

L2 5800

Jan 21/24 2016

Karatsuba, Recurrences

shelat

warmup

Simplify $(1 + a + a^2 + \dots + a^L)(a - 1) =$

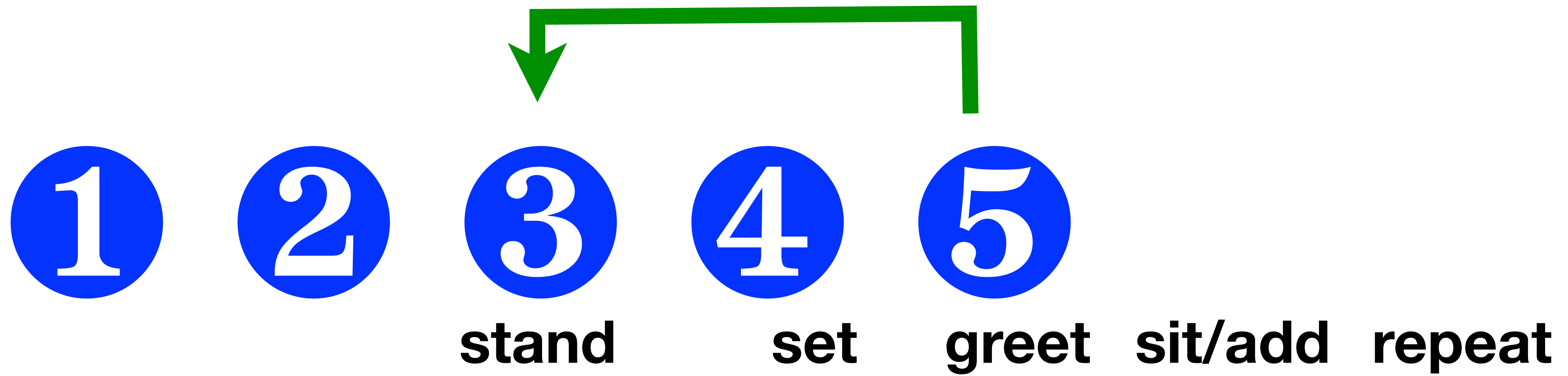
warmup

$$\sum_{i=0}^L a^i = \frac{a^{L+1} - 1}{a - 1}$$

Logarithm

$$\log_2(n) =$$

Recall from last time...



Simple case: 2 people

$$T(2) =$$

1

2

3

4

5

stand

set

greet

sit/add

repeat



$$T(4) =$$

1

2

3

4

5

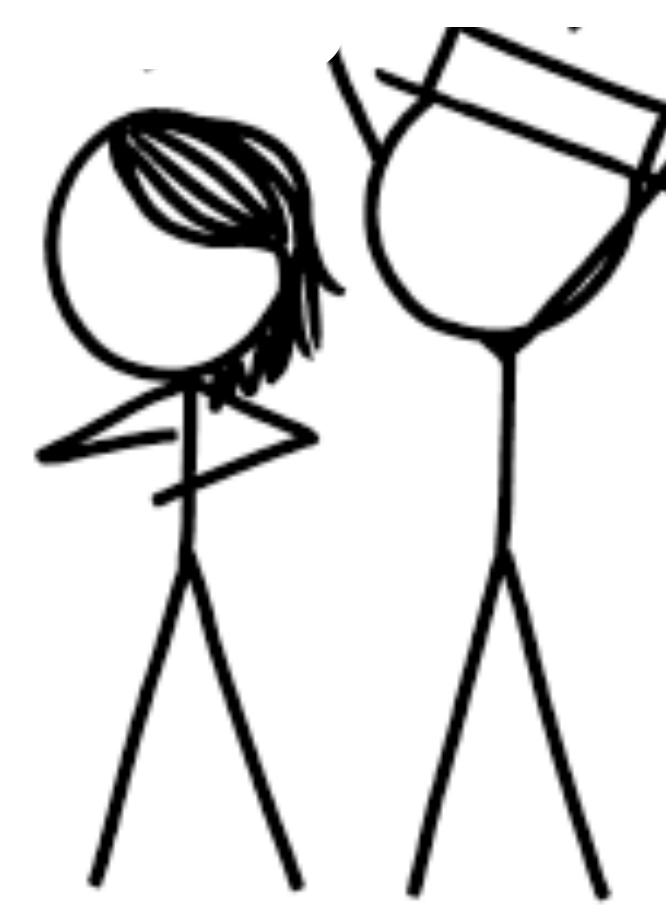
stand

set

greet

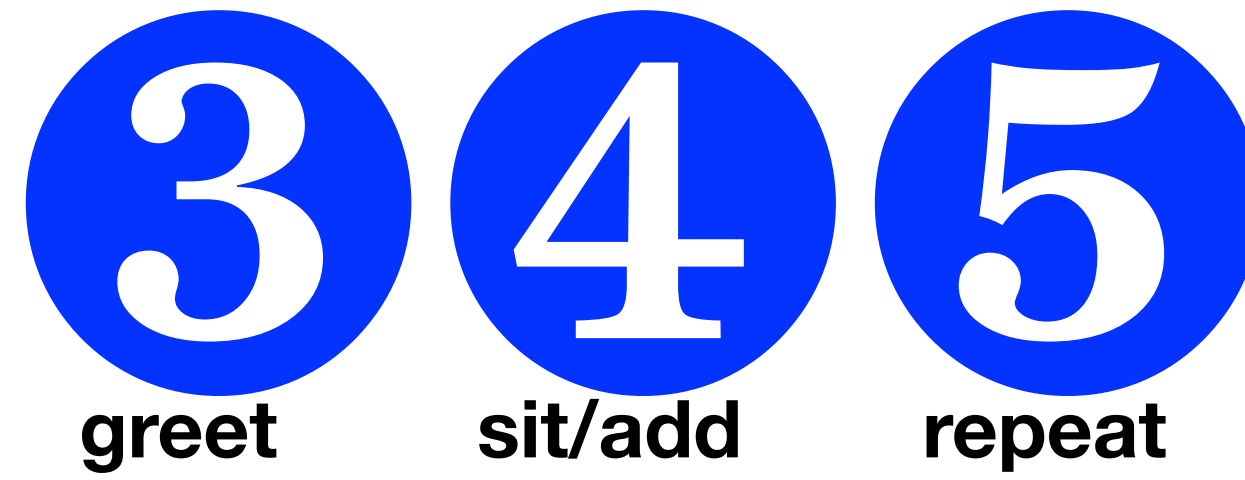
sit/add

repeat



After step 4

$$T(4) =$$



These steps only happen
once.

What about these?

I1: Approx is OK



how fast does it work:

$$T(n) = 1 + 1 + T(\lceil n/2 \rceil)$$



how fast does it work:

$$T(n) = 1 + 1 + T(\lceil n/2 \rceil)$$

$$T(1) = 3$$

This is a recurrence

$$T(n) = T(\lceil n/2 \rceil) + 2$$

$$T(1) = 3$$

solve a simpler case when n is a power of 2.

$$T(2^k) = 2 + T(2^{k-1})$$

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$$= 2 + 2 + T(2^{k-2})$$

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$$= \overbrace{2 + 2 + \cdots + 2}^k + T(2^0)$$

$$T(2^k) = 2 + T(2^{k-1})$$

$$= 2 + 2 + T(2^{k-2})$$

$$= \overbrace{2 + 2 + \cdots + 2}^k + T(2^0)$$

$$= 2k + T(1)$$

intuition here

$$T(2^k) = 2 + T(2^{k-1})$$

Other cases?

intuition here

$$\begin{aligned}T(2^k) &= 2 + T(2^{k-1}) \\ &= 2 + 2 + T(2^{k-2})\end{aligned}$$

Other cases?

intuition here

$$T(2^k) = 2 + T(2^{k-1})$$

$$= 2 + 2 + T(2^{k-2})$$

$$= \overbrace{2 + 2 + \cdots + 2}^k + T(2^0)$$

Other cases?

intuition here

$$T(2^k) = 2 + T(2^{k-1})$$

$$= 2 + 2 + T(2^{k-2})$$

$$= \overbrace{2 + 2 + \cdots + 2}^k + T(2^0)$$

$$= 2k + T(1)$$

Other cases?

Idea1: It is OK to approximate

A good way to do this is to ignore low order terms of our functions, i.e. using asymptotic notation for our functions.

Asymptotic notation

$O(g)$ This notation represents a set

Asymptotic notation

$O(g)$

Set of functions that are at most within constant of g for large n

Asymptotic notation

$O(g)$

Set of functions that are at *most* within const of g for large n

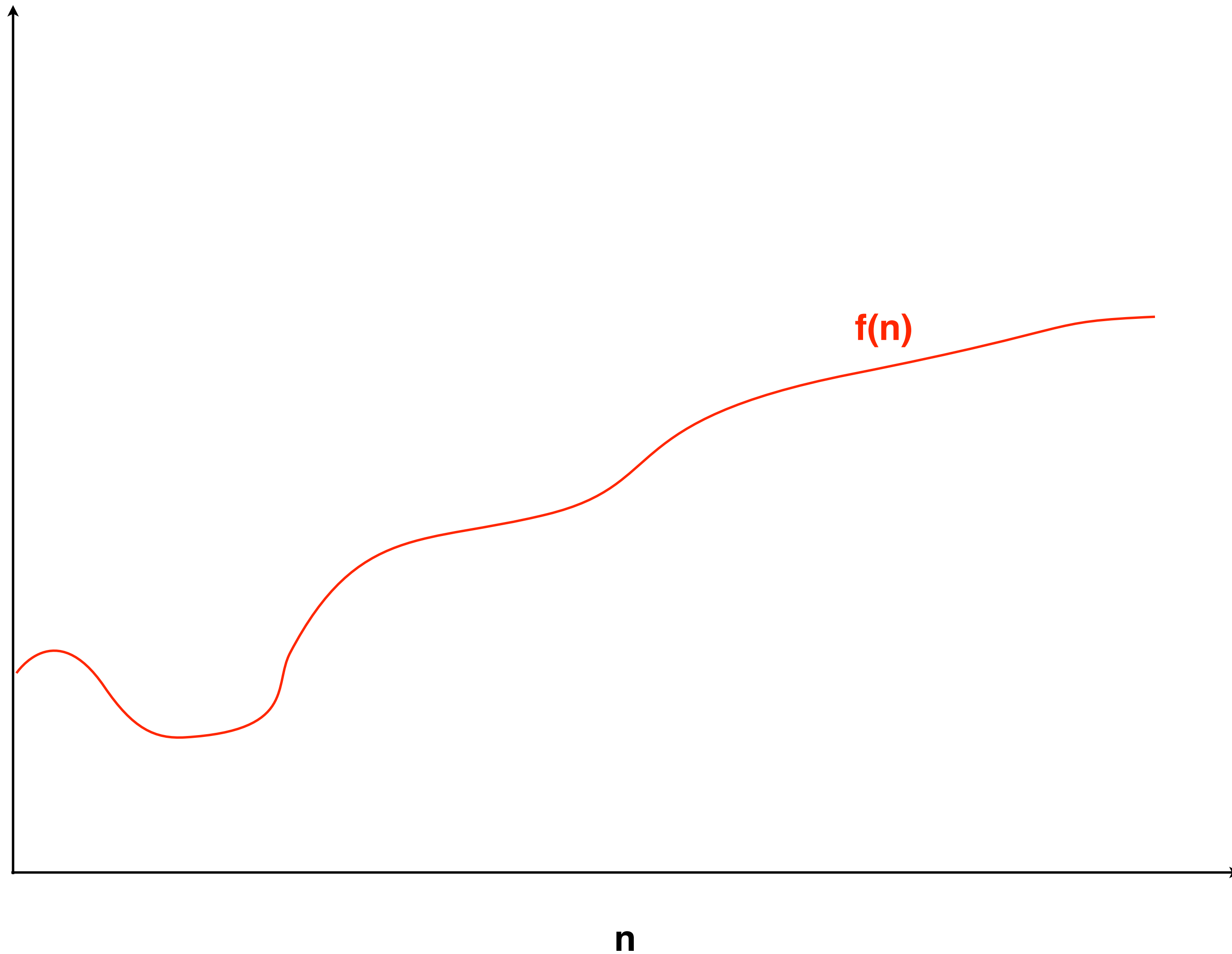
$\Omega(g)$

Set of functions that are at *least* within const of g for large n

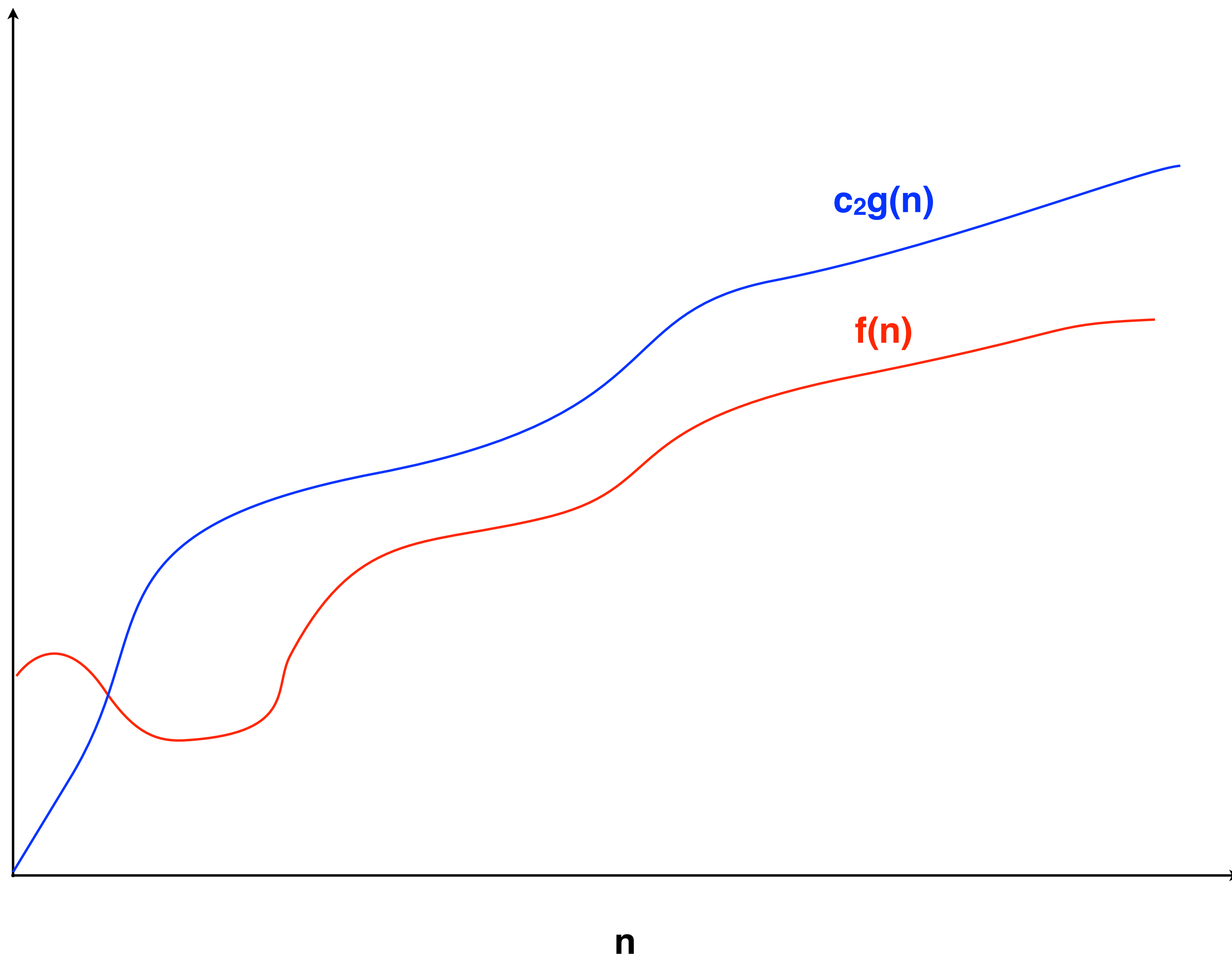
$\Theta(g)$

Set of functions that are at within const of g for large n

Omega sandwich

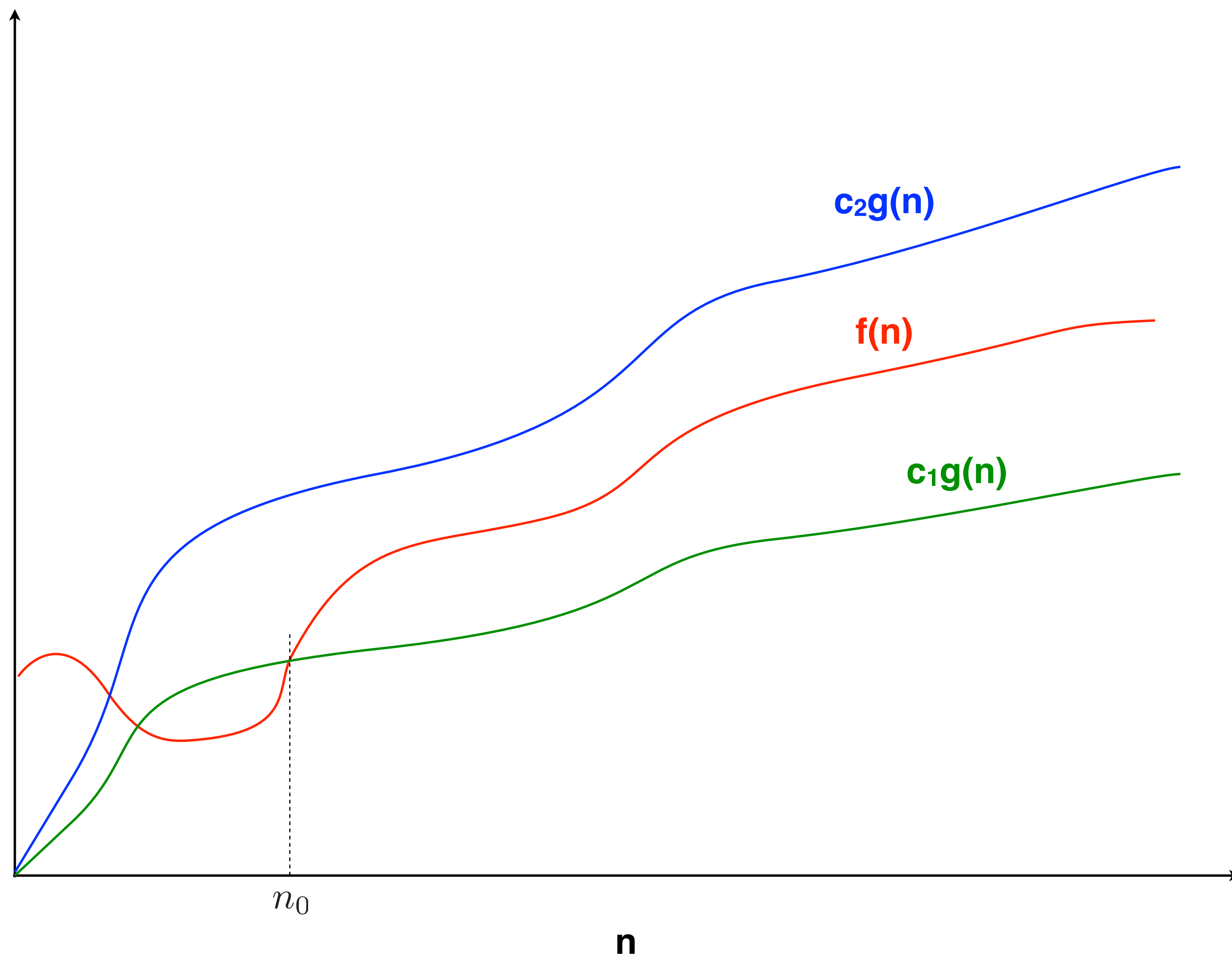


Omega sandwich



$$f(n) = O(g(n))$$

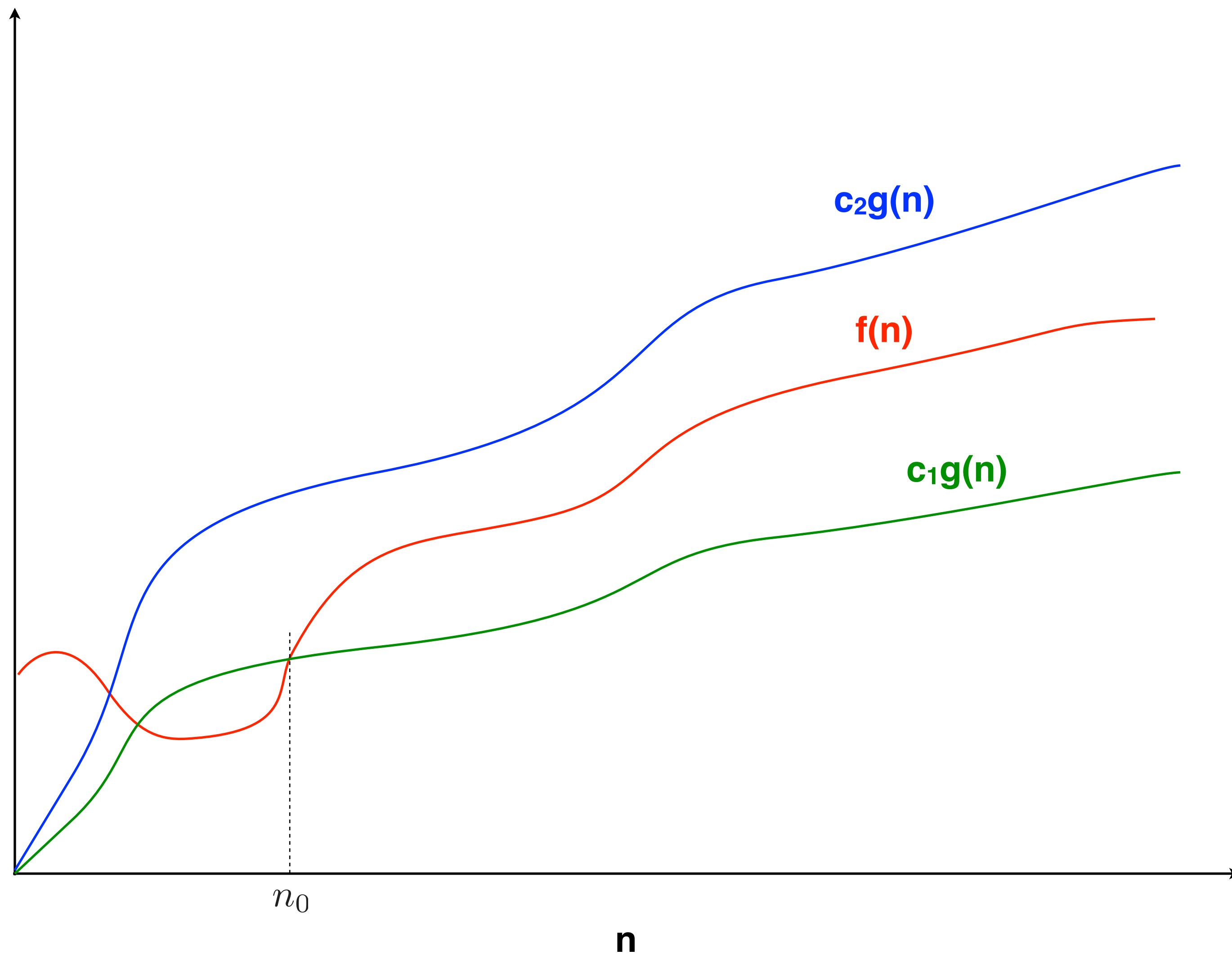
Omega sandwich



$$f(n) = O(g(n))$$

$$f(n) = \Omega(g(n))$$

Omega sandwich



$$f(n) = O(g(n))$$

$$f(n) = \Theta(g(n))$$

$$f(n) = \Omega(g(n))$$

Examples of asymptotic notation

intuition here

$$T(2^k) = 2 + T(2^{k-1})$$

$$= 2 + 2 + T(2^{k-2})$$

$$= \overbrace{2 + 2 + \cdots + 2}^k + T(2^0)$$

$$= 2k + T(1) = O(\log(2^k))$$

intuition here

$$T(2^k) = 2 + T(2^{k-1})$$

$$= 2 + 2 + T(2^{k-2})$$

$$= \overbrace{2 + 2 + \cdots + 2}^k + T(2^0)$$

$$= 2k + T(1) = O(\log(2^k))$$

$$\forall 0 < n < m, T(n) \leq T(m)$$

$$T(m) \leq T(2^{\lceil \log(m) \rceil}) = 2\lceil \log(m) \rceil + 2$$

intuition here

$$\begin{aligned}T(2^k) &= 2 + T(2^{k-1}) \\ &= 2 + 2 + T(2^{k-2}) \\ &= \overbrace{2 + 2 + \cdots + 2}^k + T(2^0) \\ &= 2k + T(1) = O(\log(2^k))\end{aligned}$$

$$\forall 0 < n < m, T(n) \leq T(m)$$

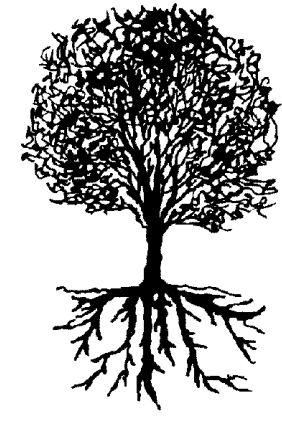
$$T(m) \leq T(2^{\lceil \log(m) \rceil}) = 2^{\lceil \log(m) \rceil} + 2$$

$$T(m) = \Omega(\log(m))$$

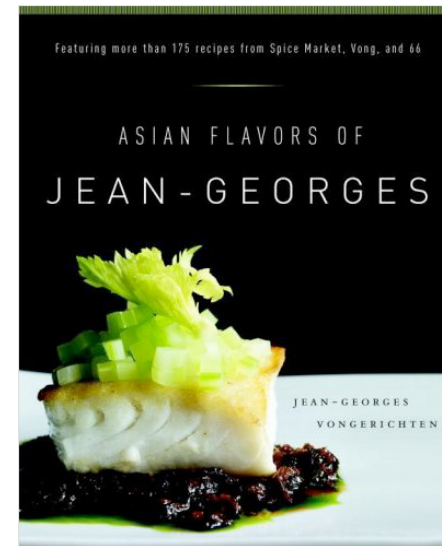
$$= \Theta(\log(m))$$

main ideas:

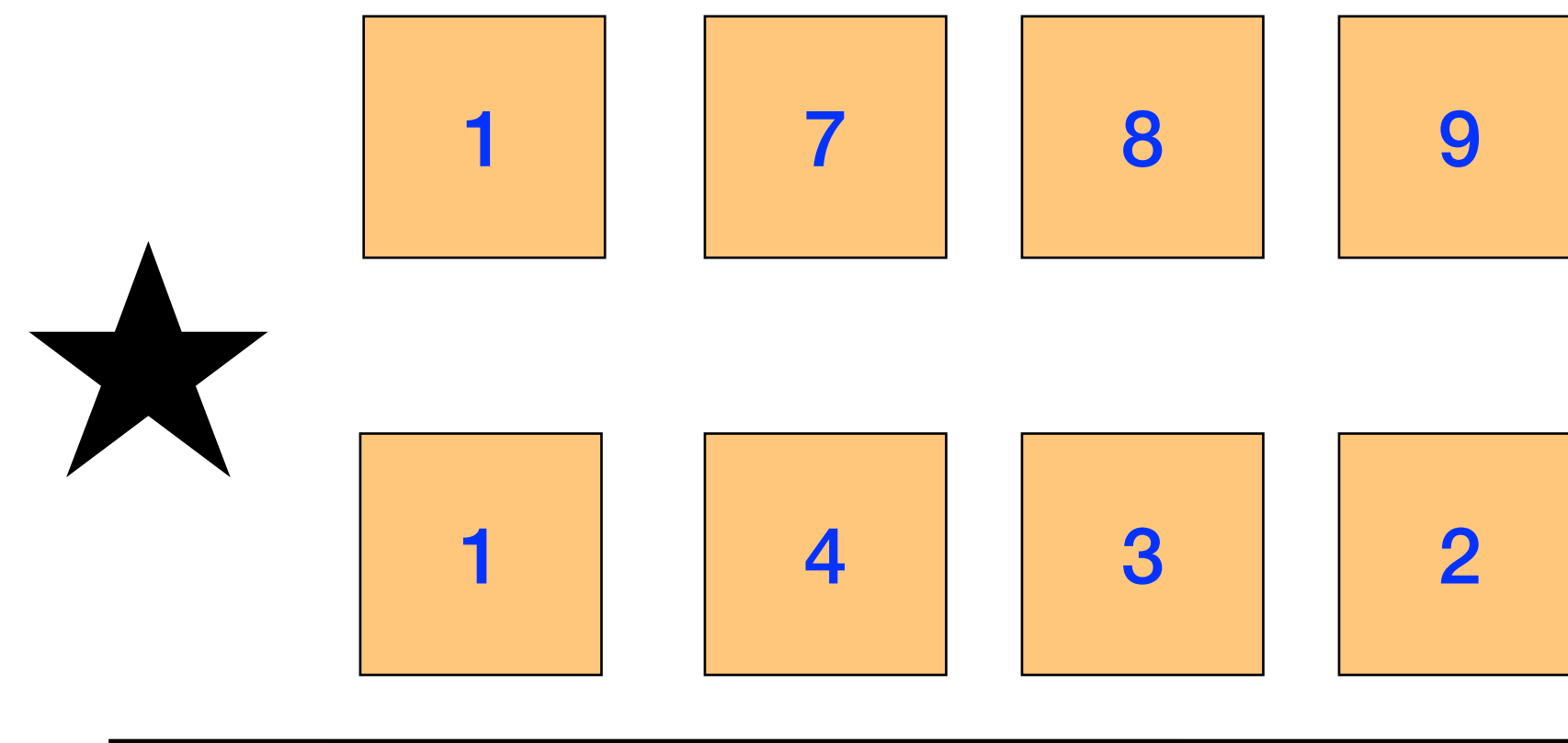
How to solve recurrence
relations



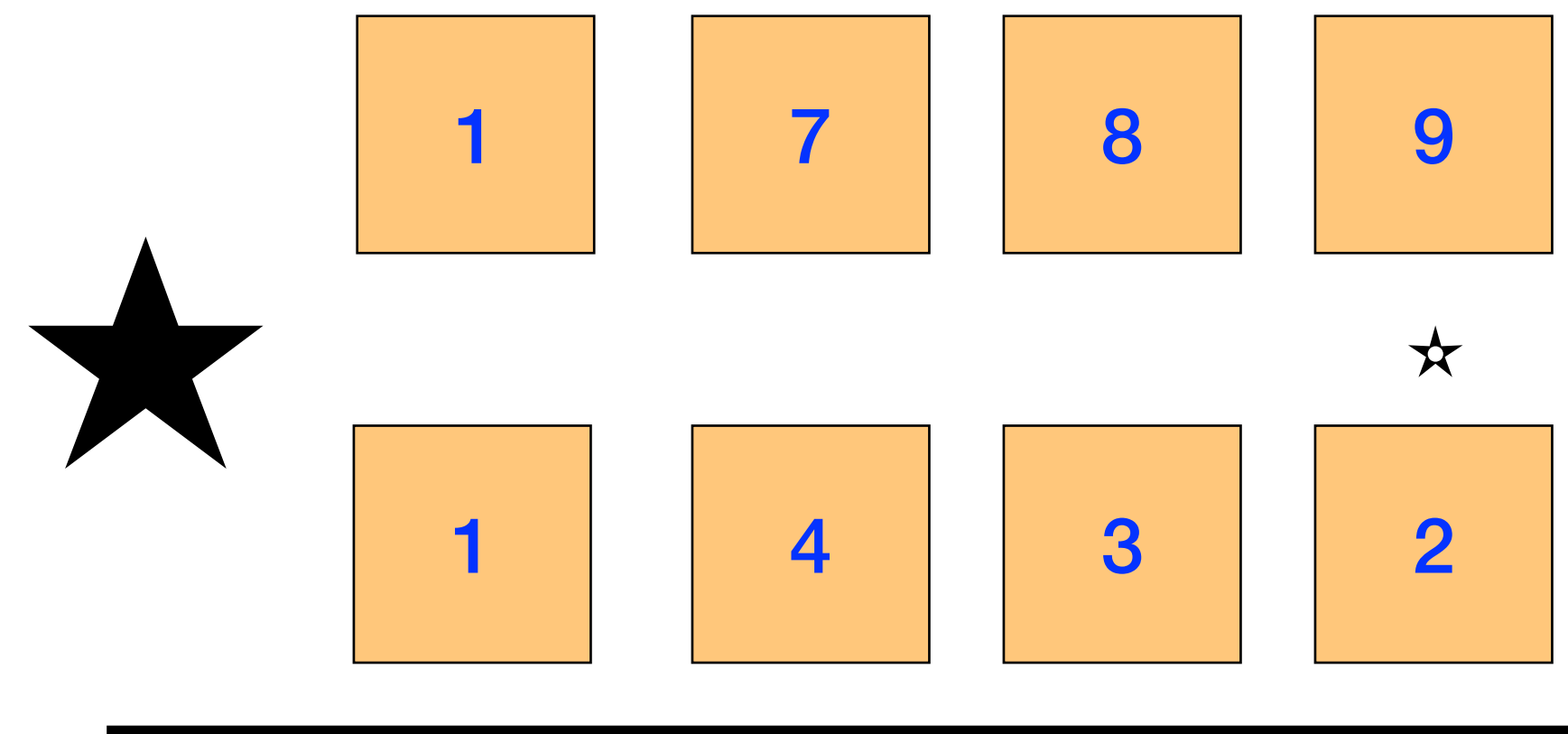
?-✓



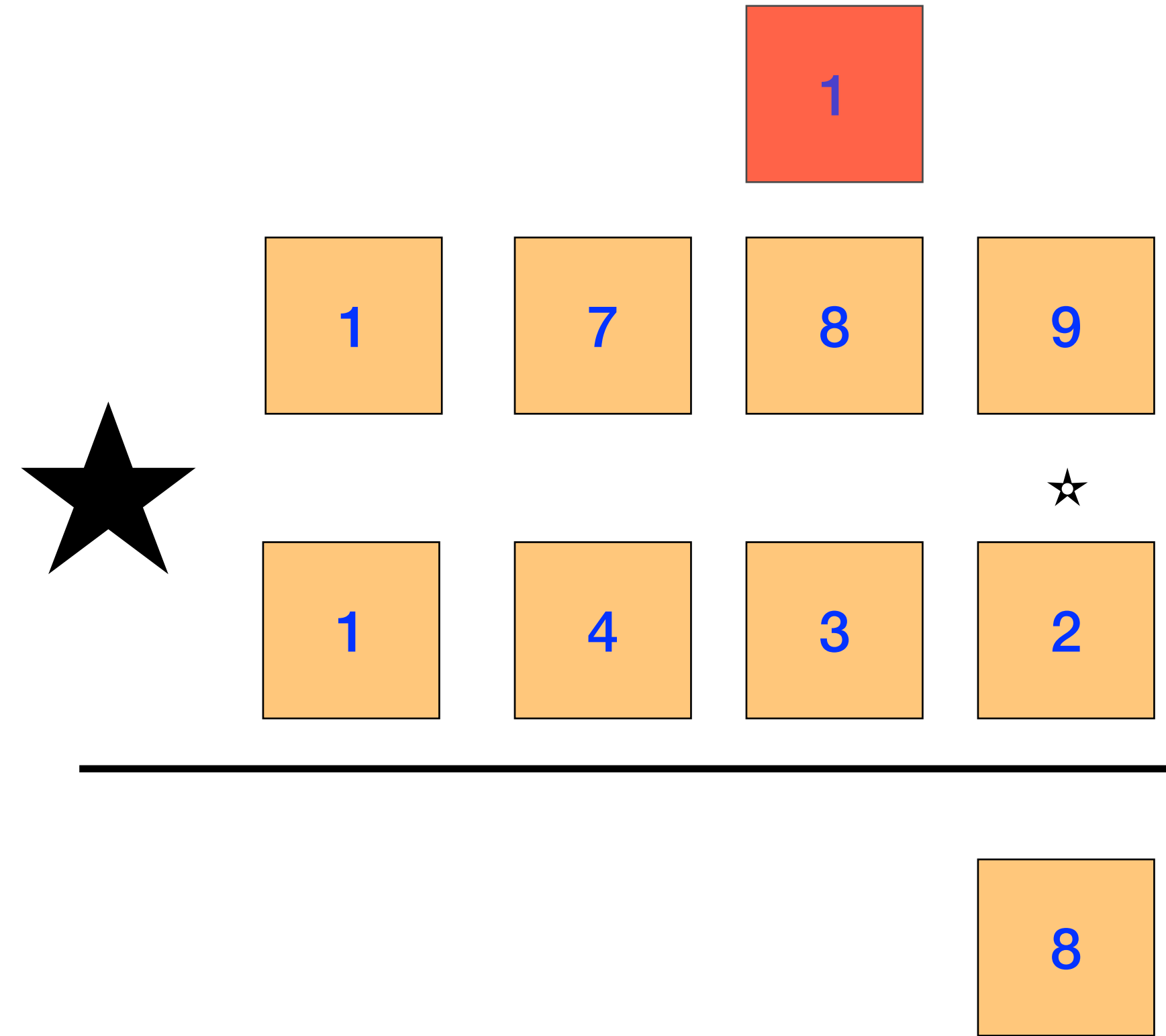
Multiplication



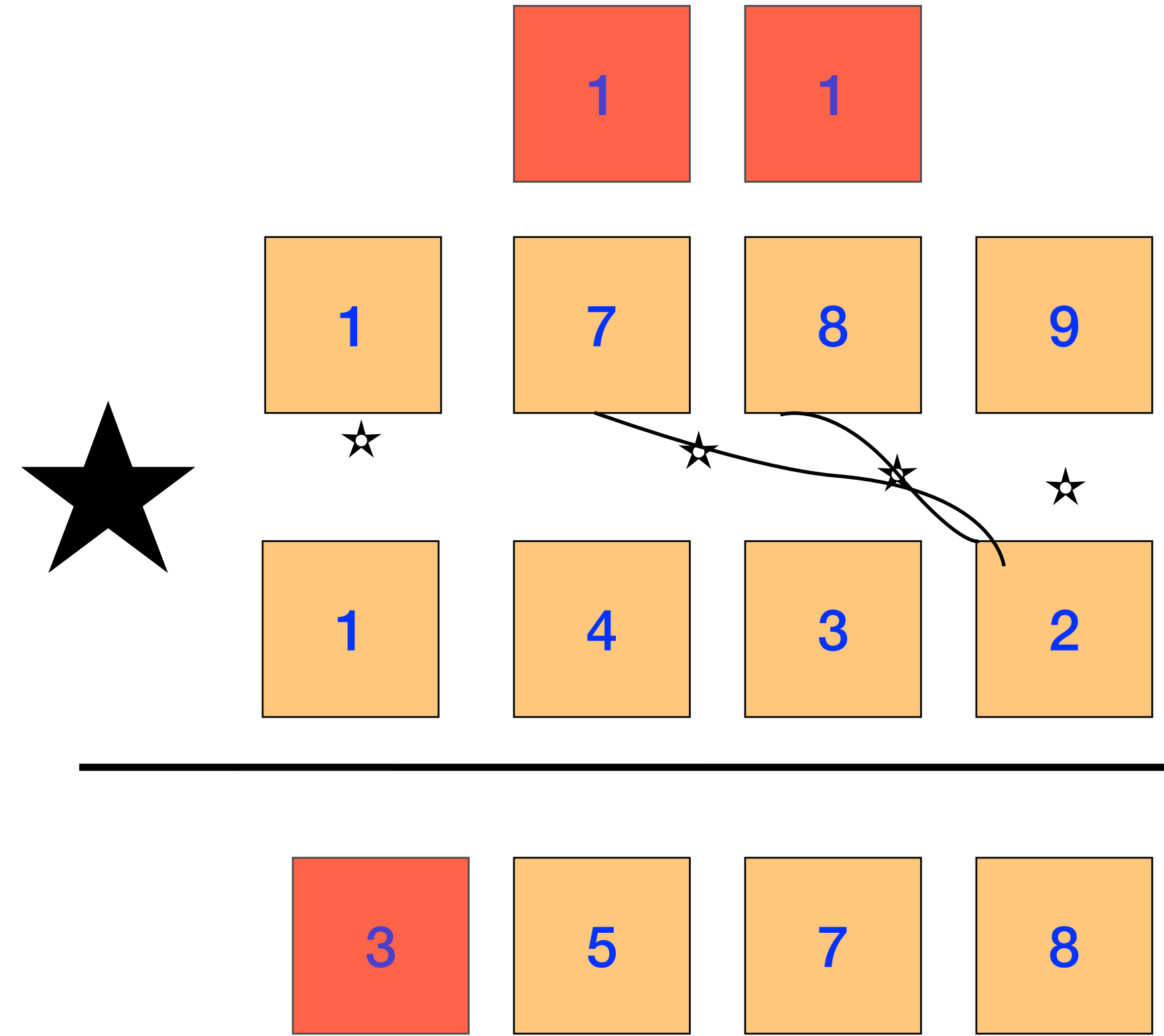
$$(n-1)(n+1) +$$



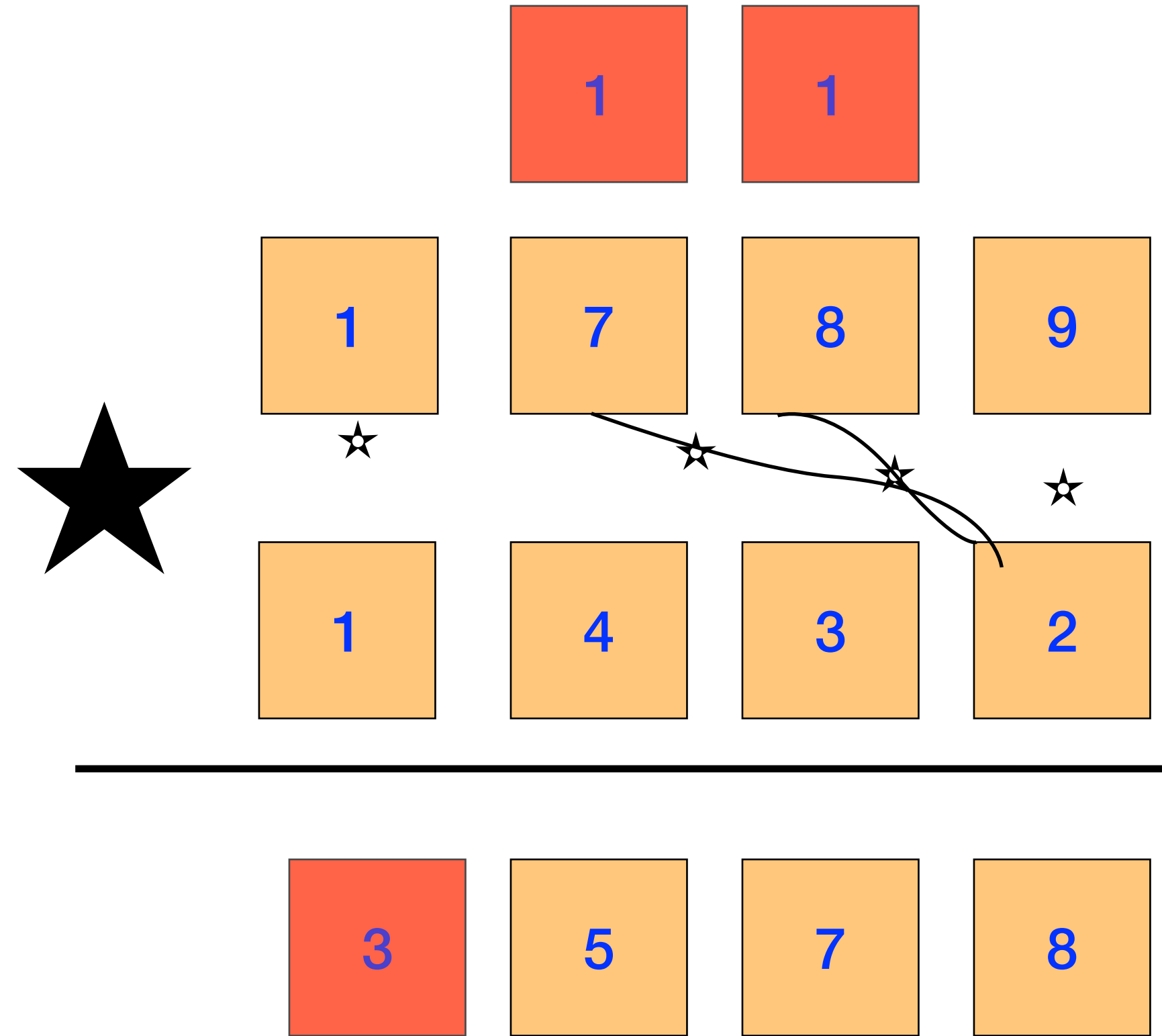
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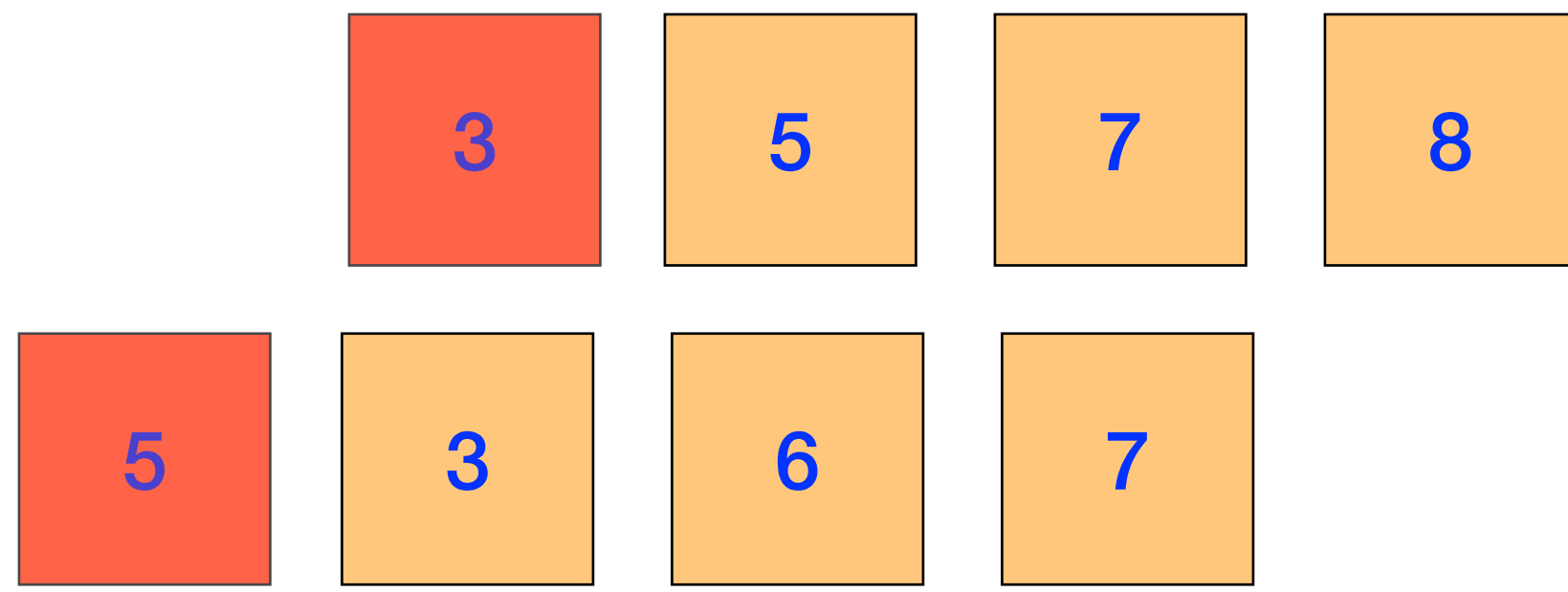
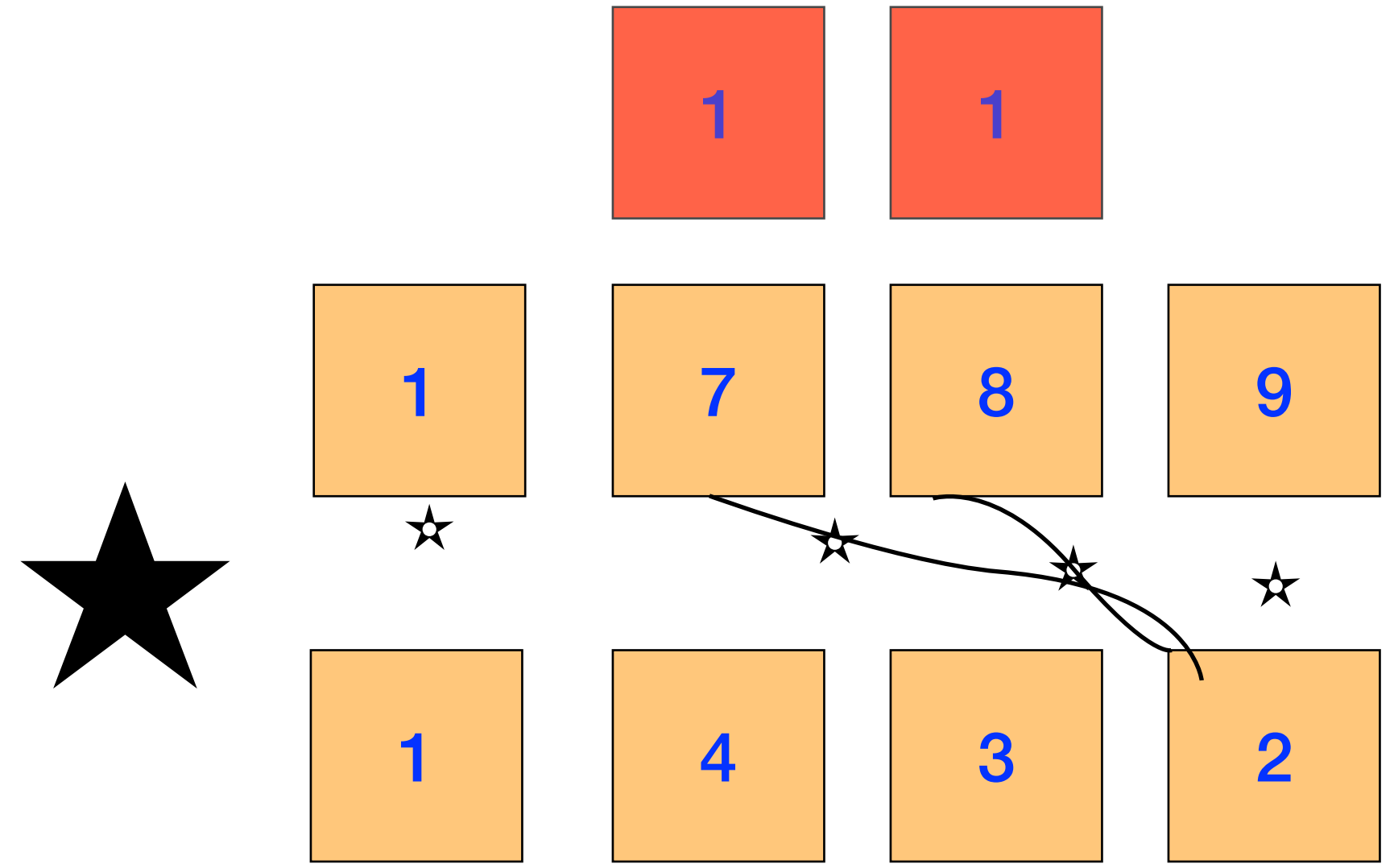


$$(n-1)(n+1) +$$



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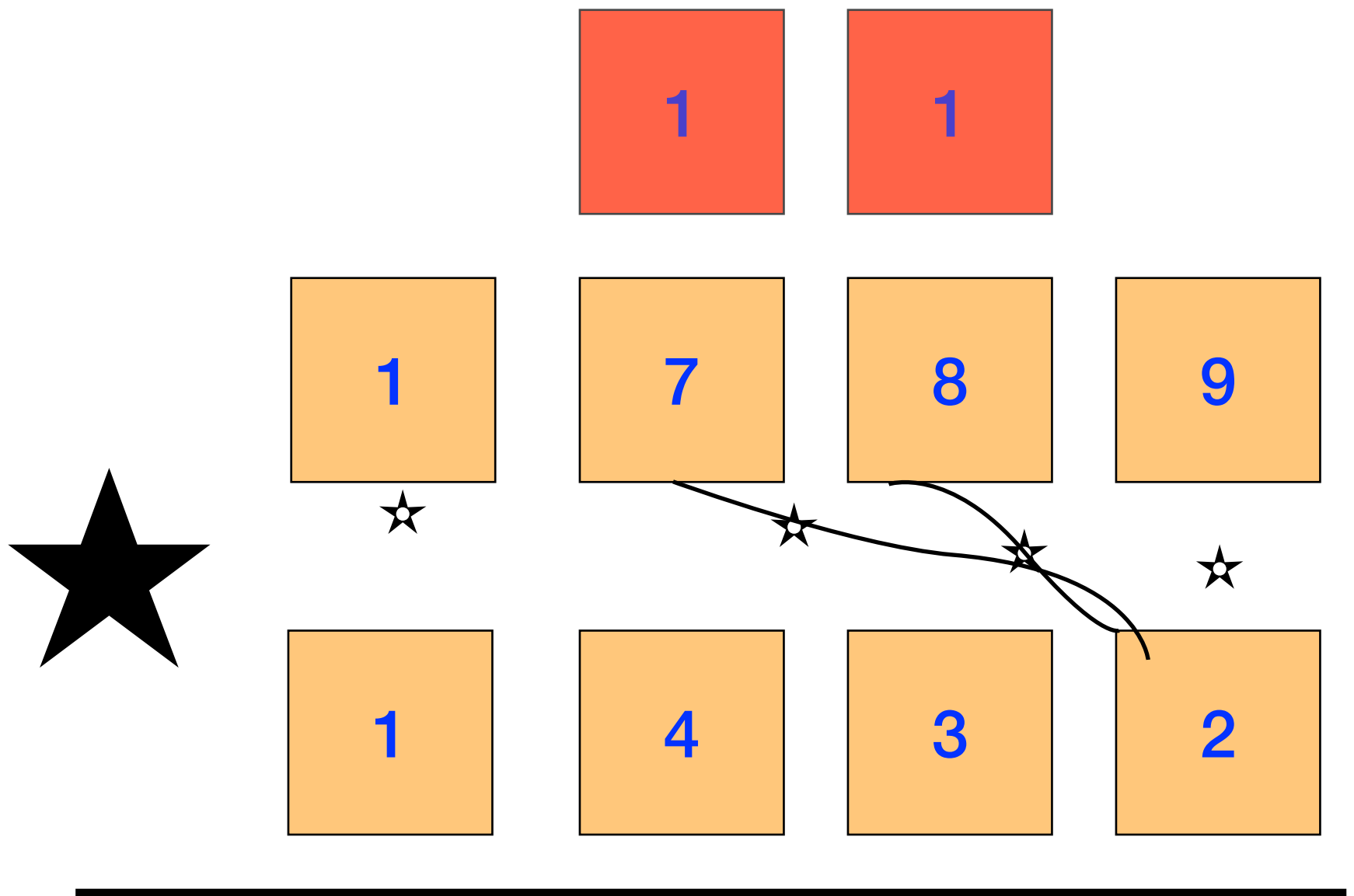
$$n \star \quad n-1 +$$



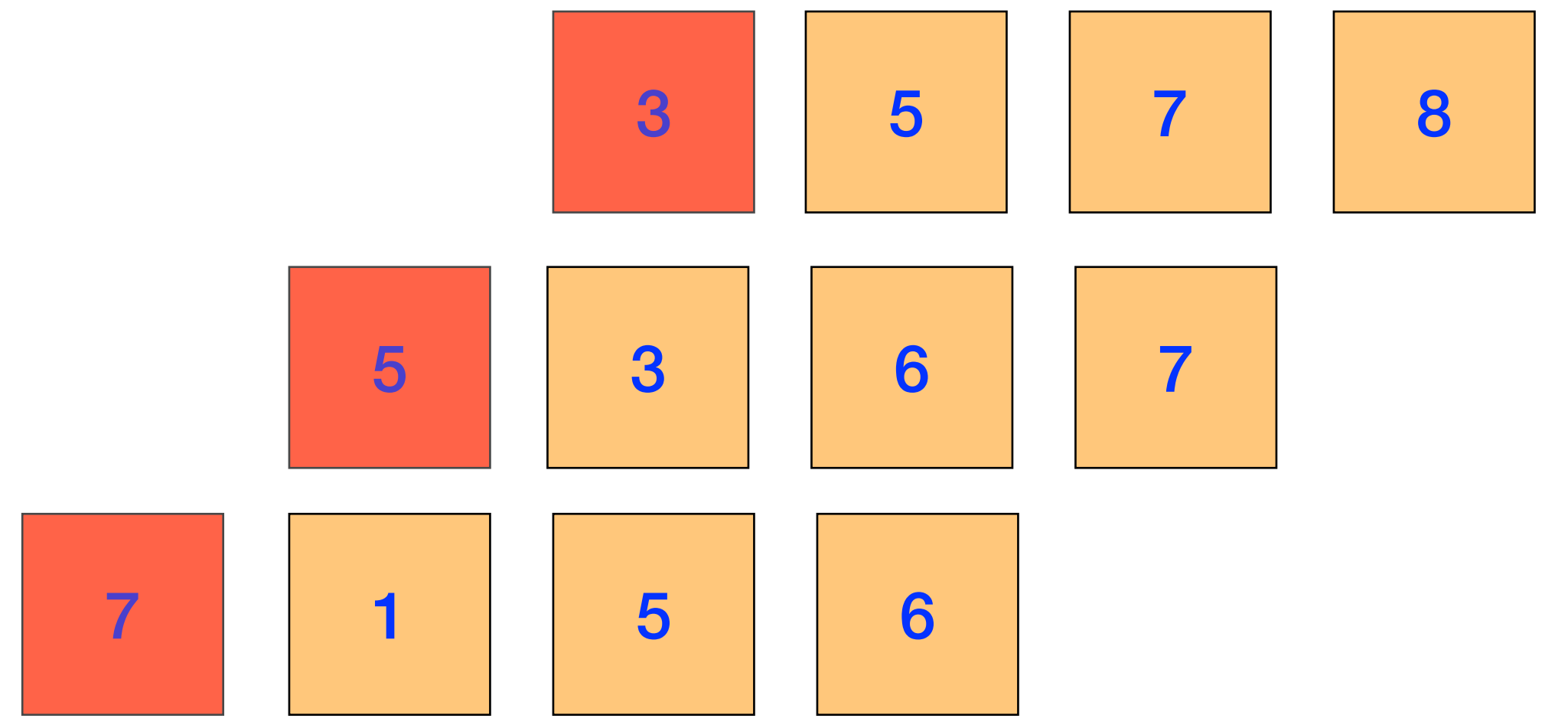
$$(n-1)(n+1) +$$

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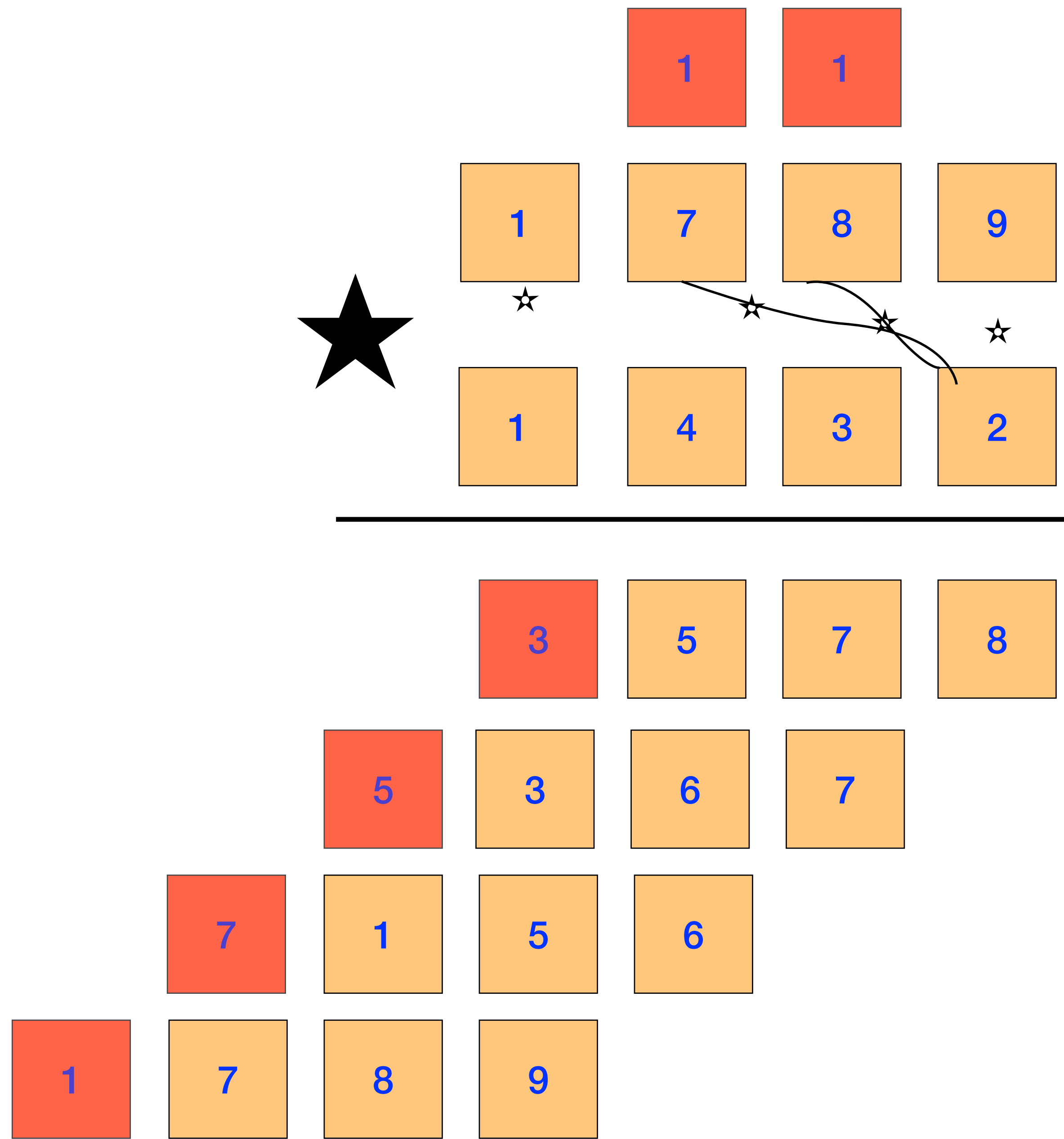
$$(n-1)(n+1) +$$



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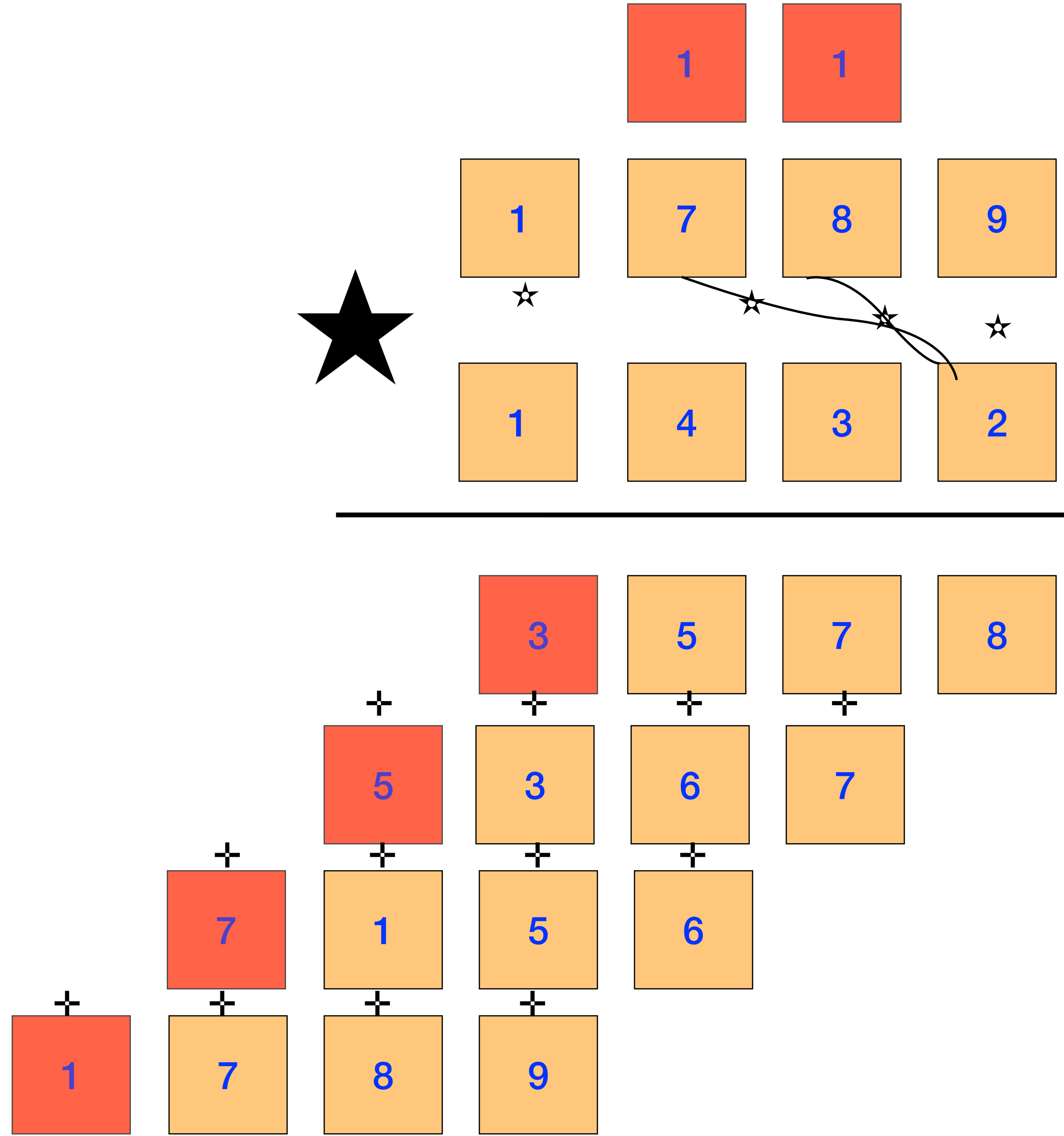
$$(n-1)(n+1) +$$

$$n \star \quad n-1 \quad +$$

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$$n \star \quad n-1 \quad +$$



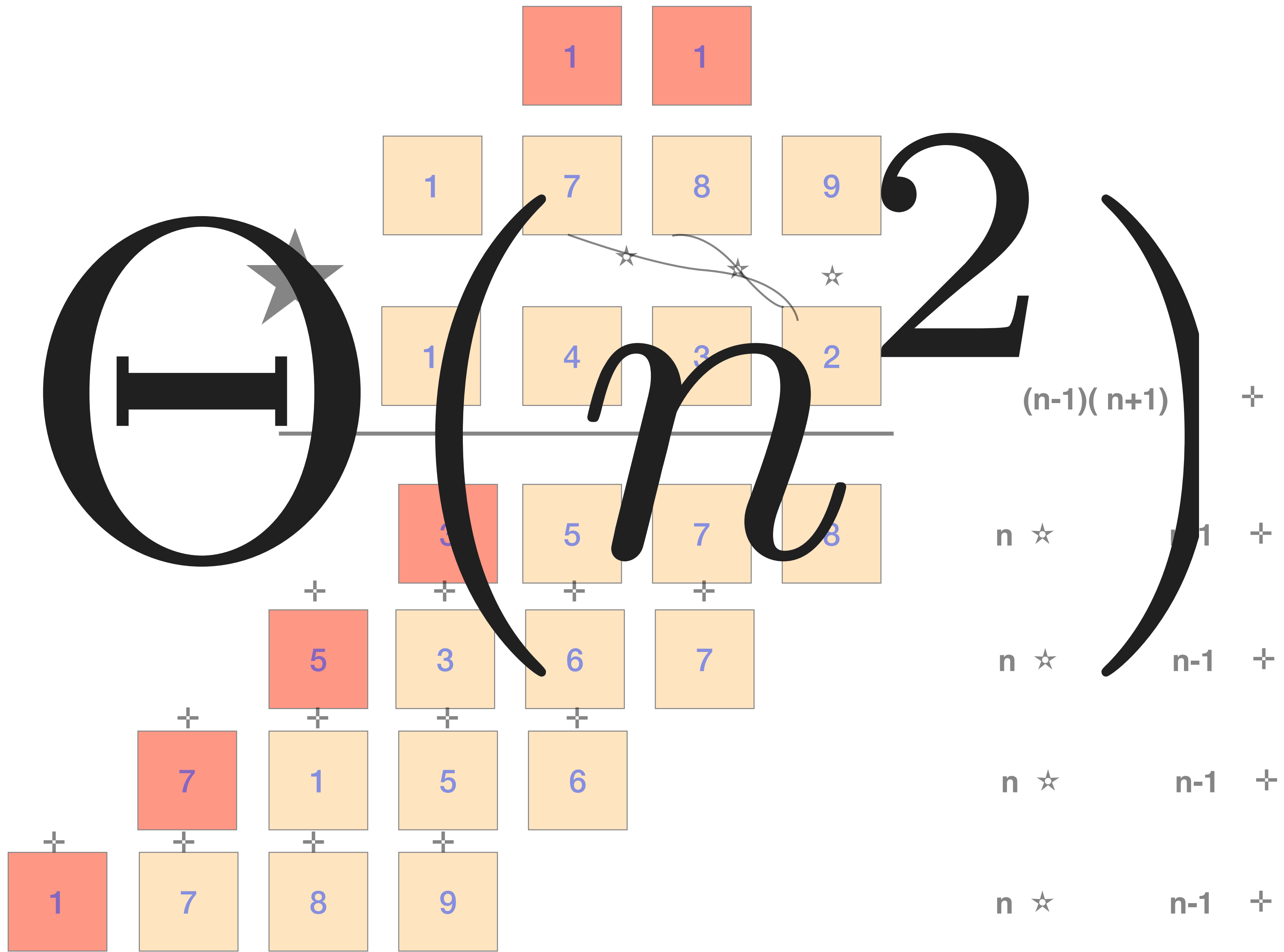
$(n-1)(n+1) +$

$n \star \quad n-1 \quad +$

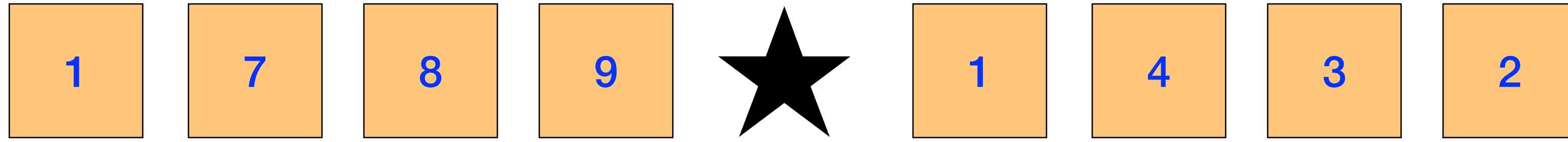
$n \star \quad n-1 \quad +$

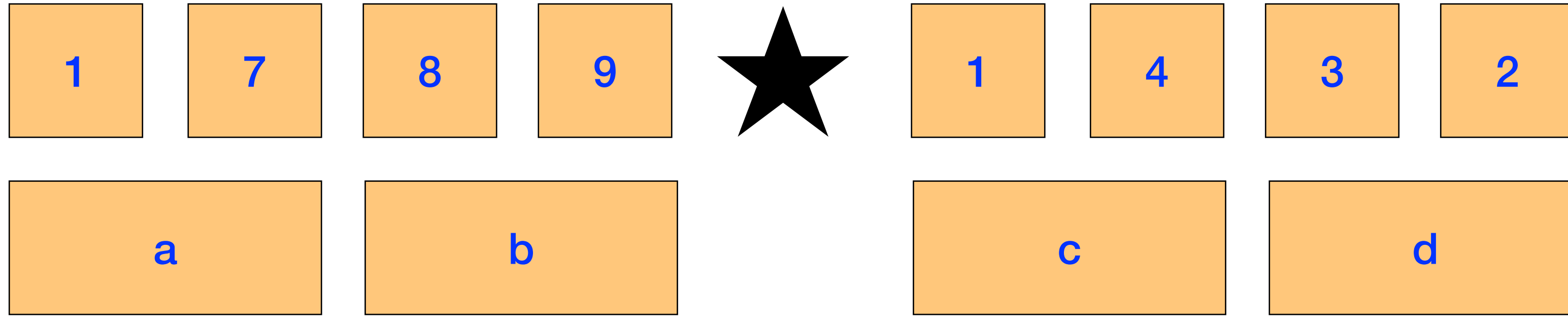
$n \star \quad n-1 \quad +$

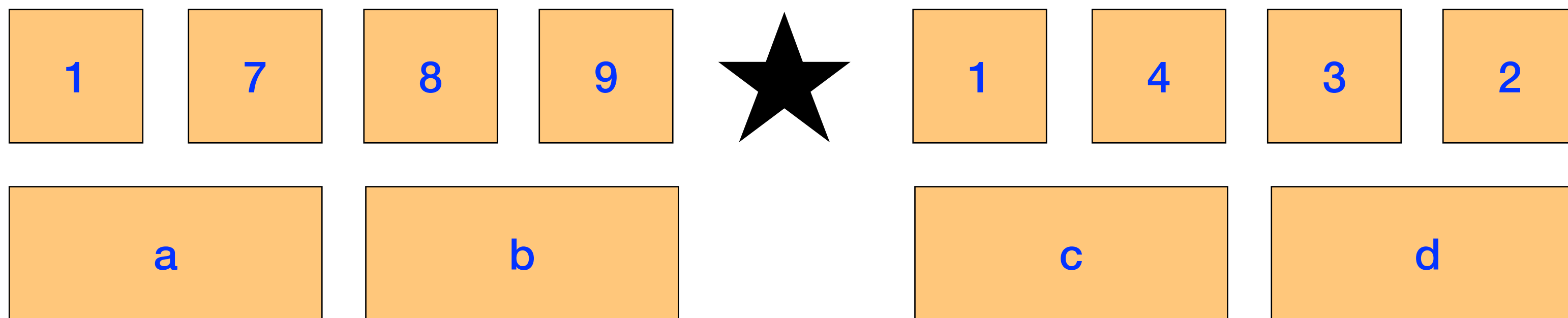
$n \star \quad n-1 \quad +$



Theme 1

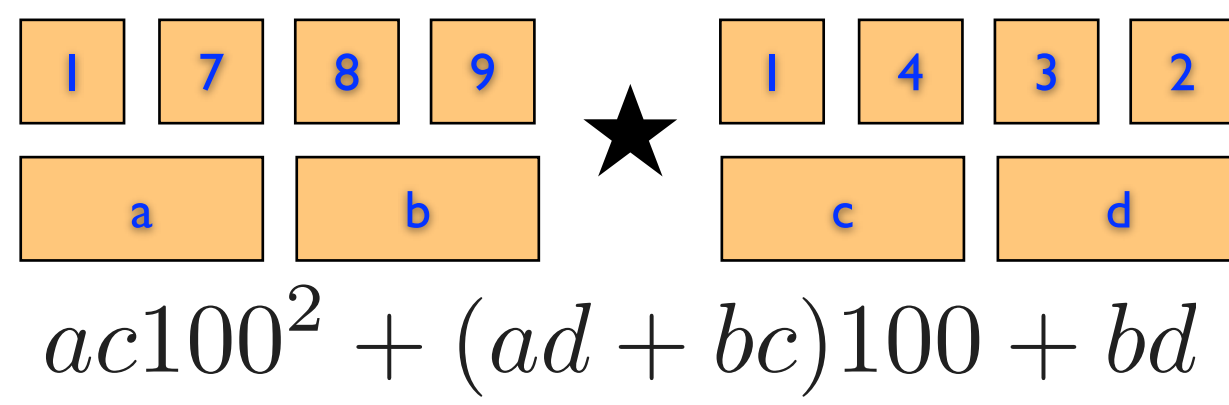






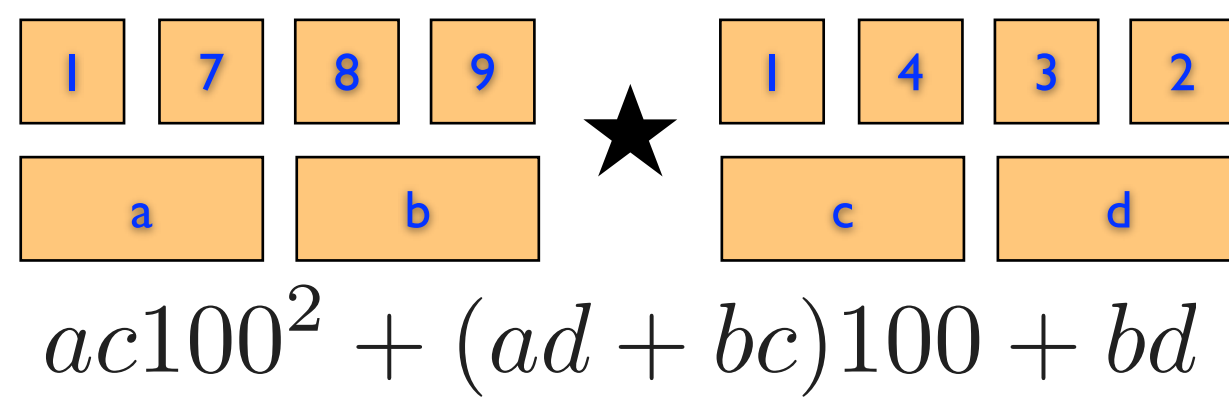
$$ac100^2 + (ad + bc)100 + bd$$

n-digit inputs
Mult(ab, cd)



Base case: return $b*d$ if inputs are 1-digit

n-digit inputs
Mult(ab, cd)



Base case: return $b*d$ if inputs are 1-digit

Else:

Compute $x = \text{Mult}(a,c)$

Compute $y = \text{Mult}(a,d)$

Compute $z = \text{Mult}(b,c)$

Compute $w = \text{Mult}(b,d)$

Return $r = x*10^n + (y+z)10^{n/2} + w$

$$T(n) = 4T(\lceil n/2 \rceil) + 3n$$



$$T(n) = 4T(\lceil n/2 \rceil) + 3n$$

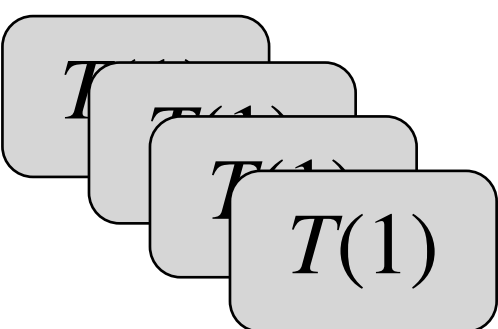
$$3n$$

$$4 \cdot (3n/2)$$

$$16 \cdot (3n/4)$$

...

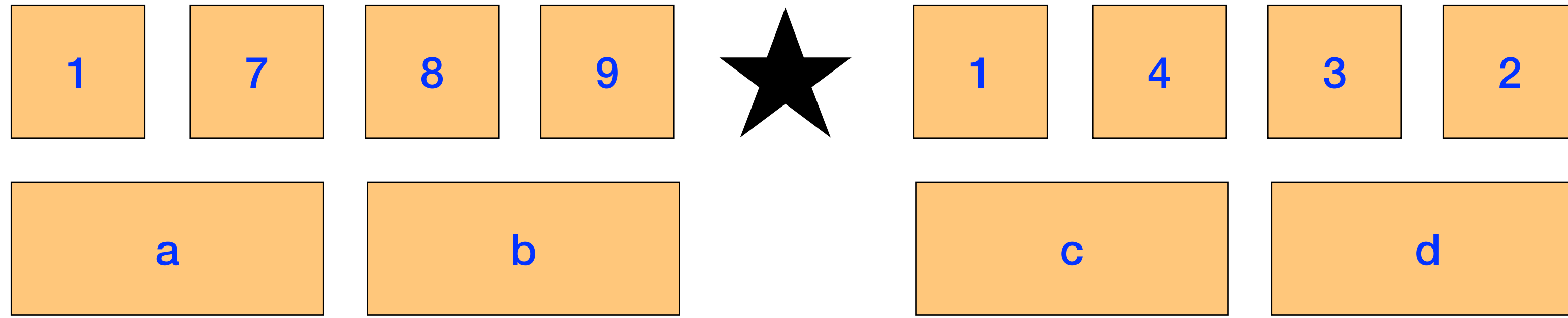
$$4^{\lceil \log n \rceil} \cdot (3n/2^{\lceil \log n \rceil})$$



calculations:

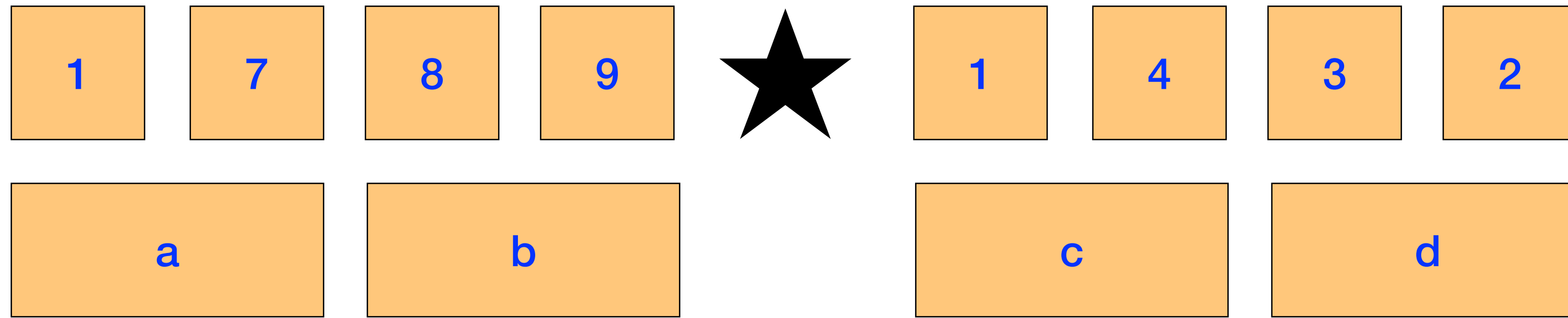
How can we improve?

Karatsuba Algorithm



$$ac100^2 + (ad + bc)100 + bd$$

Karatsuba Algorithm

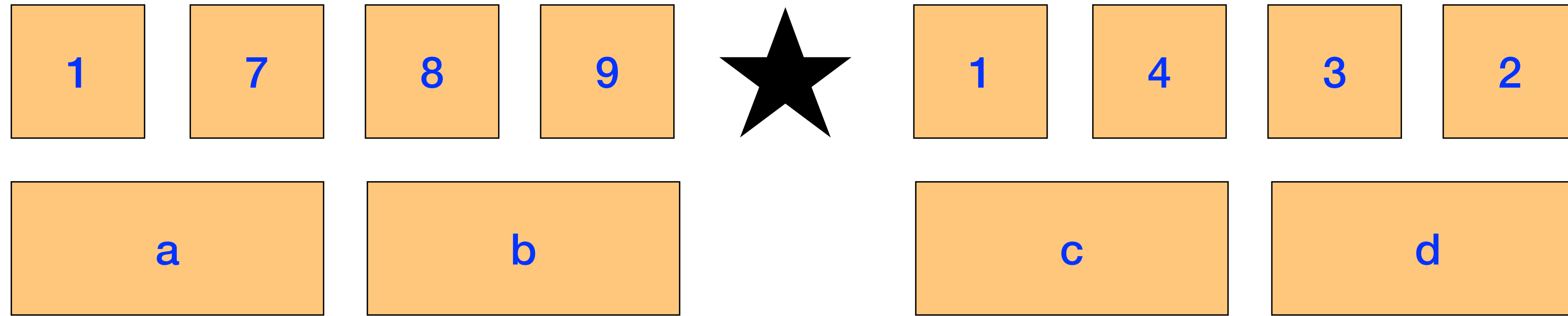


$$ac100^2 + (ad + bc)100 + bd$$

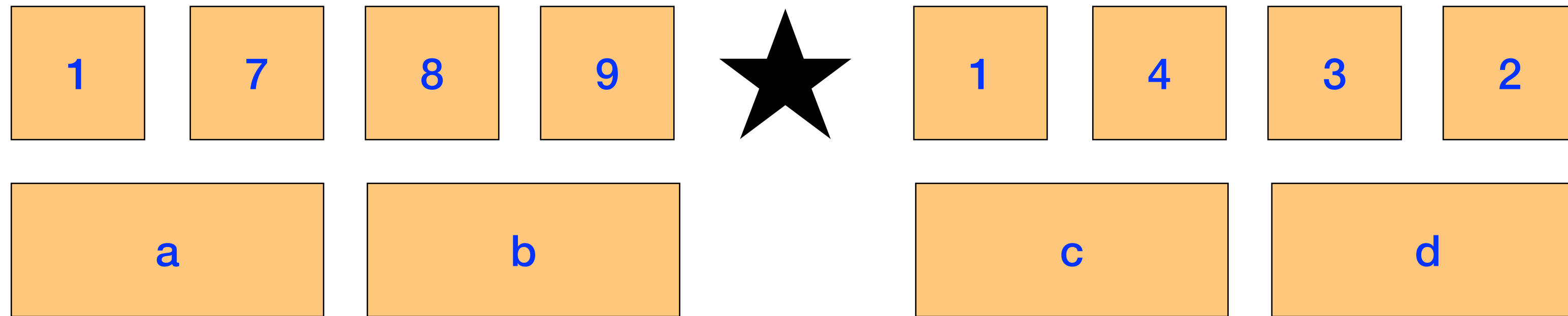
$$(a + b)(c + d) = ac + ad + bc + bd$$

$$ad + bc = (a + b)(c + d) - ac - bd$$

Karatsuba Algorithm



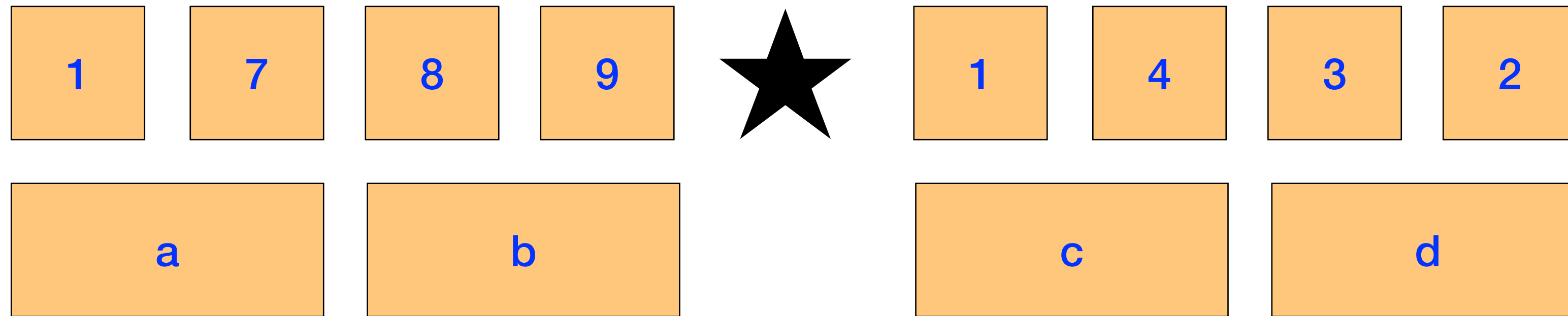
Karatsuba Algorithm



Recursively compute

1 $ac, bd, (a + b)(c + d)$

Karatsuba Algorithm

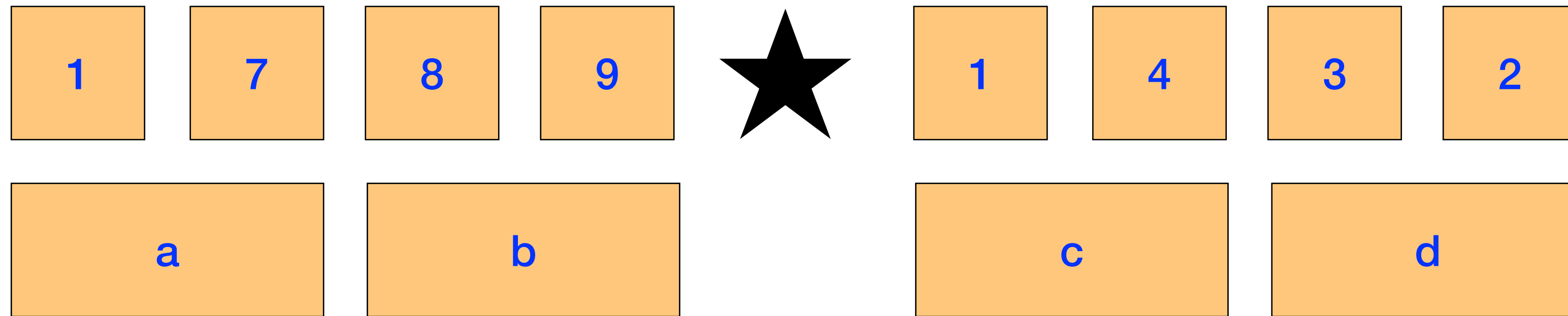


Recursively compute

1 $ac, bd, (a + b)(c + d)$

2 $ad + bc = (a + b)(c + d) - ac - bd$

Karatsuba Algorithm



Recursively compute

1 $ac, bd, (a + b)(c + d)$

2 $ad + bc = (a + b)(c + d) - ac - bd$

3 $ac100^2 + (ad + bc)100 + bd$

Karatsuba(ab, cd)

Base case: return $b*d$ if inputs are 1-digit

$ac = \text{Karatsuba}(a,c)$

$bd = \text{Karatsuba}(b,d)$

$t = \text{Karatsuba}((a+b),(c+d))$

$\text{mid} = t - ac - bd$

RETURN $ac*100^2 + \text{mid}*100 + bd$

Karatsuba(ab, cd)

Base case: return $b*d$ if inputs are 1-digit

$ac = \text{Karatsuba}(a,c)$

$bd = \text{Karatsuba}(b,d)$

$t = \text{Karatsuba}((a+b),(c+d))$

$\text{mid} = t - ac - bd$

RETURN $ac*100^2 + \text{mid}*100 + bd$

$3T(n/2) + 2n$
Ignoring issue of carries

$4n$

$4n$

$$T(n) = 3T(n/2) + O(n)$$



calculations:

calculations:

$$T(n) = 9n + \left(\frac{3}{2}\right) \cdot 9n + \left(\frac{3}{2}\right)^2 \cdot 9n + \dots + \left(\frac{3}{2}\right)^{\lceil \log_2 n \rceil} \cdot 9n$$

$$= 9n \left[1 + \left(\frac{3}{2}\right) + \left(\frac{3}{2}\right)^2 + \dots + \left(\frac{3}{2}\right)^{\lceil \log_2 n \rceil} \right] = 9n \left[\frac{\left(\frac{3}{2}\right)^{\lceil \log_2 n \rceil + 1} - 1}{\left(\frac{3}{2}\right) - 1} \right]$$

$$= (9n)(2) \left[\left(\frac{3}{2}\right)^{\log_2 n + 1} - 1 \right]$$

$$3 = 2^{\log_2 3}$$

$$= (9n)(2) \left(\frac{3^{\log_2 n}}{2^{\log_2 n}} \right) - 18n$$

$$= \frac{27 \cdot 3^{\log_2 n}}{1 \text{ aka}} - 18n = 27 \cdot n^{\log_2 3} - 18n = O(n^{\log_2 3})$$

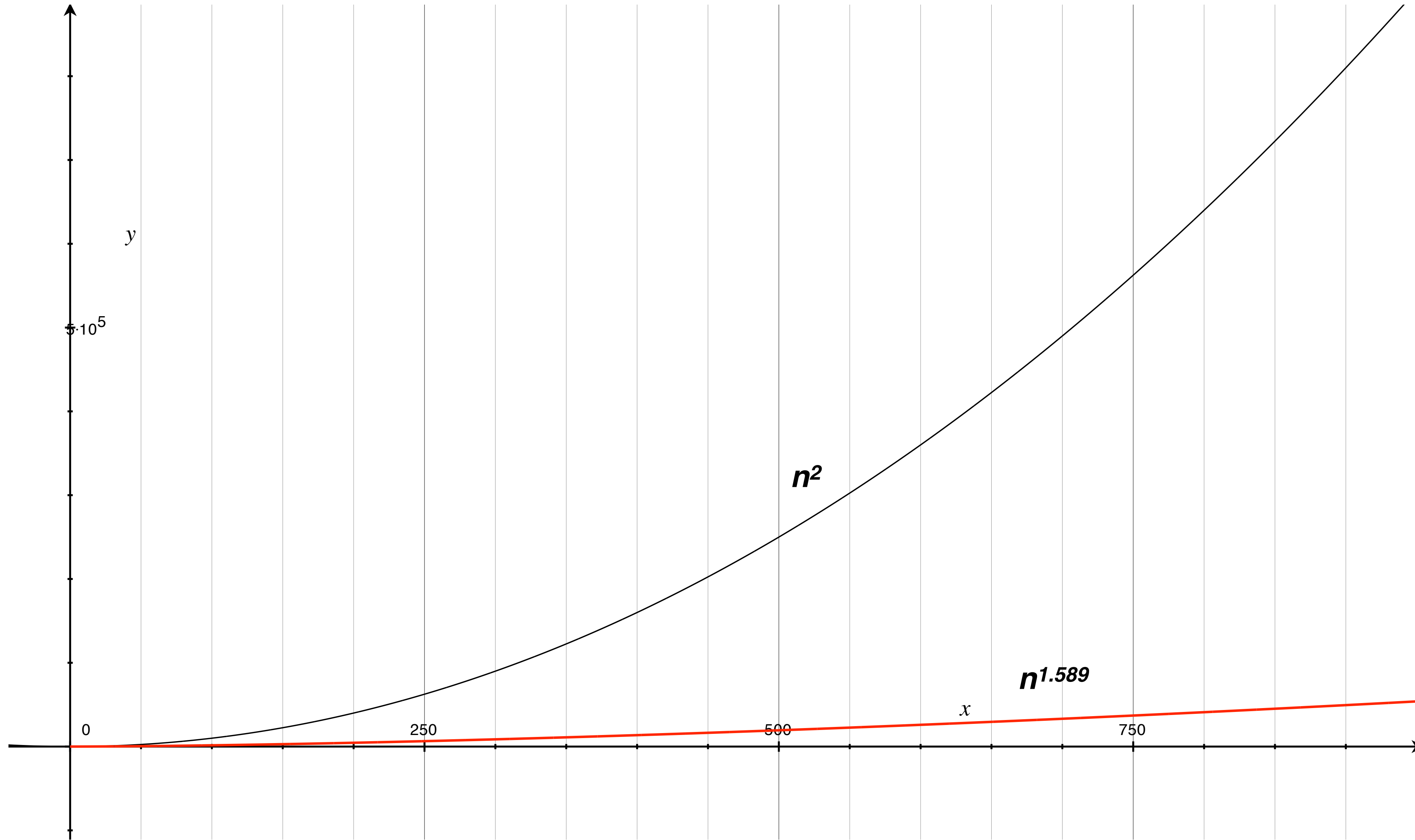
$$= (2^{\log_2 3})^{\log_2 n} = (2^{\log_2 n})^{\log_2 3} = (n^{\log_2 3})$$

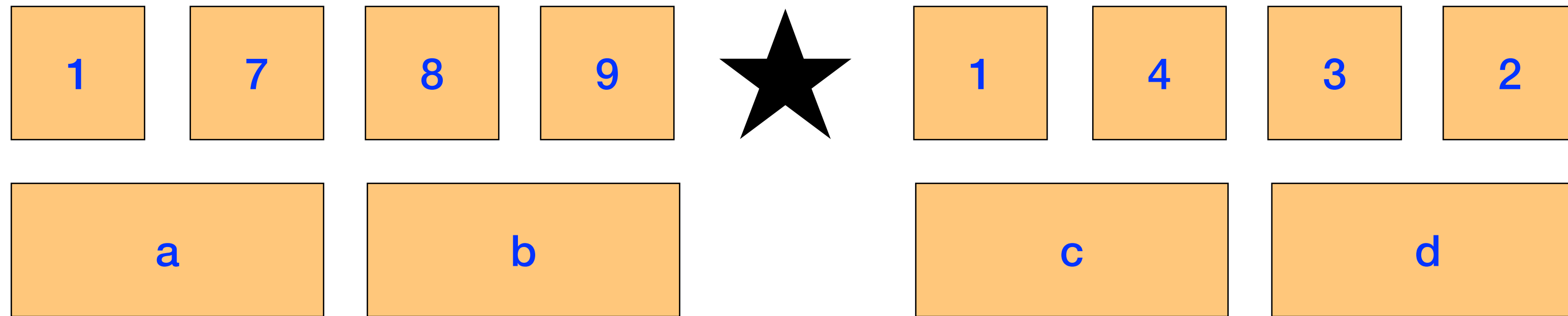
$$T(n) = 3T(n/2) + 9n$$

$$O(n^{\log_2(3)})$$

$$T(n) = 3T(n/2) + 9n$$

$$O(n^{\log_2(3)}) \quad O(n^{1.589})$$





$$T(n) = 3T(n/2) + 9n$$

$$T(n) = 4T(n/2) + 3n$$

simpler proof technique?

1

induction redux

classic

goal:

prove that some property $P(k)$ is true for all k

$\forall k, P(k)$ holds

1

one long proof...

classic
goal:

prove that some property $P(k)$ is true for all k

$\forall k, P(k)$ holds

1

Induction

classic

base case:

$P(1)$ is true.

classic

inductive
step:

$P(1)$
 \dots
 $P(k)$ }

implies

$P(k + 1)$ is true

1

Induction, asymptotic style

classic

base case: $P(n^*)$ is true.

classic

inductive
step:

$P(n^*)$
...
 $P(k)$ }

implies

$P(k + 1)$ is true

simpler proof (guess +chk)

$$T(n) = 3T(n/2) + 9n$$

simpler proof

simpler proof

$$T(n) = 3T(n/2) + cn$$

Induction hypothesis: $T(n) < dn^{1.59}$

It is true for $n=1$. suppose it is true for $n < n_0$.

$$T(n_0 + 1) = 3T((n_0 + 1)/2) + c(n_0 + 1)$$

$$< 3d[(n_0 + 1)/2]^{1.59} + c(n_0 + 1)$$

By the induction hypothesis

$$< 3/2^{1.59}d(n_0 + 1)^{1.59} + c(n_0 + 1)$$

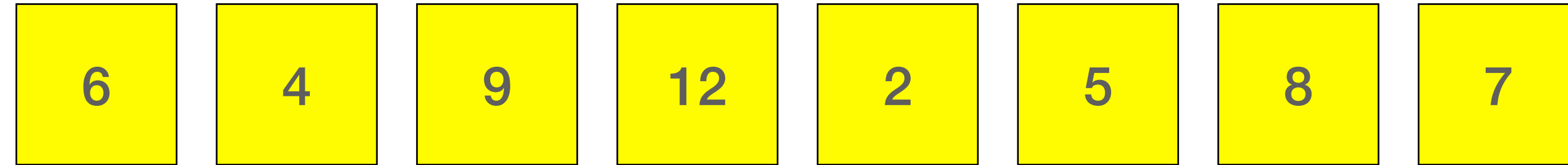
$$< 0.997d(n_0 + 1)^{1.59} + c(n_0 + 1)$$

Another example: sorting

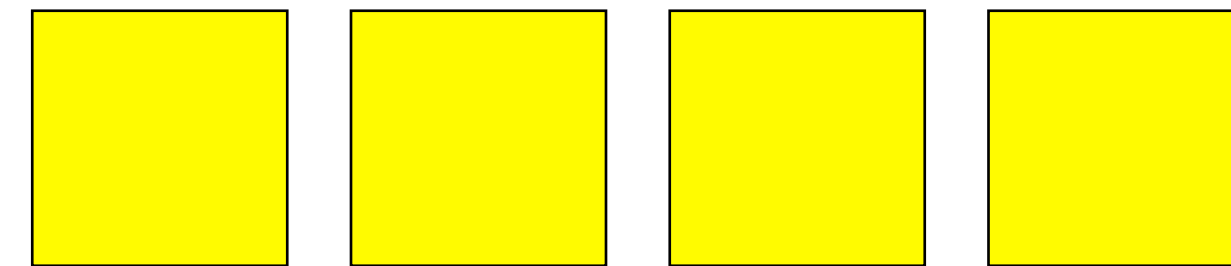
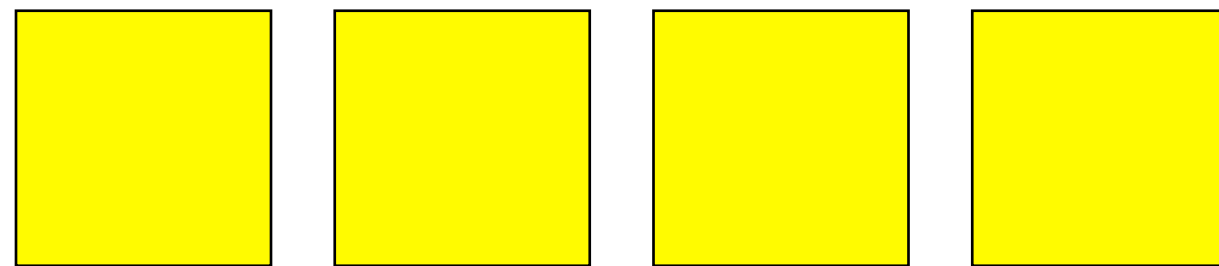
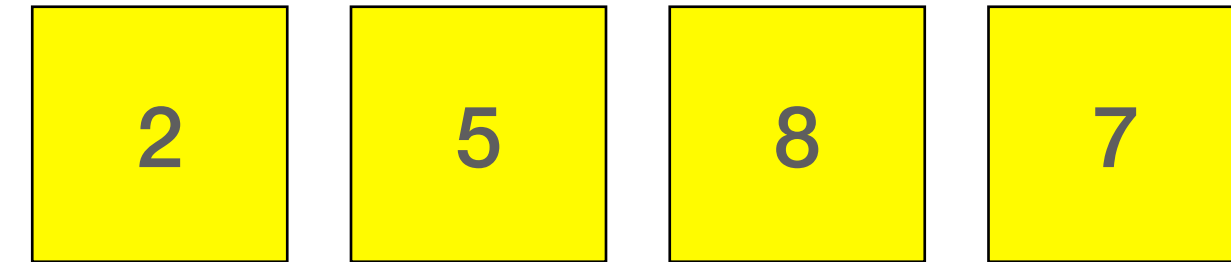
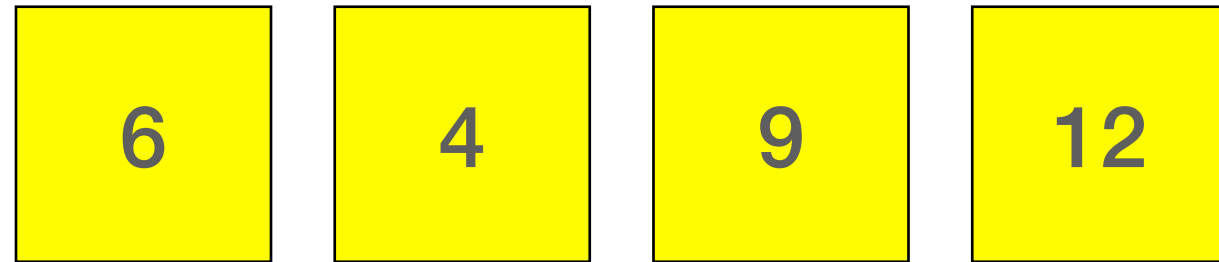
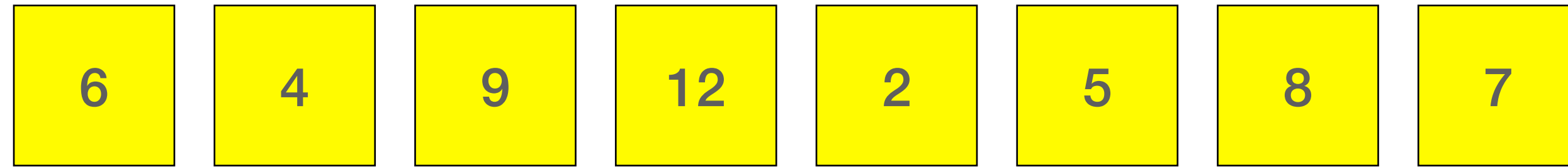
mergesort

goal:

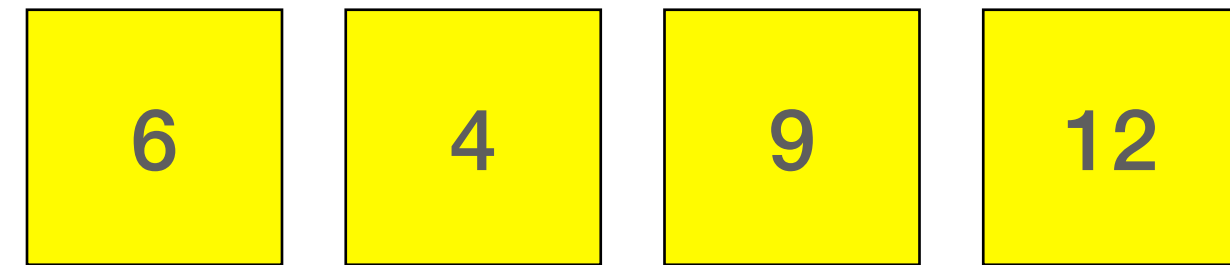
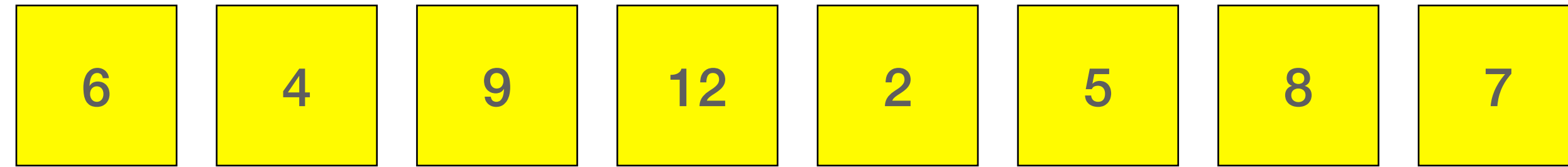
technique:



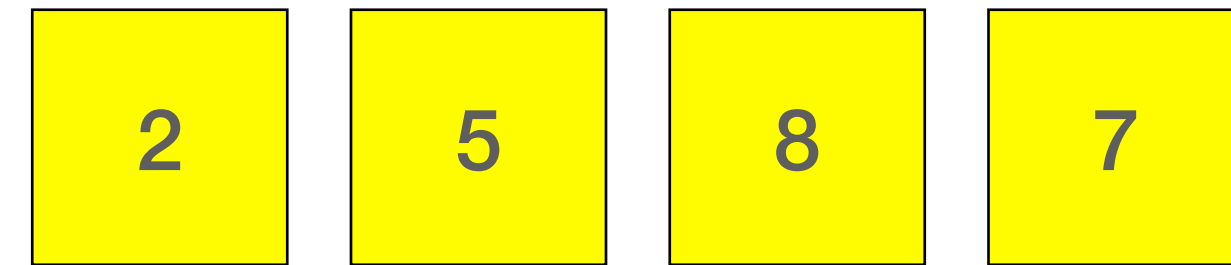
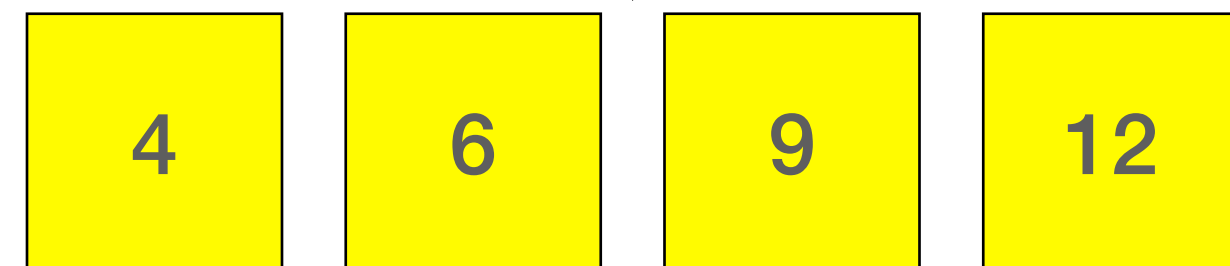
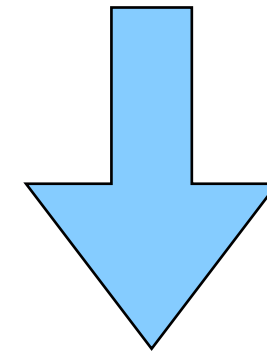
mergesort



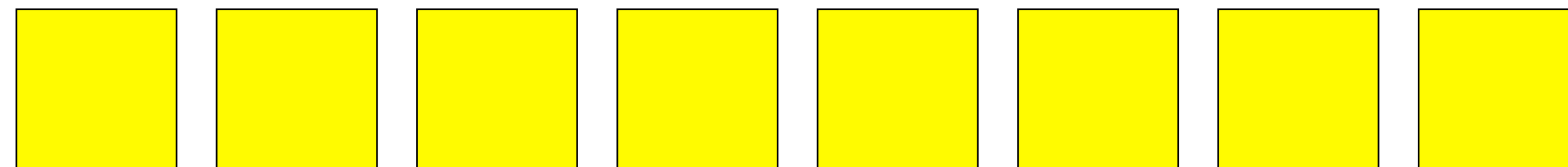
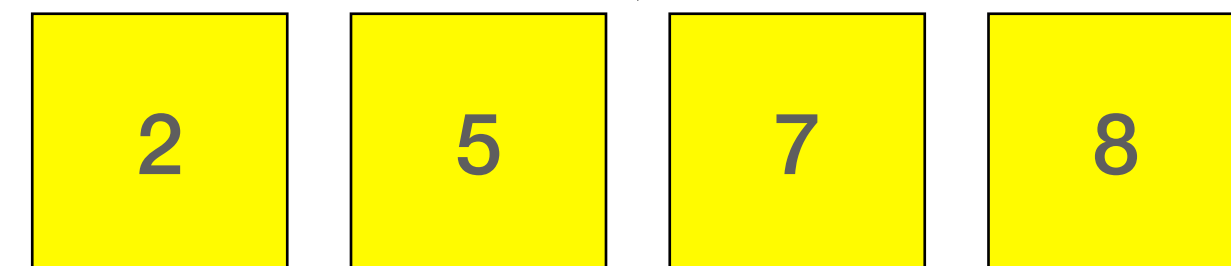
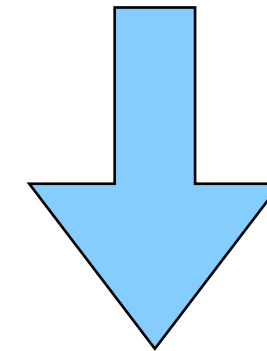
mergesort



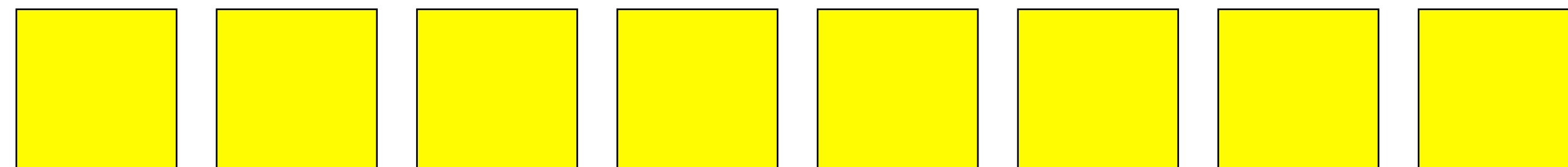
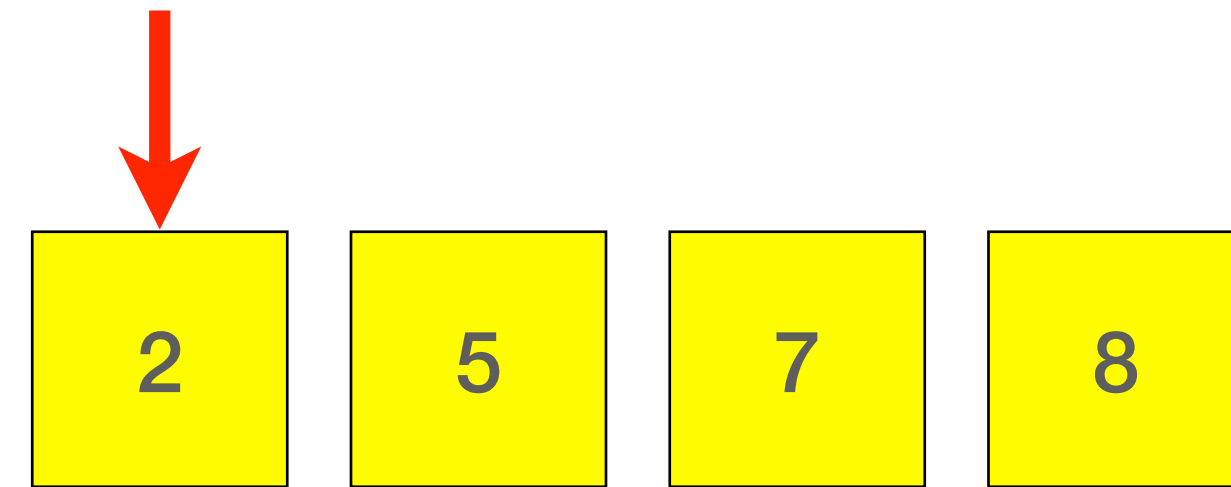
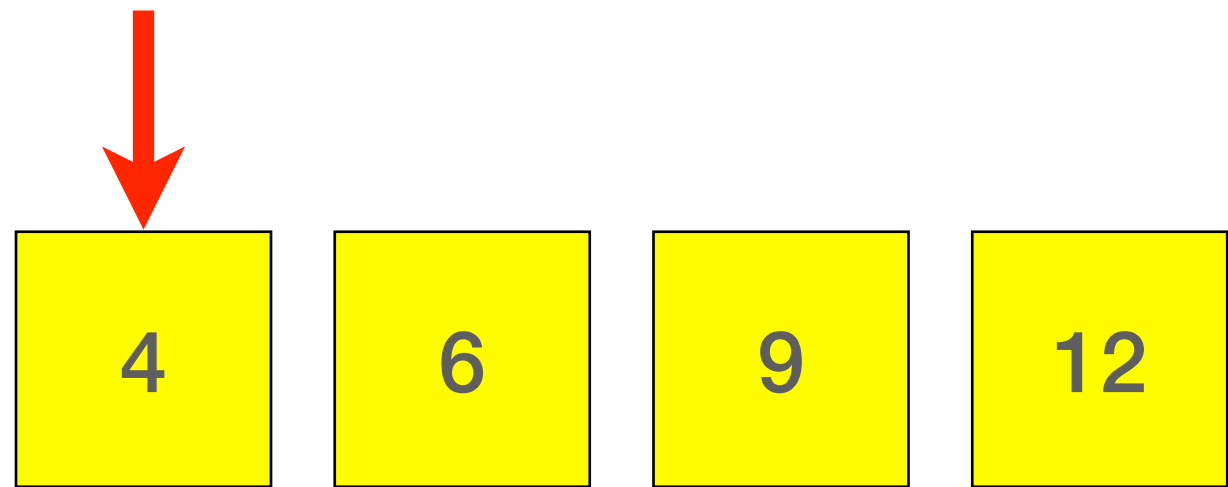
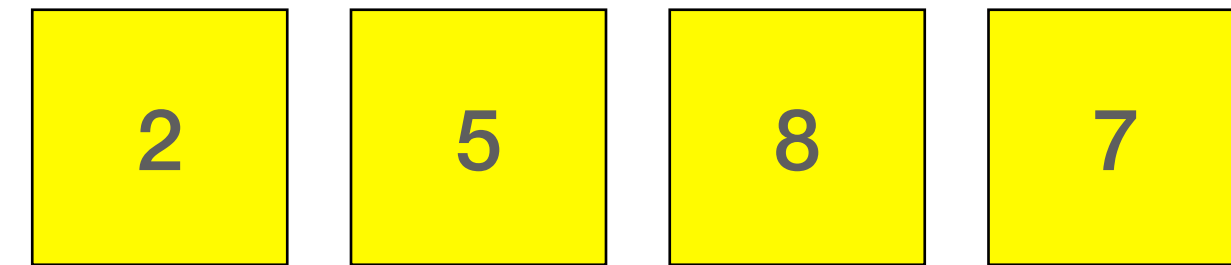
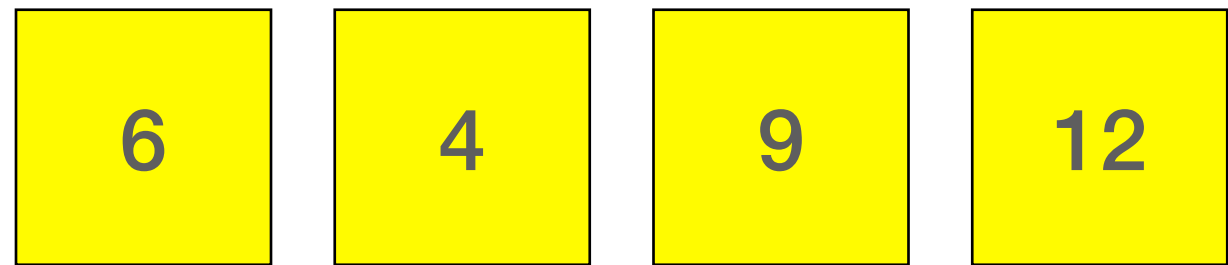
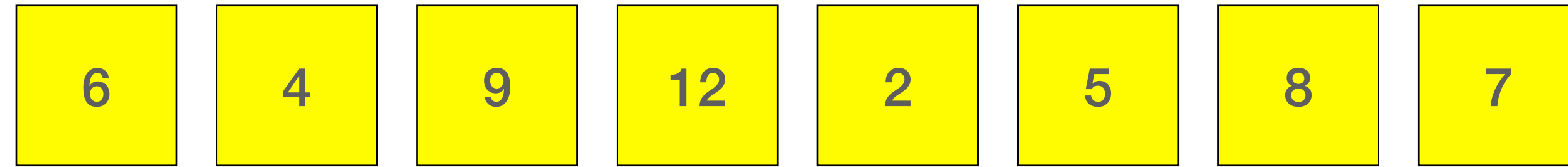
sort left half



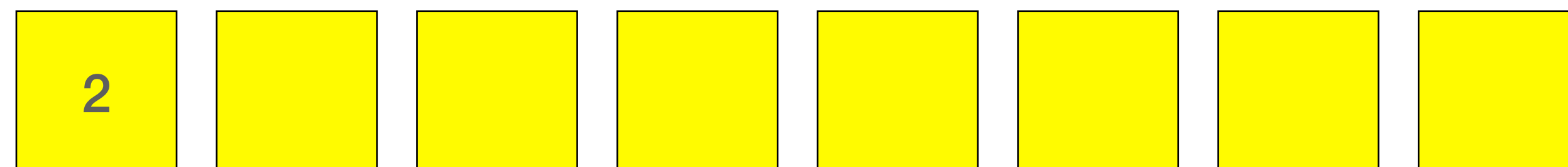
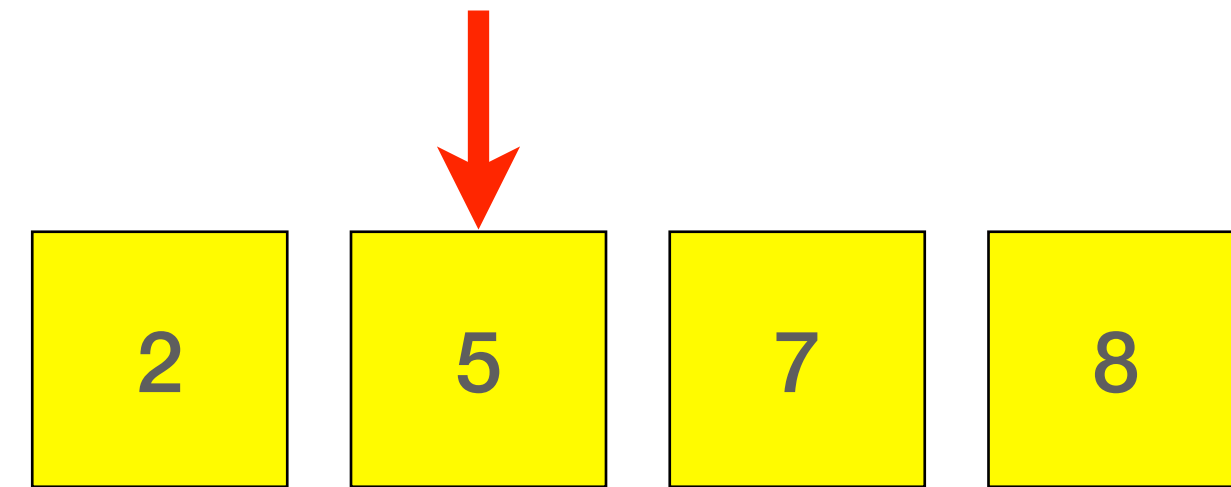
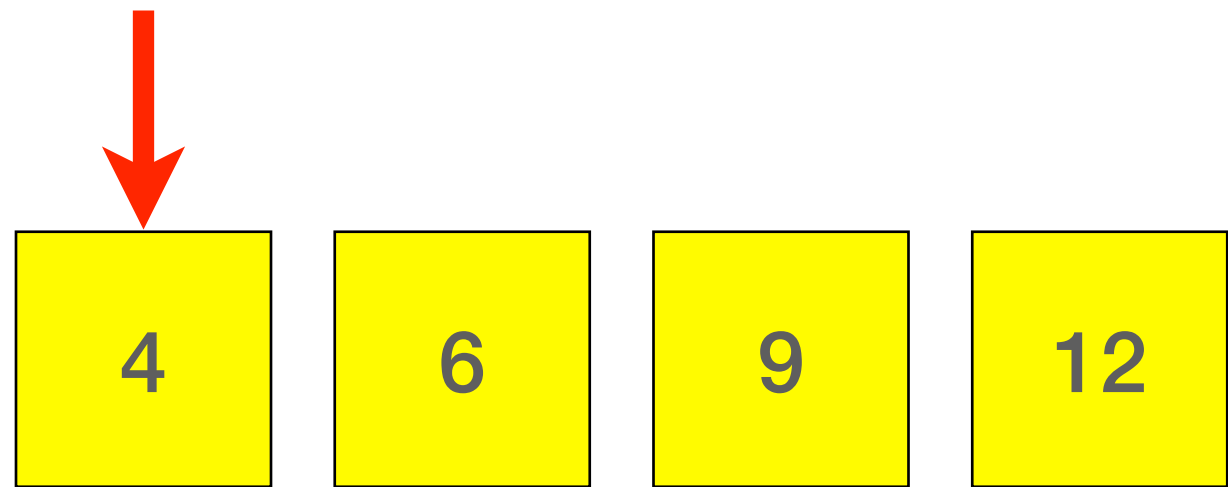
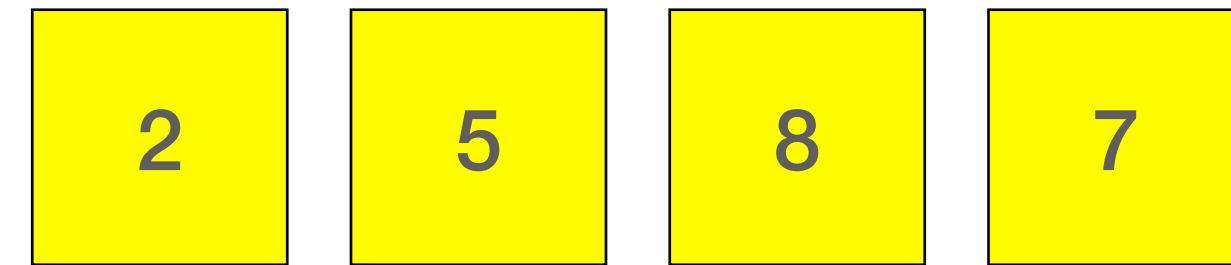
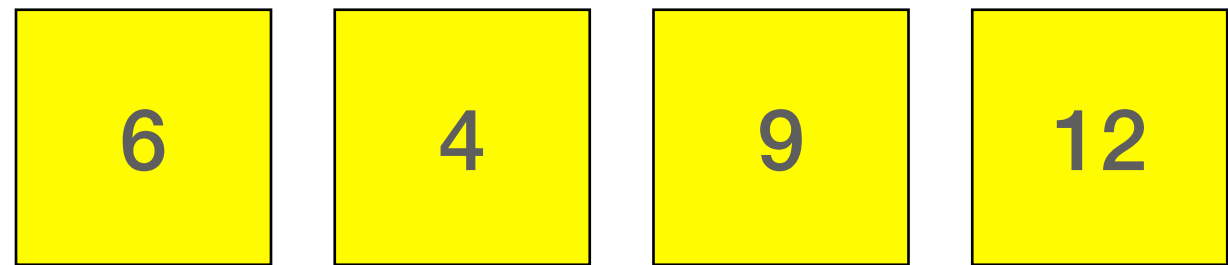
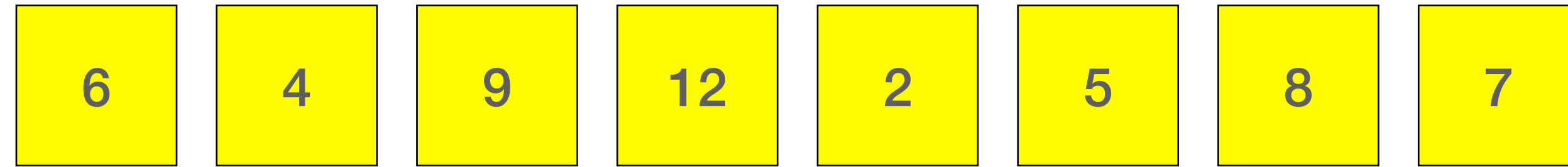
sort right half



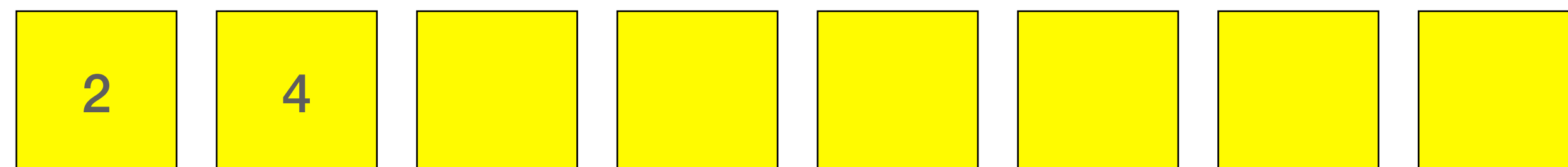
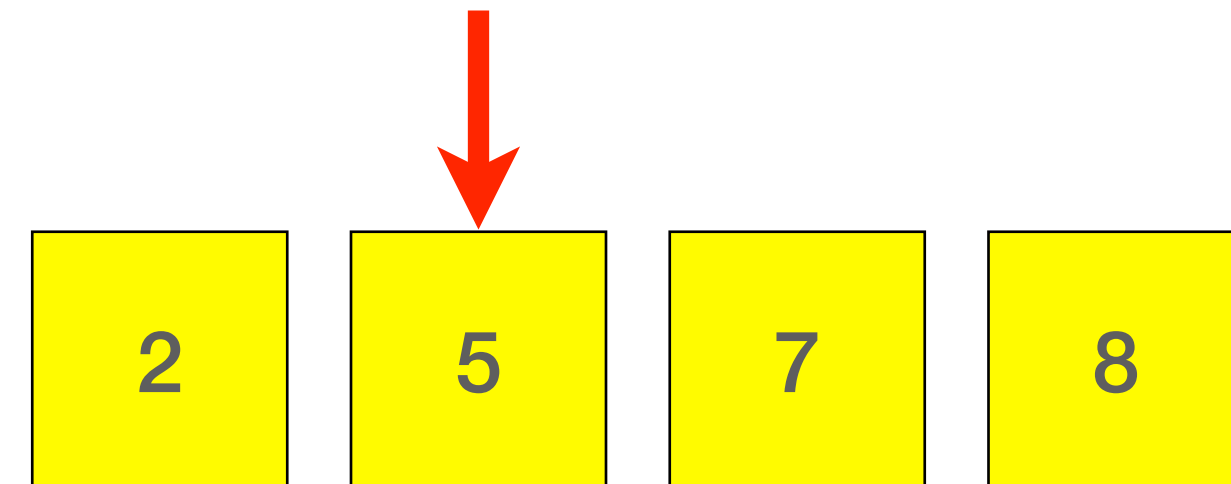
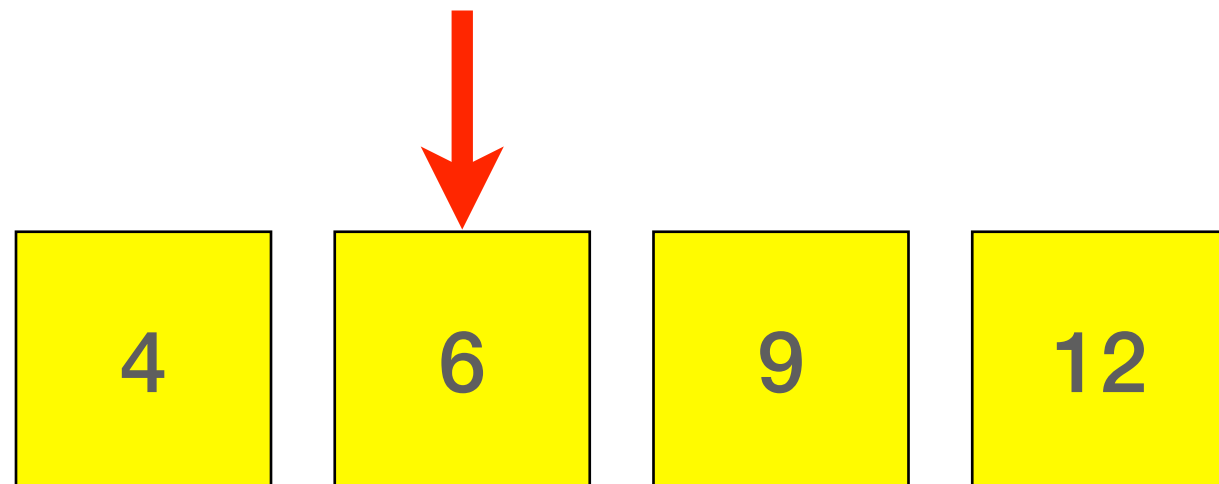
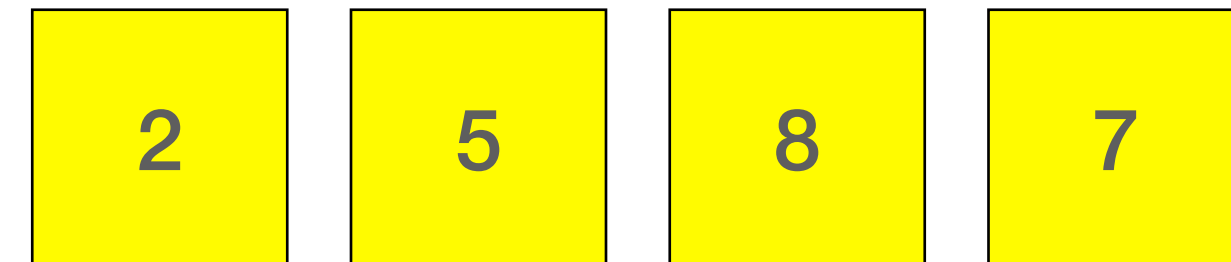
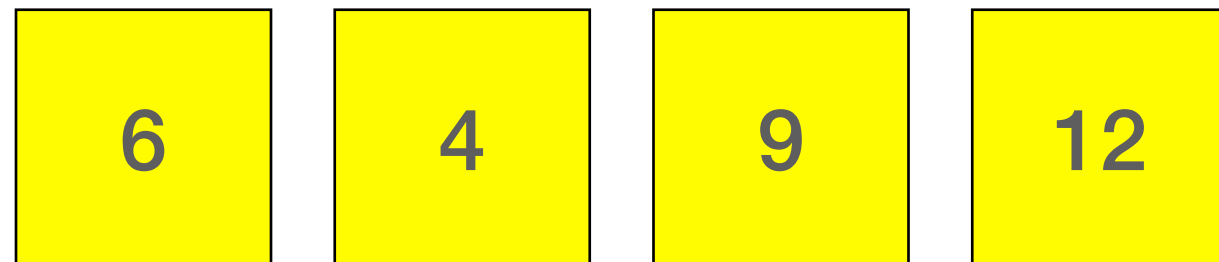
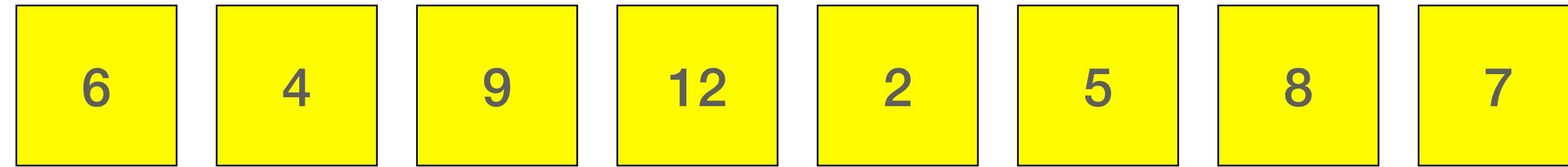
mergesort



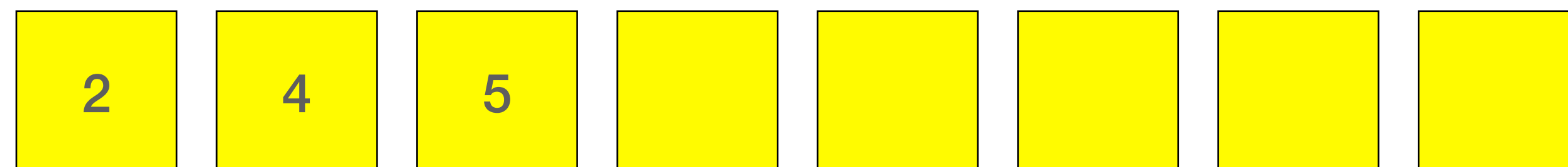
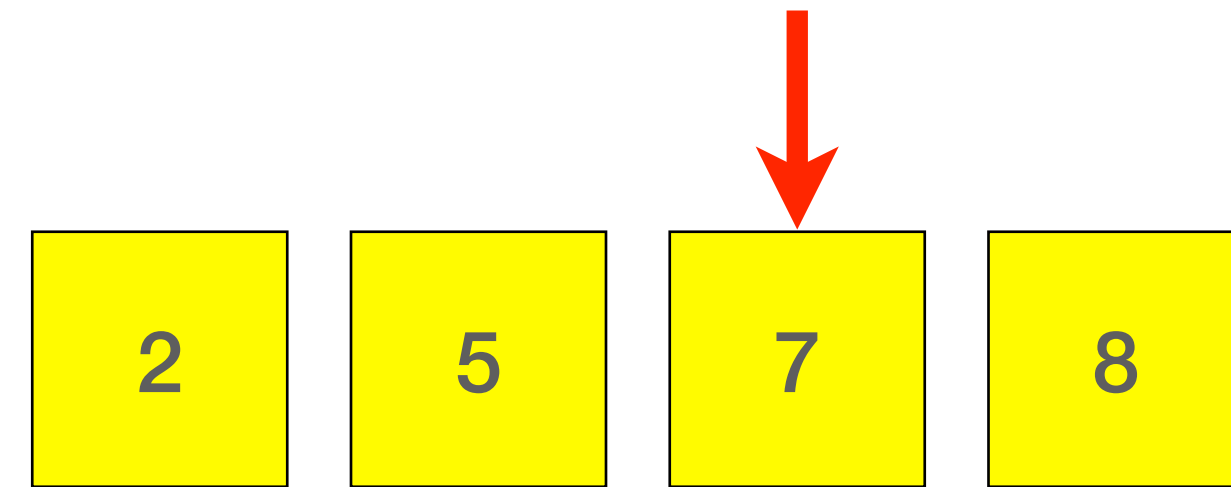
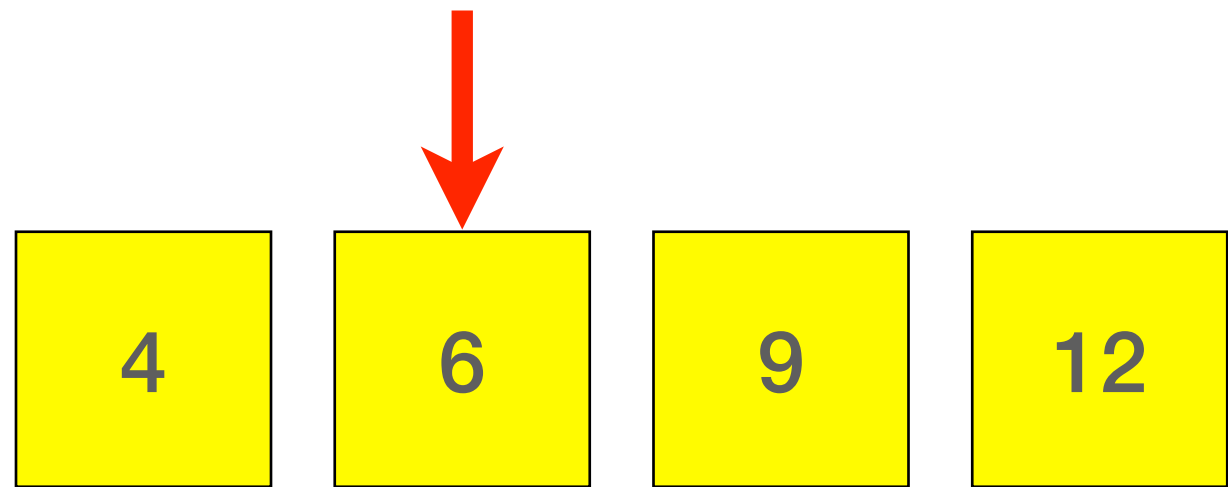
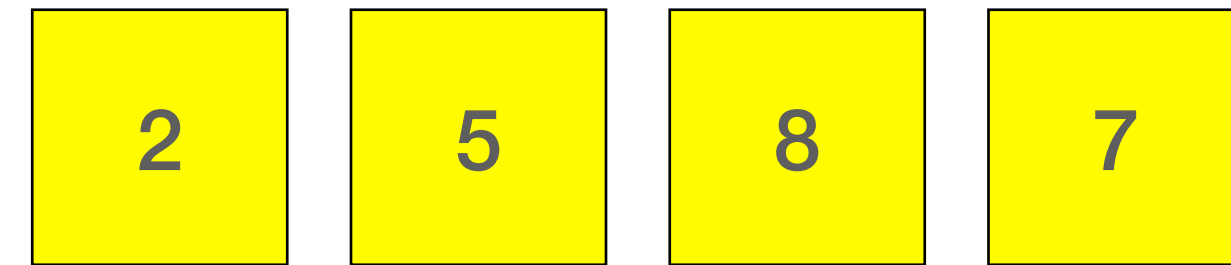
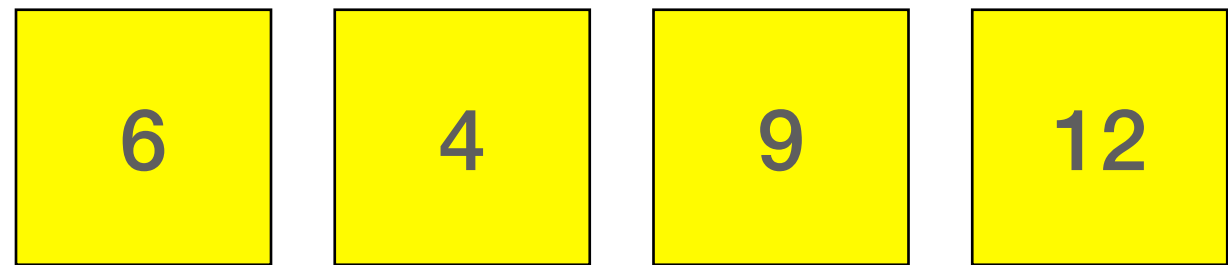
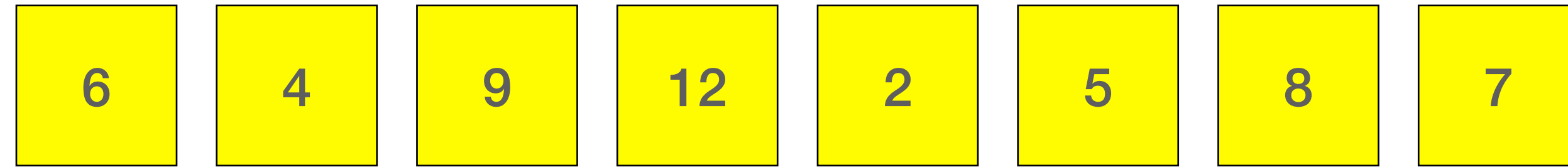
mergesort



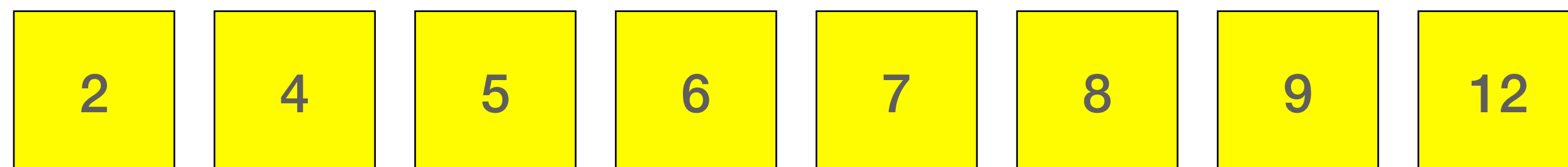
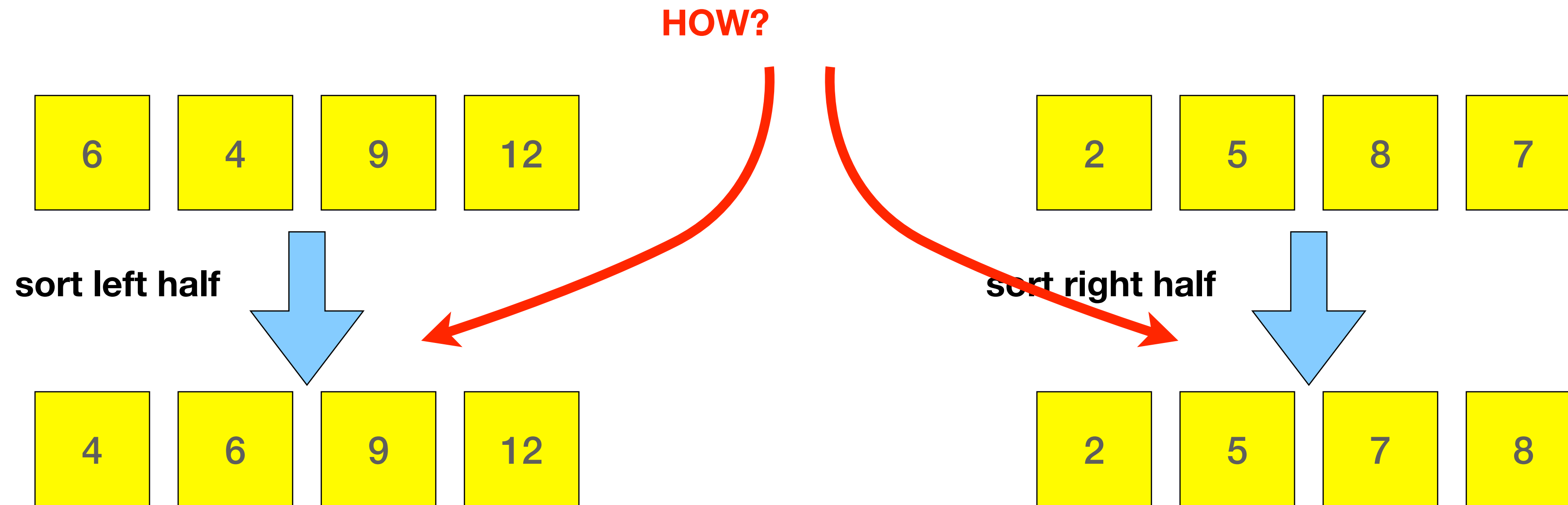
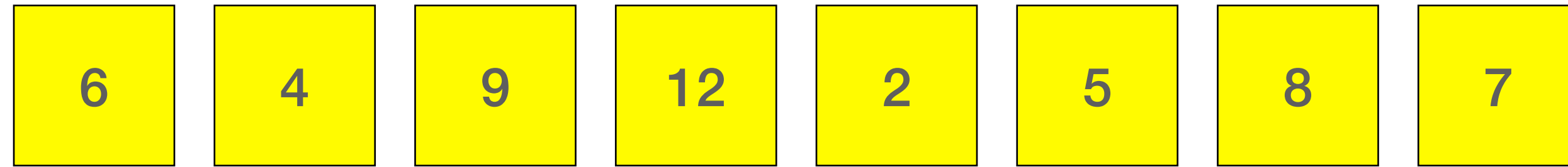
mergesort



mergesort



mergesort



mergesort(A, start, end)

1

2

3

4

5

mergesort(A, start, end)

- 1 `if start < end`
- 2 $q \leftarrow \lfloor (\text{start} + \text{end}) / 2 \rfloor$
- 3 `mergesort(A, start, q)`
`mergesort(A, q+1, end)`
- 4 `merge(A, start, q, end)`
- 5 `else ...`

mergesort(A, start, end)

- 1 **if** start < end
- 2 $q \leftarrow \lfloor (\text{start} + \text{end}) / 2 \rfloor$
mergesort(A, start, q)
mergesort(A, q+1, end)
- 3 merge(A, start, q, end)
- 4
- 5 else ...

```
MERGE(A[1..n], m):  
  i ← 1; j ← m + 1  
  for k ← 1 to n  
    if j > n  
      B[k] ← A[i]; i ← i + 1  
    else if i > m  
      B[k] ← A[j]; j ← j + 1  
    else if A[i] < A[j]  
      B[k] ← A[i]; i ← i + 1  
    else  
      B[k] ← A[j]; j ← j + 1  
  for k ← 1 to n  
    A[k] ← B[k]
```

mergesort(A, start, end)

Running time?

- 1 `if start < end`
- 2 `q ← ⌊(start + end)/2⌋`
- 3 `mergesort(A, start, q)`
`mergesort(A, q+1, end)`
- 4 `merge(A, start, q, end)`
- 5 `else ...`

$$T(n) = 2T(n/2) + n$$

show:

$$T(n) = 2T(n/2) + n$$

prove:

hypothesis:

base case:

inductive step:

$$T(n) = 2T(n/2) + n$$

prove:

$$T(n) = O(n \log n)$$

property:

$$T(n) < cn \log n \quad \text{for } c > 1$$

base case:

inductive step:

$$\underline{T(n)} = 2T(n/2) + n$$

goal is to show $T(n) = \Theta(n \log n)$

show: $T(n) \leq n \log n$

Proof: Base case holds for $n \leq 5$. Assume that the hypothesis holds for all $k \leq n$. Consider

$$T(n+1) = 2T\left(\frac{n+1}{2}\right) + (n+1)$$

$$\leq 2\left(\frac{n+1}{2}\right) \log\left(\frac{n+1}{2}\right) + n+1$$

$$= (n+1) [\log(n+1) - 1] + n+1$$

$$= (n+1) \log(n+1) - \cancel{(n+1)} + \cancel{n+1}$$

$$= (n+1) \log(n+1)$$

$$\frac{n+1}{2} < n, \Rightarrow T\left(\frac{n+1}{2}\right) \leq \left(\frac{n+1}{2}\right) \log\left(\frac{n+1}{2}\right)$$

ind
by hypothesis

$$\log\left(\frac{a}{b}\right) = \log(a) - \log(b)$$