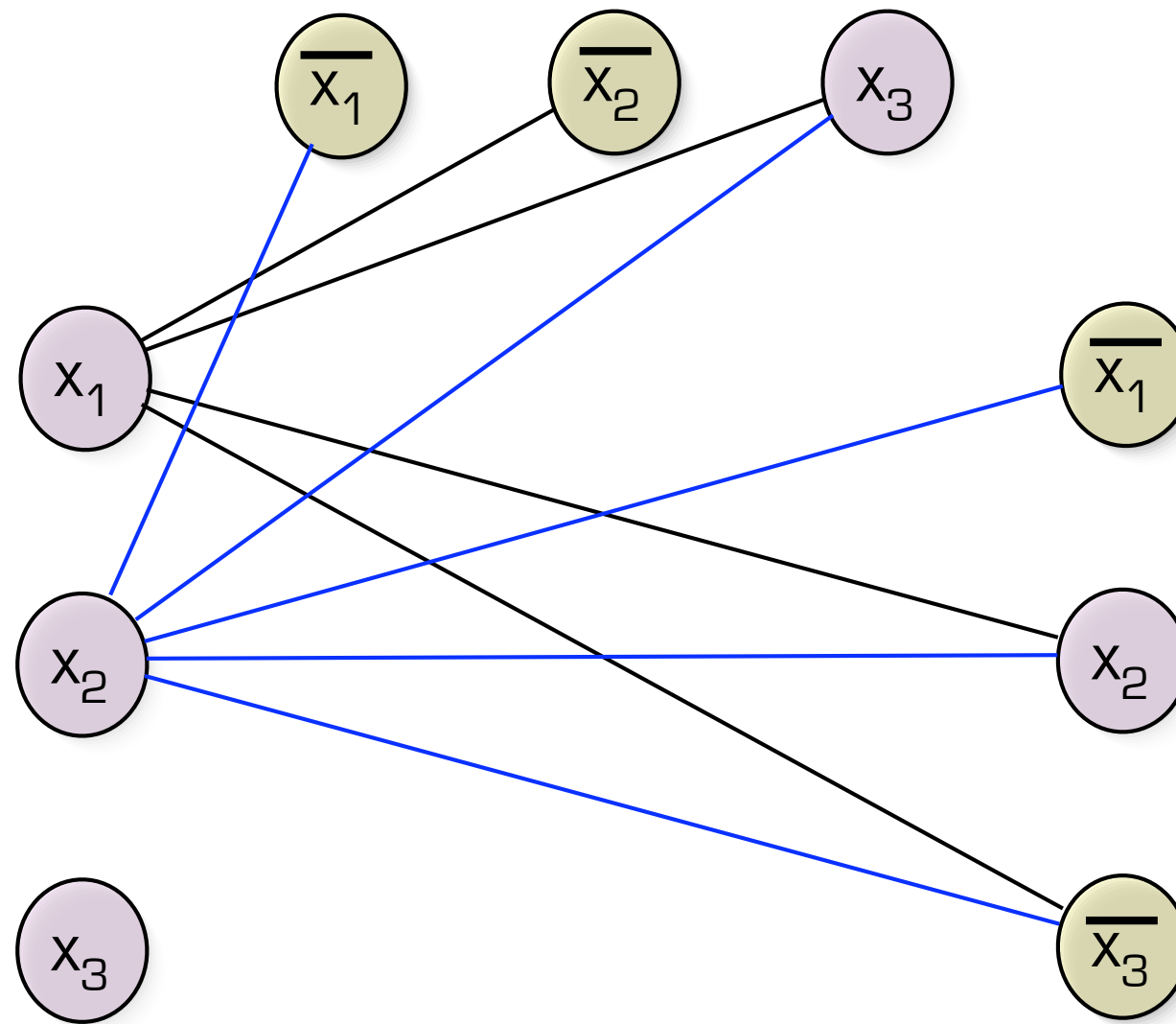


Graph, k

k = # clauses

Create 3 nodes/clause

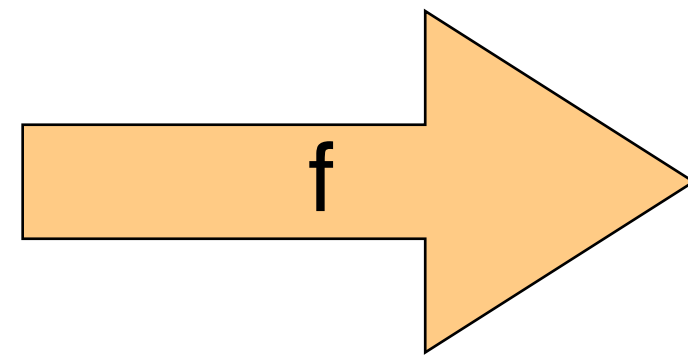
Connect nodes to  
“non-opposites”



# CLIQUE

formula

$$\phi = (x_1 \vee x_2 \vee x_3) \wedge (\overline{x_1} \vee \overline{x_2} \vee x_3) \wedge (\overline{x_1} \vee x_2 \vee \overline{x_3})$$



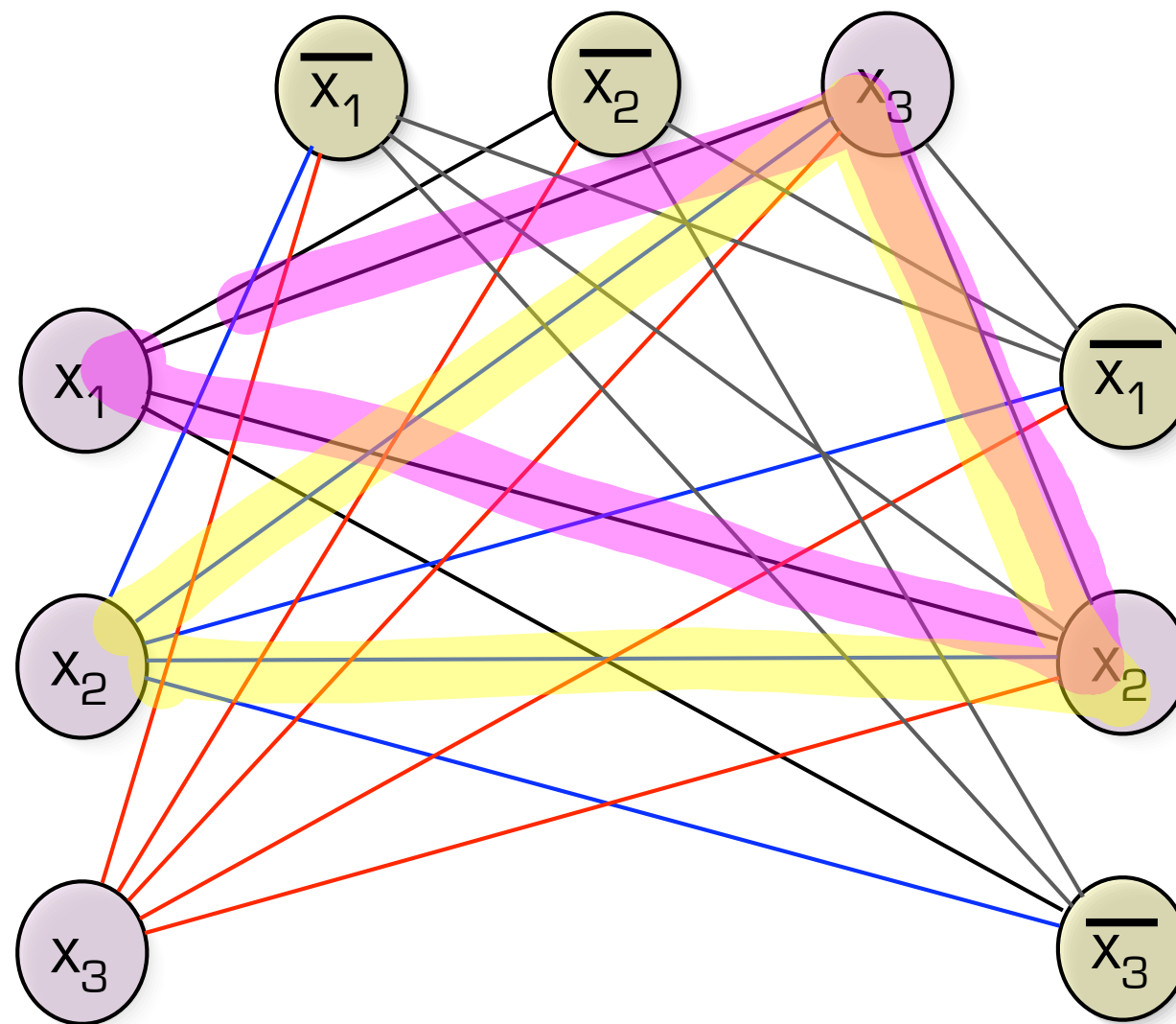
Graph,  $k$

$k = \#$  clauses

order  $3k \cdot V$  time

Create 3 nodes/clause

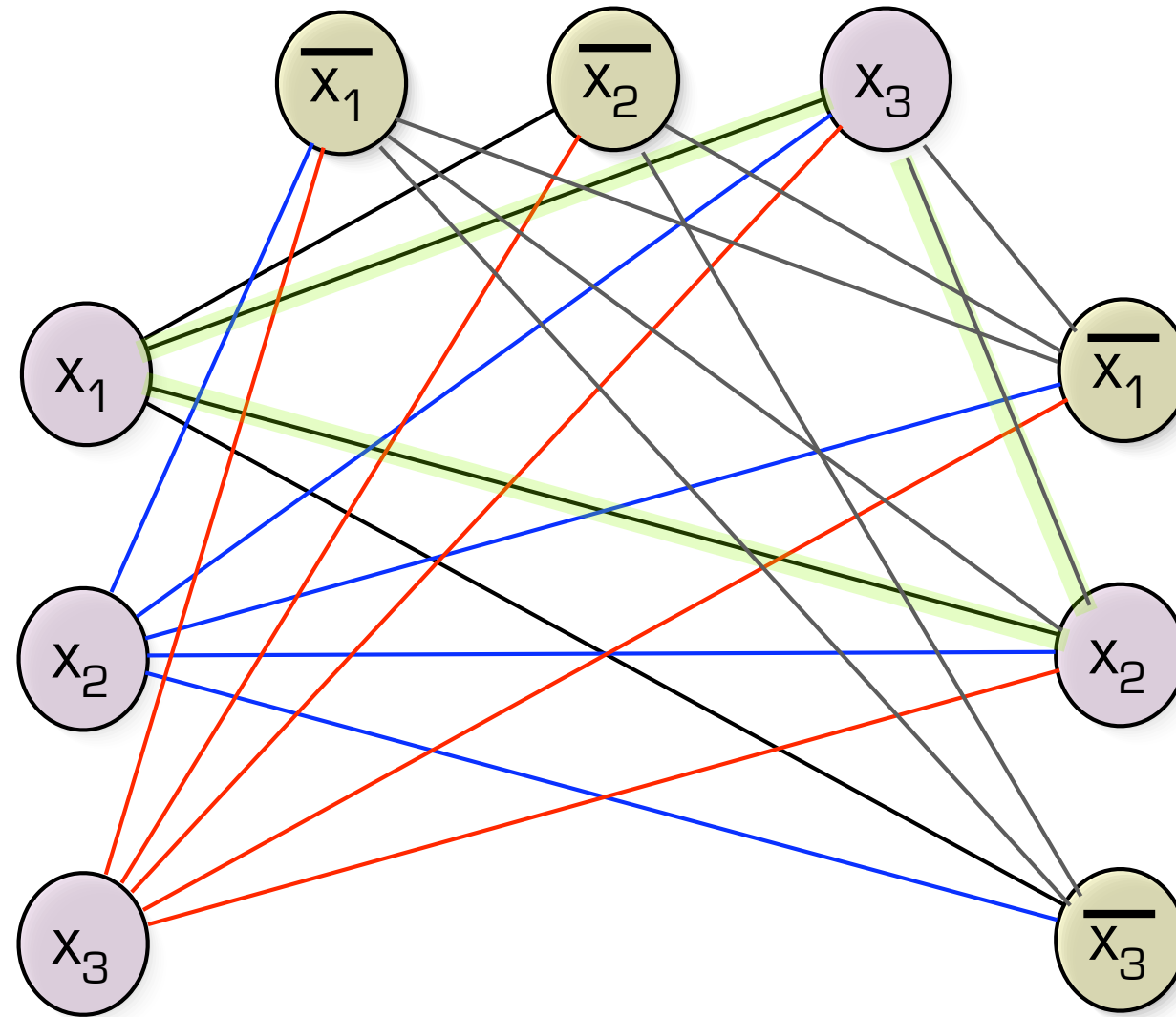
Connect nodes to  
“non-opposites”



if  $G$  has a  
 $k$  clique

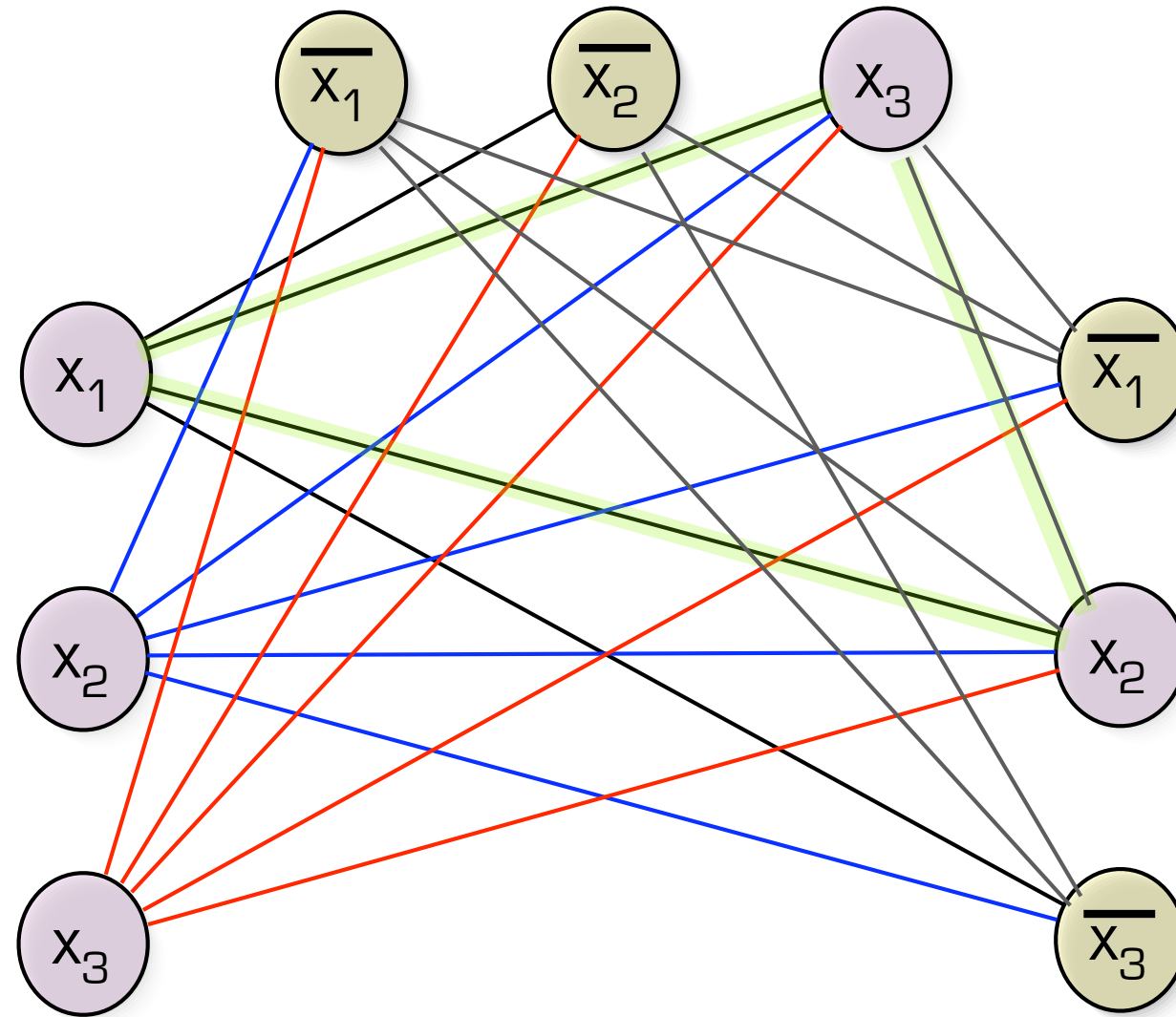
# CLIQUE

$$\phi = (x_1 \vee x_2 \vee x_3) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge (\bar{x}_1 \vee x_2 \vee \bar{x}_3)$$



# CLIQUE

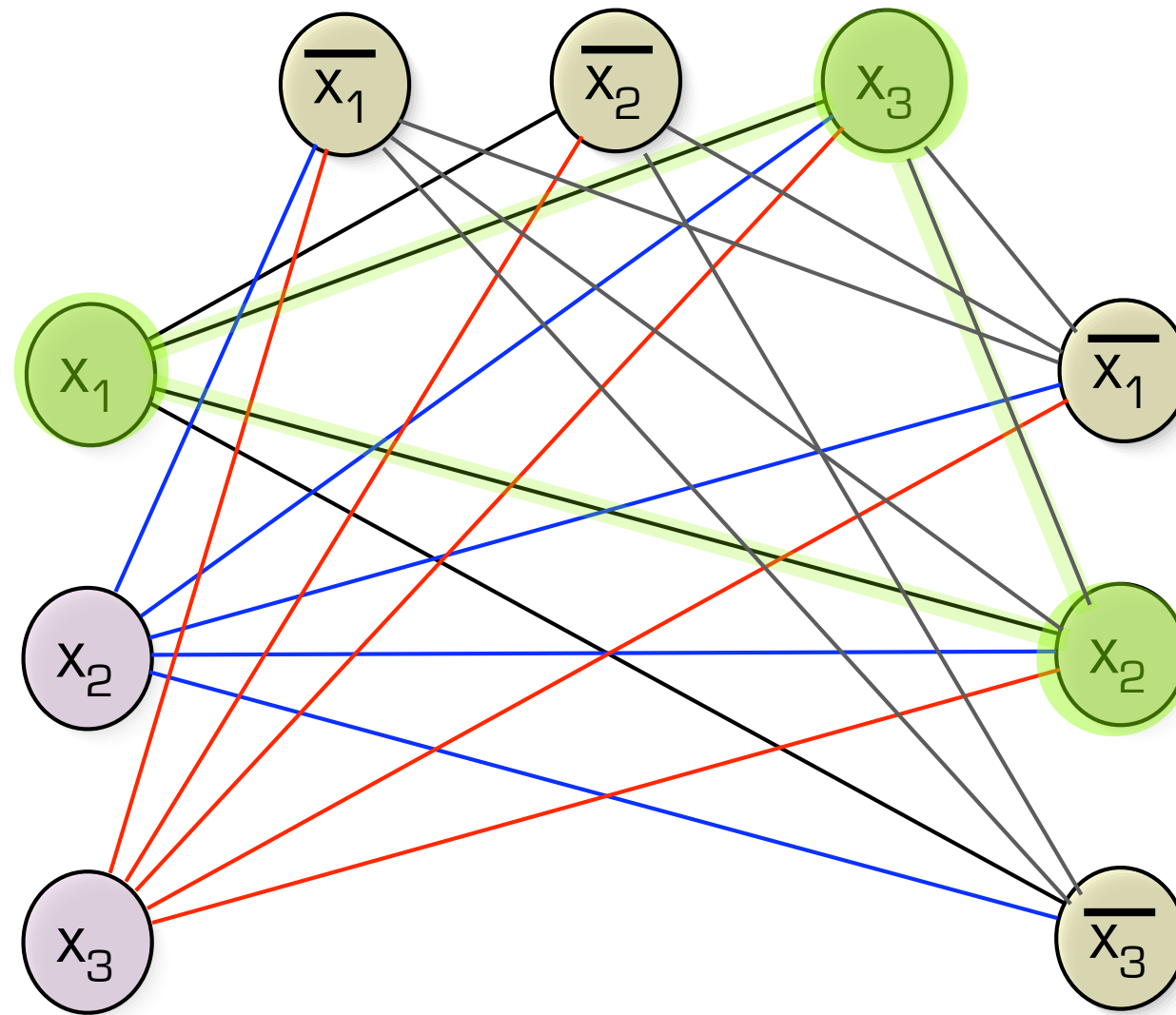
$$\phi = \bigwedge \left( \begin{array}{l} (x_1 \vee x_2 \vee x_3) \\ (\overline{x_1} \vee \overline{x_2} \vee x_3) \\ (\overline{x_1} \vee x_2 \vee \overline{x_3}) \end{array} \right)$$



Satisfying assignment = 1 var/clause

# CLIQUE

$$\phi = \begin{aligned} & (x_1 \vee x_2 \vee x_3) \\ & \wedge (\overline{x_1} \vee \overline{x_2} \vee x_3) \\ & \wedge (\overline{x_1} \vee x_2 \vee \overline{x_3}) \end{aligned}$$

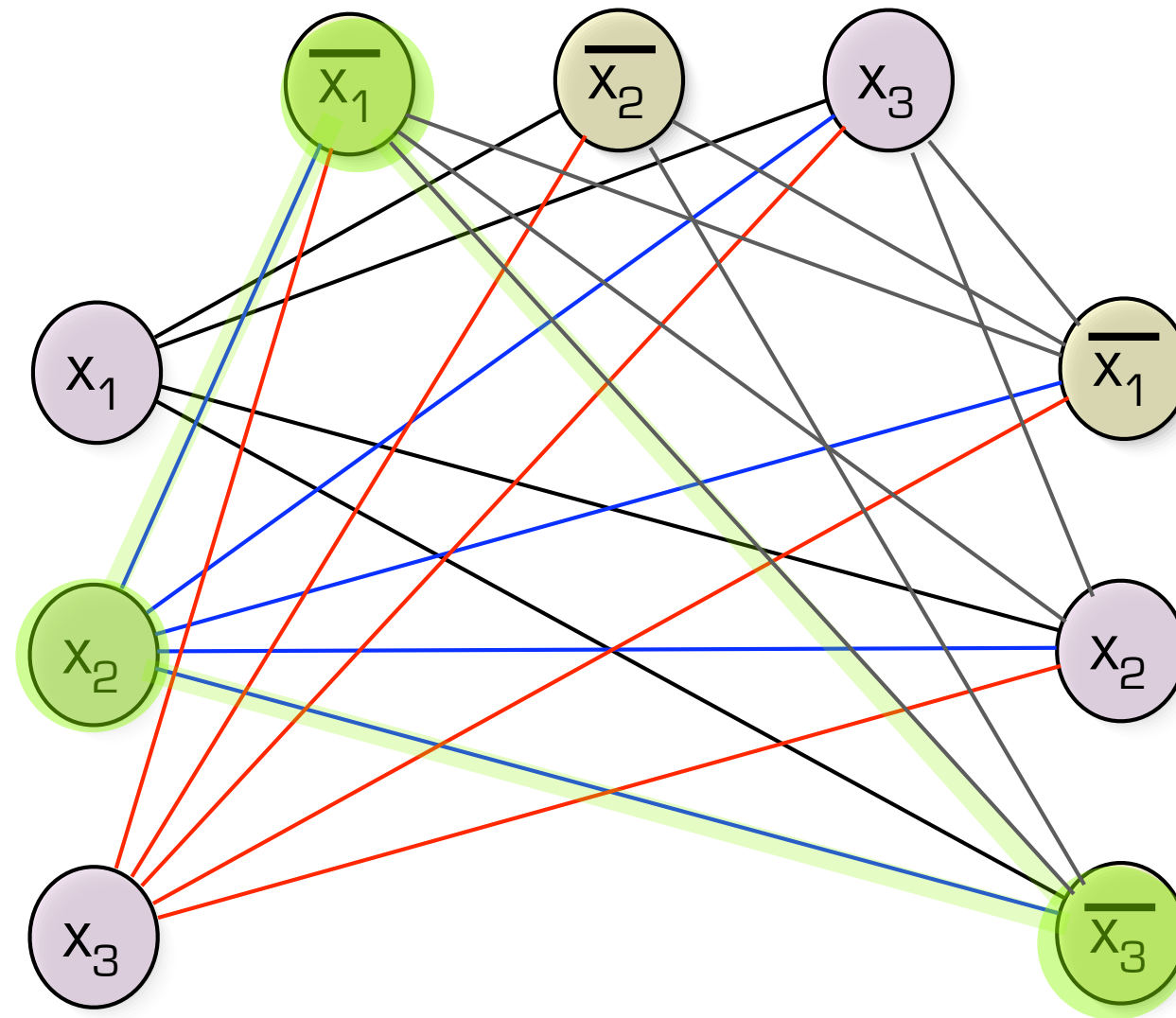


Satisfying assignment = 1 var/clause

k “non-opposite” connected nodes

# CLIQUE

$$\phi = (x_1 \vee x_2 \vee x_3) \wedge (\overline{x_1} \vee \overline{x_2} \vee x_3) \wedge (\overline{x_1} \vee x_2 \vee \overline{x_3})$$

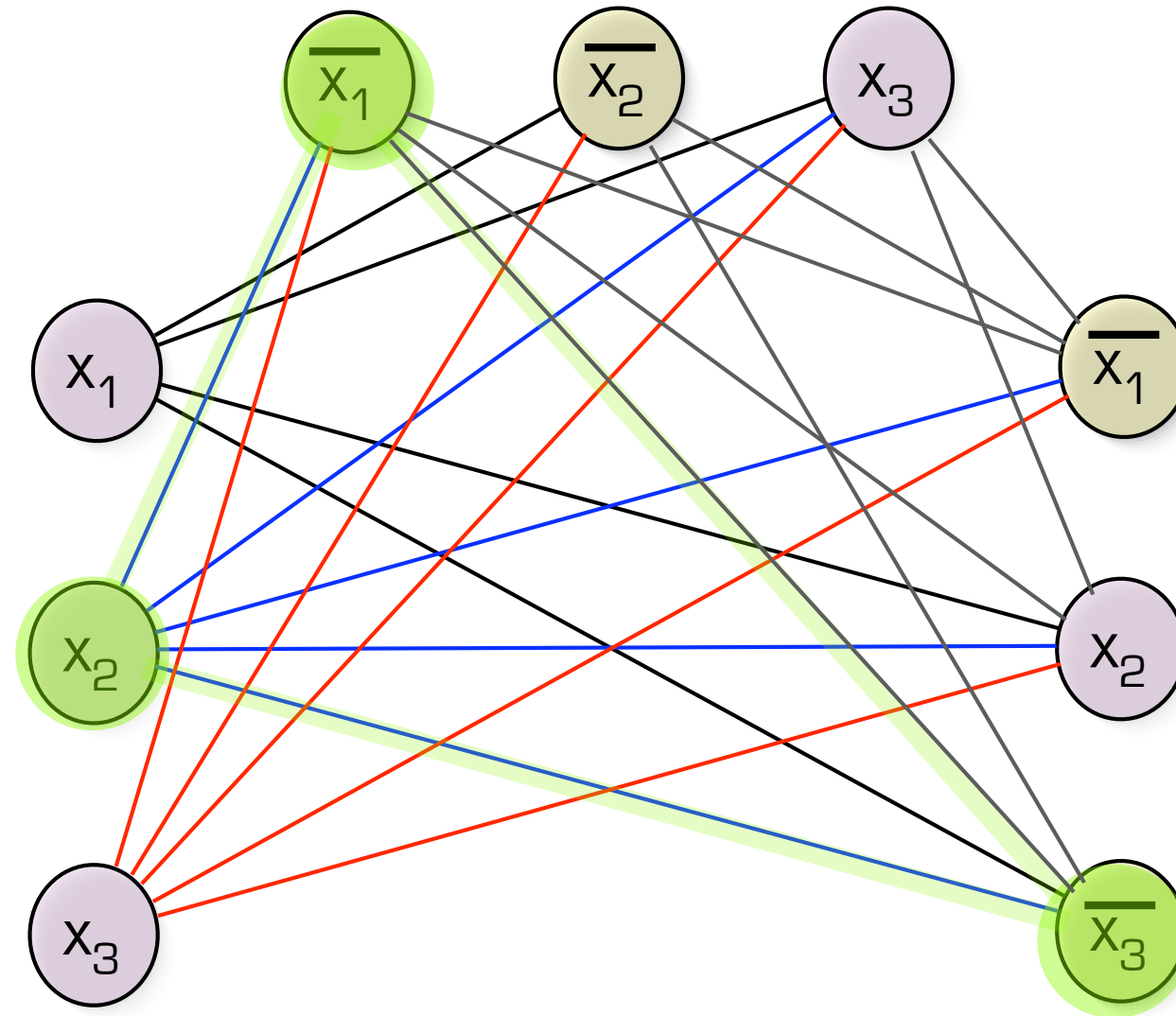


k-clique

1 node/clause is true

# CLIQUE

$$\phi = (x_1 \vee x_2 \vee x_3) \wedge (\overline{x_1} \vee \overline{x_2} \vee x_3) \wedge (\overline{x_1} \vee x_2 \vee \overline{x_3})$$



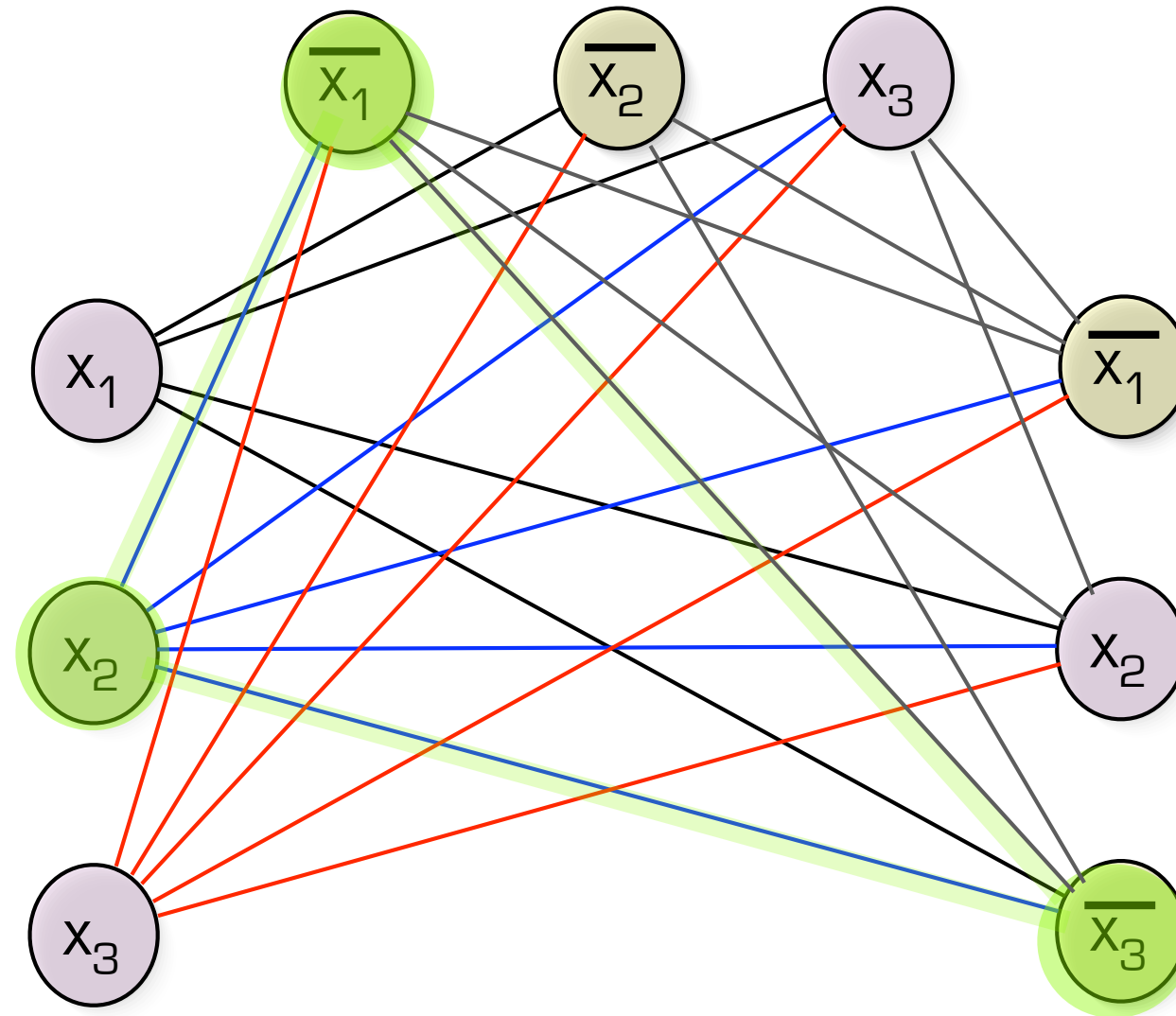
k-clique

1 node/clause is true

Satisfying assignment

# CLIQUE

$$\phi = \begin{aligned} &(x_1 \vee x_2 \vee x_3) \\ &\wedge (\overline{x_1} \vee \overline{x_2} \vee x_3) \\ &\wedge (\overline{x_1} \vee x_2 \vee \overline{x_3}) \end{aligned}$$



$$\phi \in SAT \iff f(\phi) \in CLIQUE$$



# Theory of NP

A thick, yellow brushstroke underline is positioned beneath the text 'Theory of NP', extending from the start of the word 'Theory' to the end of the word 'NP'.



# Languages

$L = \{ \text{sets on instances} \}$

$x \in L$  ??

# DEF OF NP

a language  $L$  belongs to the class NP iff  
there exists a **polynomial time algorithm**  $A$   
and a **constant**  $c$  such that

$$L = \{x \in \{0, 1\}^* \mid \exists y \in \{0, 1\}^{|x|^c} \text{ s.t. } A(x, y) = 1\}$$

efficiently test whether a SOLUTION IS TRUE.

# NP-Completeness

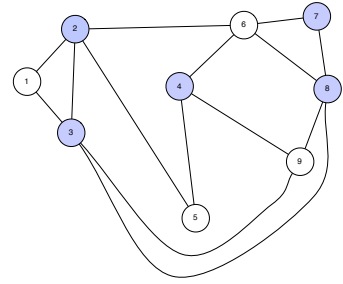
A language  $L$  is NP-Complete if

1.  $L \in \text{NP}$

2.  $\forall A \in \text{NP}, \underbrace{A}_{\leq_p} L$

“ $L$  is among the hardest NP problems”

# WHY IS VC IN NP?



vertexcover(G,k)

# COOK-LEVIN THEOREM



WHAT IS THE HARDEST  
PROBLEM IN NP?

# Cook-Levin theorem

$\forall \underline{L} \in \underline{NP}$

$L \leq_f \underline{3SAT}$



# Road Map

