

5800  
*Reductions*

apr5/apr7 2022  
shelat

WE HAVE BEEN SOLVING  
PROBLEM A BY SOLVING  
SMALLER VERSIONS OF  
PROBLEM A

MORE GENERAL IDEA:

SOLVE PROBLEM A BY

SOLVING PROBLEM B

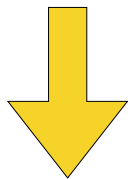
D&C, DP, or  
Greedy  
Instance of size N

D&C, DP, or  
Greedy  
Instance of size N

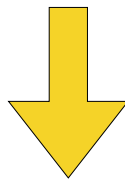


D&C, DP, or  
Greedy  
Instance of size <N

D&C, DP, or  
Greedy  
Instance of size <N



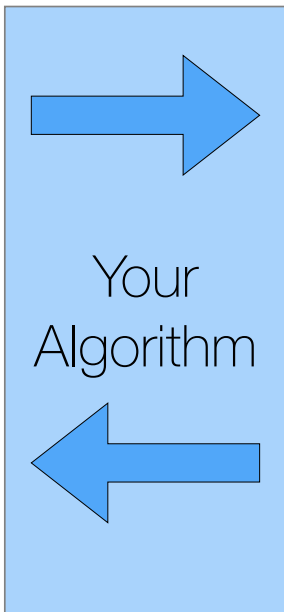
$S_L$



$S_R$

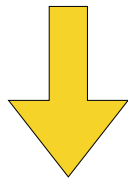
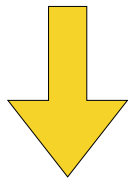
solutions to  
smaller instance

D&C, DP, or  
Greedy  
Instance of size N



D&C, DP, or  
Greedy  
Instance of size <N

D&C, DP, or  
Greedy  
Instance of size <N



S

solution to  
original problem

S<sub>L</sub>

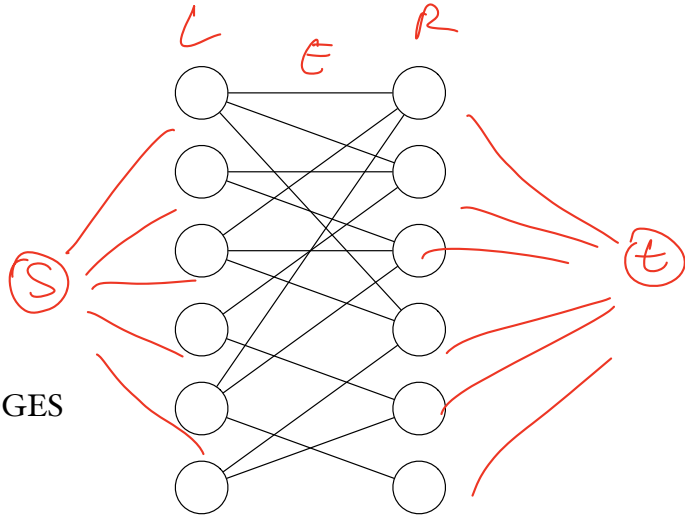
S<sub>R</sub>

solutions to  
smaller instance

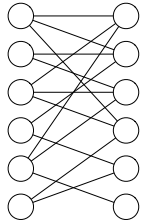
# Bipartite Matching Algorithm

BP(L,R,E)

1. MAKE NEW  $G'$  FROM INPUT  $G$ .
2. RUN FF ON  $G'$
3. OUTPUT ALL MIDDLE EDGES WITH FLOW  $F(E)=1$ .

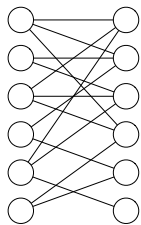


# Bipartite Matching Instance





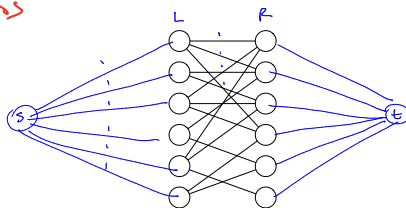
# Bipartite Matching Instance



how to transform



# Max flow Instance



→

IF  $G$  HAS A MATCHING OF SIZE  $K$ , THEN  $G$  HAS A MAXFLOW OF  $K$ .

Proof: (outline) Let  $M^*$  be the matching of size  $K$  for  $G$ .

Construct flow  $f$  to be

$$f(e) = 1 \text{ if } e \in M^*, \text{ and if } e = (x, y) \text{ then } f(s, x) = 1 \\ f(y, t) = 1$$

$\Rightarrow$  flow  $f$  satisfies ① capacity constraint

② flow constraint

$$\text{INFLOW}(x) = \text{OUTFLOW}(x)$$



$G'$   
HAS A FLOW OF  $K$ , THEN  $G$  HAS  $K$ -MATCHING.

① Our algorithm uses FF, so flow for  $G'$  is integral.

Now define  $M = \{ e \mid f(e) = 1 \text{ and } e = (x, y) \text{ s.t. } x \in L, y \in R \}$

Prove that  $M$  is a matching.

- All flows are integral & capacity  $c(e) = 1$ . so  $f(e) = 0$  or  $1$  for  $e \in E$ .

Thus for all  $\underbrace{v \in L}_{v \in R}$ ,  $v$  is incident to at most 1 edge in  $M$ .

By the flow constraint. By  $\text{min. cuts}$ ,  $|M| = K$

(L,R,E)  
Instances of  
Bipartite matching

① Your ALGORITHM

② proof

process step I

(G,s,t,c)  
instance of  
MAXFLOW

matching  $K$ .

flow of  
size  $K$

Solved by  
Running  
FF

matching  
 $M$

matching  $K$ .

③ proof

Step 3

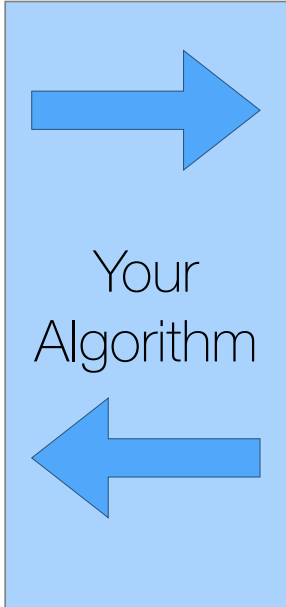
flow  $K$

flow  $f$

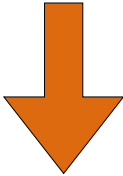
turn flow into

matching

$(L,R,E)$   
Instances of  
Bipartite matching



$(G,c)$   
Instances of  
Max Flow

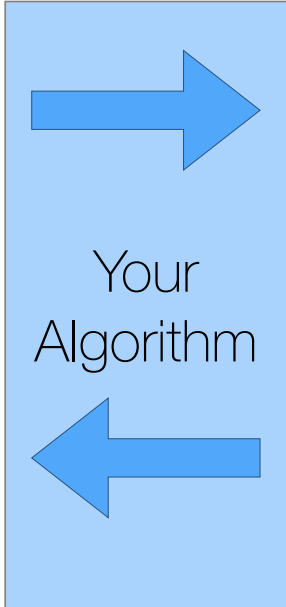


Ford-  
Fulkerson

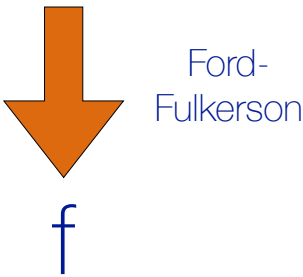
$f$

flow

(L,R,E)  
Instances of  
Bipartite matching



(G,c)  
Instances of  
Max Flow



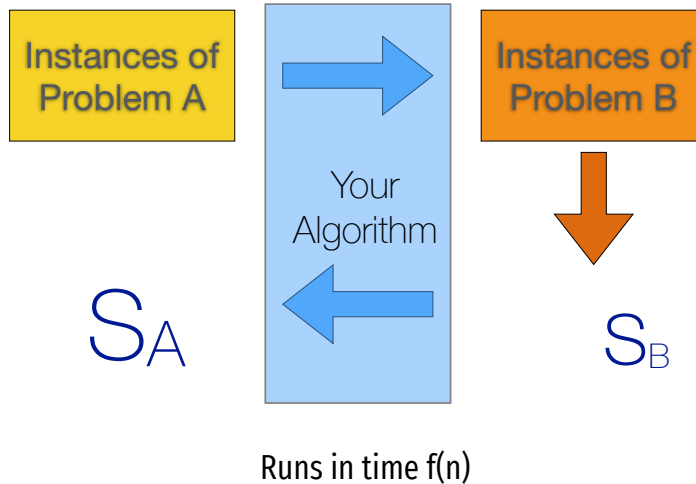
M  
matching

flow

# Reduction

A reduces in time  $f(n)$  to problem B.

$$\text{PROBLEM}_a \leq_{f(n)} \text{PROBLEM}_b$$

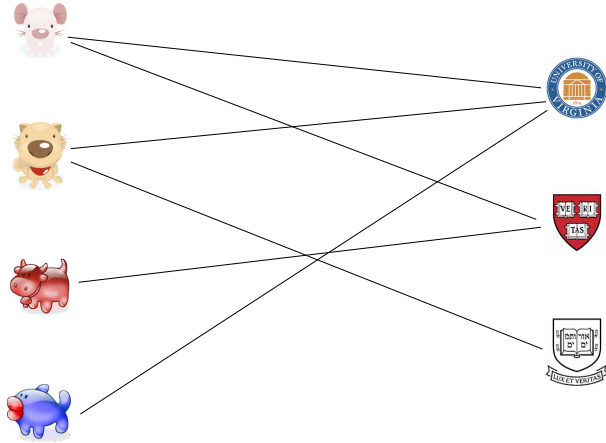


$$\text{PROBLEM}_a \leq_{f(n)} \text{PROBLEM}_b$$

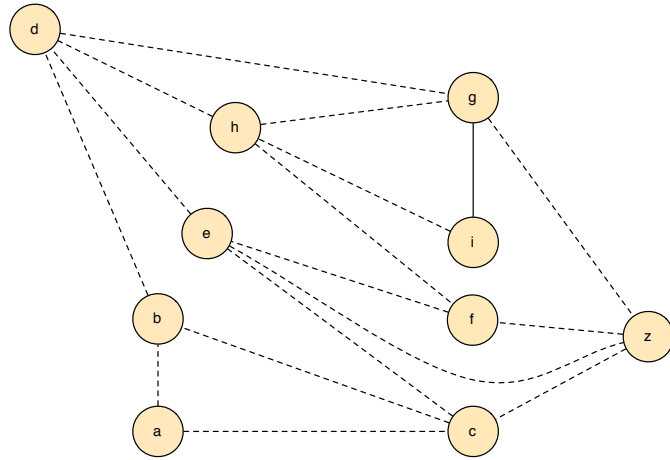
$$\exists c, d$$
$$\underbrace{T(\text{PROBLEM}_a(n))}_{\text{}} \leq \underbrace{f(n)}_{\text{}} + \underbrace{c}_{\text{}} \underbrace{T(\text{PROBLEM}_b(dn))}_{\text{}}$$



# Maximum bipartite



# edge-disjoint paths

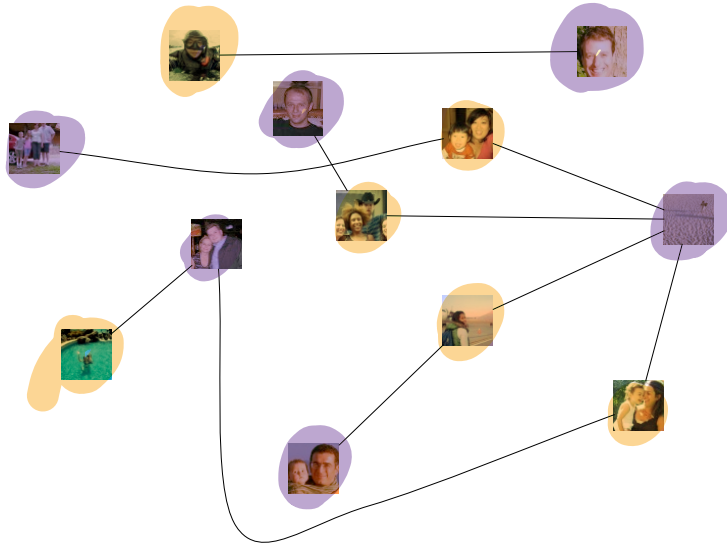


MAXBIPARTITE  $\prec_{E+V}$  MAXFLOW

MAXEDGEDISJ  $\prec_{E+V}$  MAXFLOW

↳ "reduces in time  $E+V$  to MAXflow"

# party problem



an edge corresponds  
to a conflict  
b/w your  
friends.

- goal:  
find the  
largest set  
of your  
friends that  
get along w/ea  
other

Graph of friends who do not get along with one another

# Def: independent set

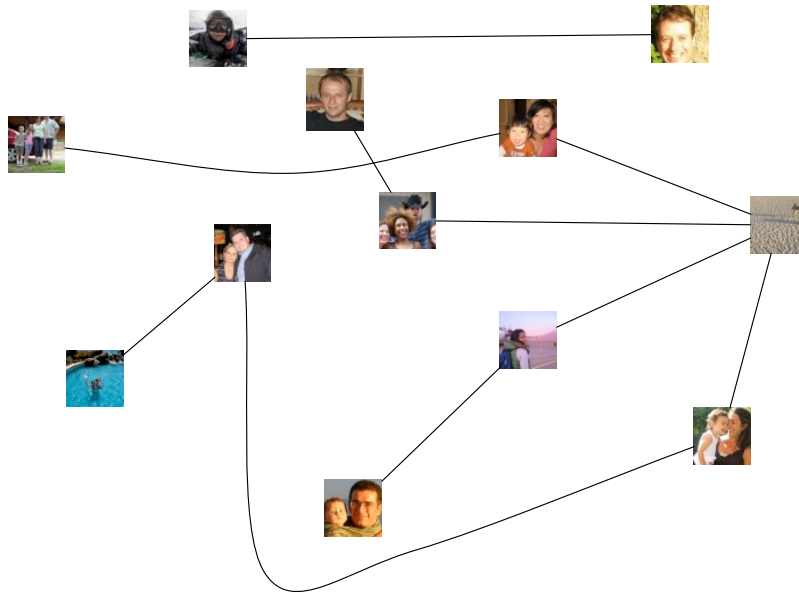
goal: find the largest independent set in  $G = (V, E)$

INDSET: A subset of the vertices  $S \subseteq V$   
such that no two nodes  $x, y \in S$  have an  
edge between them, i.e.  $(x, y) \notin E$ .

# Def: independent set

Def: For a graph  $G$ , a set  $S \subseteq V$  is an **independent set** if no two nodes in  $S$  are joined by an edge.

# example



goal:

Given a graph  $G=(V,E)$ ,

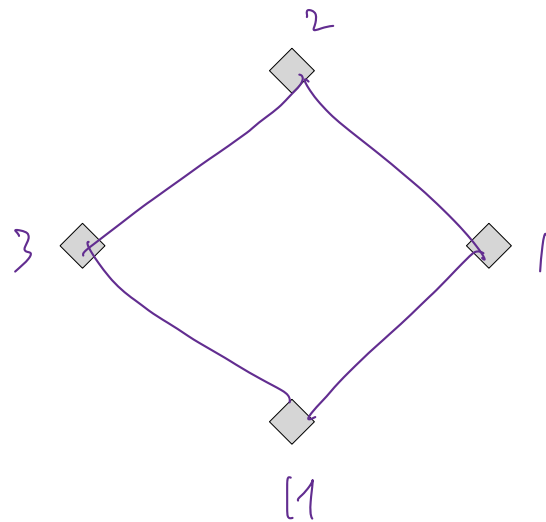


goal:

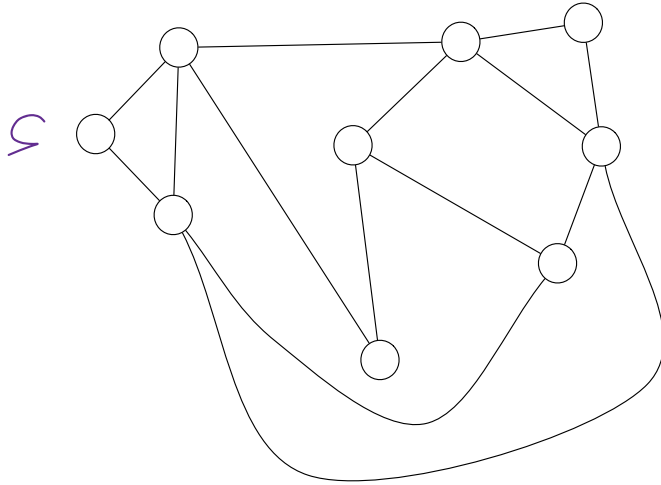
Given a graph  $G=(V,E)$ , find the largest or max independent set.

This represents the largest group of people who are conflict free.

# baseball



# baseball



Imagine a scalable,  
abstract version of  
baseball or “n”  
players.

A **vertex cover** of a graph  $G=(V,E)$  is a

subset of nodes  $C \subseteq V$  such that

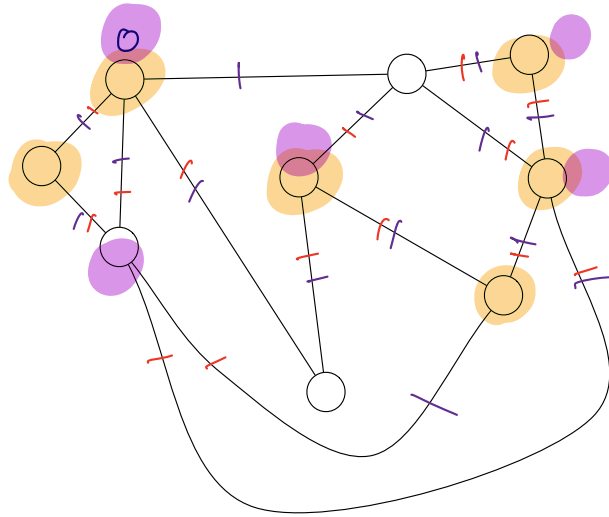
for each  $e=(x,y) \in E$ , either

$x \in C$  or  $y \in C$ .

A **vertex cover** of a graph  $G=(V,E)$  is a

Set of nodes  $S$  such that for each edge  $e = (x, y) \in E$ , either  $x \in S$  or  $y \in S$ .

# example



G  
~

goal:

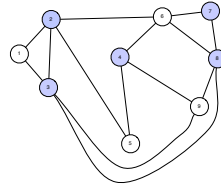
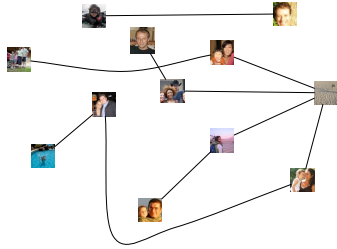
given a graph  $G, = (V, E)$  find the  
smallest set cover.

goal:

given a graph  $G$ ,

Find the minimum sized vertex cover for  $G$ .





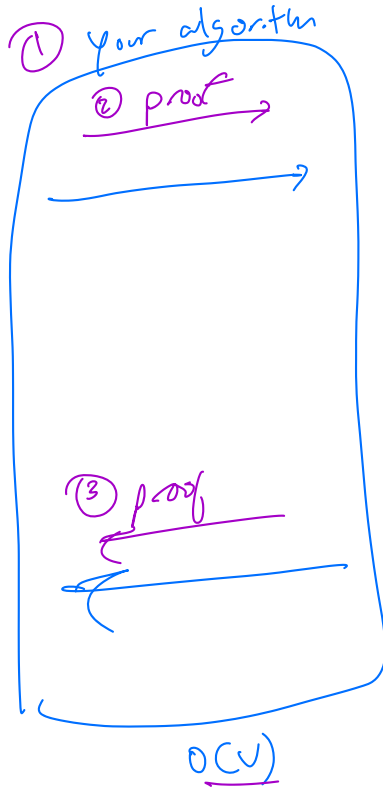
$$\text{MAXINDSET} \leq_{O(V)} \text{MINVERTEXCOVER}$$

A solution to VC can be used to solve INDSET.

# What is required to show this reduction?

no set instance  
 $G = (V, E)$

independent  
set cover



instance of  
min vertex  
cover

↓ any  
solution

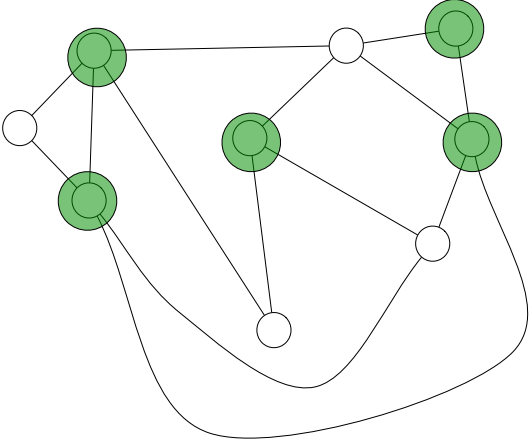
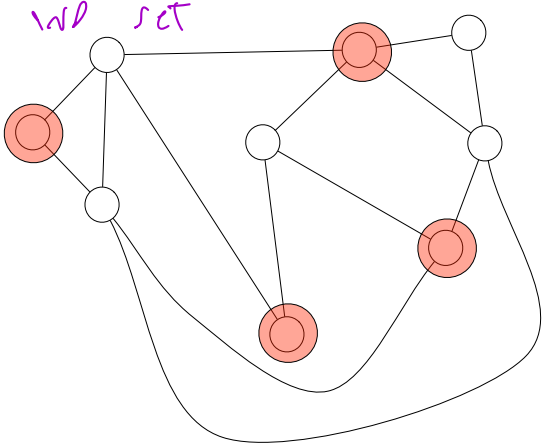
C

**THM:** Set  $S$  is a vertex cover for  $G = (V, E)$

IF AND ONLY IF  $(V - S)$  is an IND set of  $G$ .

**THM:** For a graph  $G=(V,E)$ ,  $S$  is a vertex cover of  $G$  if and only if  $(V-S)$  is an independent set of  $G$ .

**THM:** SET  $S$  IS AN INDEPENDENT SET OF  $G$  IFF  $V-S$  IS A VERTEX COVER.



**THM:** SET  $S$  IS AN INDEPENDENT SET OF  $G$  IFF  $V-S$  IS A VERTEX COVER.

$S$  INDEP SET  $\Rightarrow (V-S)$  IS A VERTEX COVER.

SUPPOSE  $S$  IS AN INDEPENDENT SET.

Consider any edge  $e = (x, y) \in E$ .

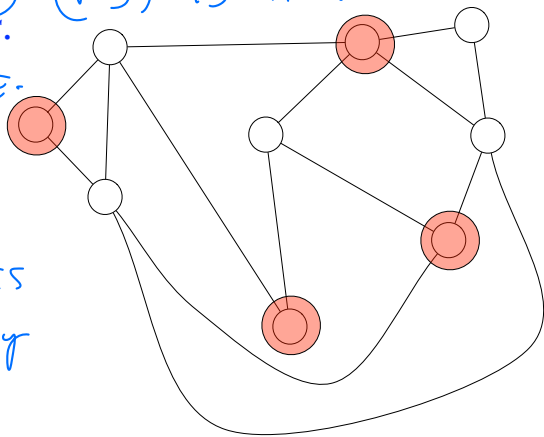
① If  $x \in S$ , then  $y \notin S$

$\Rightarrow y \in (V-S)$ . edge  $e$  is covered by  $(V-S)$

② If  $x \notin S$ , then  $x \in (V-S)$

and again,  $e$  is covered.

This holds for every edge  $e \in E$ . So  $(V-S)$  is a vertex cover.



goal is to show  
 $(V-S)$  is a  
vertex  
cover.

**THM:** SET  $S$  IS AN INDEPENDENT SET OF  $G$  IFF  $V-S$  IS A VERTEX COVER.

SUPPOSE  $V-S$  IS A VC.

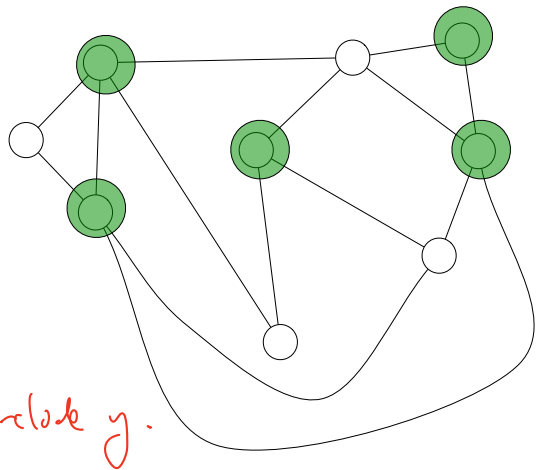
Consider any  $x \in S$  and any edge  $e = (x, y) \in E$ .

① Since  $x \notin (V-S)$ , then  $y \in (V-S)$

why? Because  $(V-S)$  is a vertex cover, so it must include  $y$ .

② This implies that  $y \notin S$ .

This is true for every  $x \in S$  and every incident edge  $e = (x, y) \in E \Rightarrow S$  is independent set.

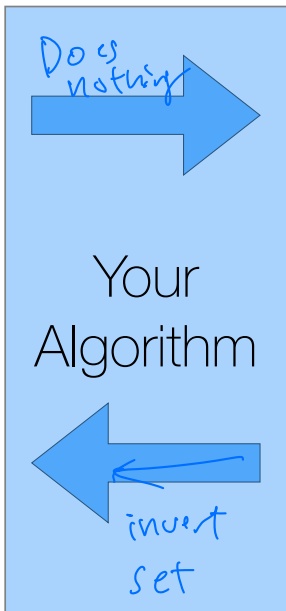


Show that  
 $S$  is an  
IND set.

(G)  
Instances of  
MinVertex Cover

V-S

Vertex Cover



$O(V)$

(G)  
Instances of  
MaxIndSet

S

Ind Set

any solution



# 3sat problem

input: Boolean formula in 3CNF form: conjunction of clauses, each clause includes 3 variables

example:  $(a \text{ or } B \text{ or } C) \text{ AND } (d \text{ or } \bar{a} \text{ or } \bar{b}) \text{ AND } (\dots)$

output: find an assignment to all the variables that makes the formula TRUE.

# 3sat problem

input: Boolean formula in 3CNF, i.e., a logical AND of clauses of the OR of 3 variables.

output:

# 3sat problem

**input:** Boolean formula in 3CNF, i.e., a logical AND of clauses of the OR of 3 variables.

**output:** An assignment  $A:V \rightarrow \{T,F\}$  of variables that make the formula evaluate to True.

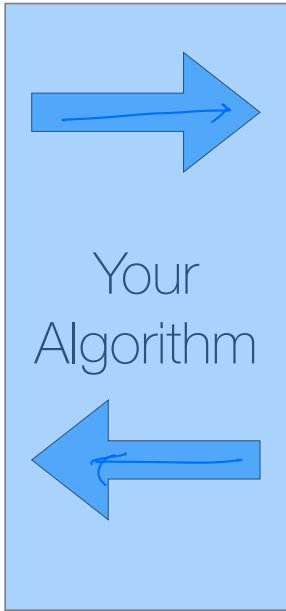
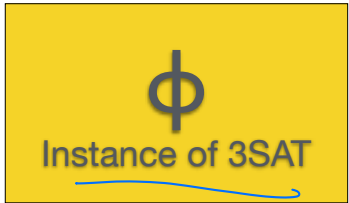
# 3sat example

$$(x \vee y \vee z) \wedge (x \vee \bar{y} \vee y) \wedge (u \vee y \vee \bar{z}) \wedge (z \vee \bar{x} \vee u) \wedge (\bar{x} \vee \bar{y} \vee \bar{z})$$

$3\text{SAT} \leq_p \text{INDSET}$

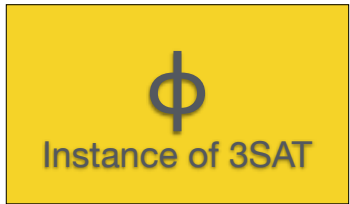
$$(x \vee y \vee z) \wedge (x \vee \bar{y} \vee y) \wedge (u \vee y \vee \bar{z}) \wedge (z \vee \bar{x} \vee u) \wedge (\bar{x} \vee \bar{y} \vee \bar{z})$$

what must we do to?



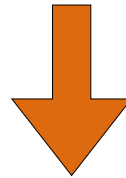
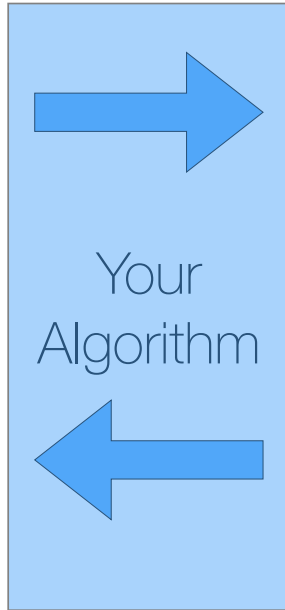
Assignment to  
of variables  
{T, F}

any  
solution  
S



A

A satisfying  
assignment



S

Ind Set



These arguments often follow a common pattern.

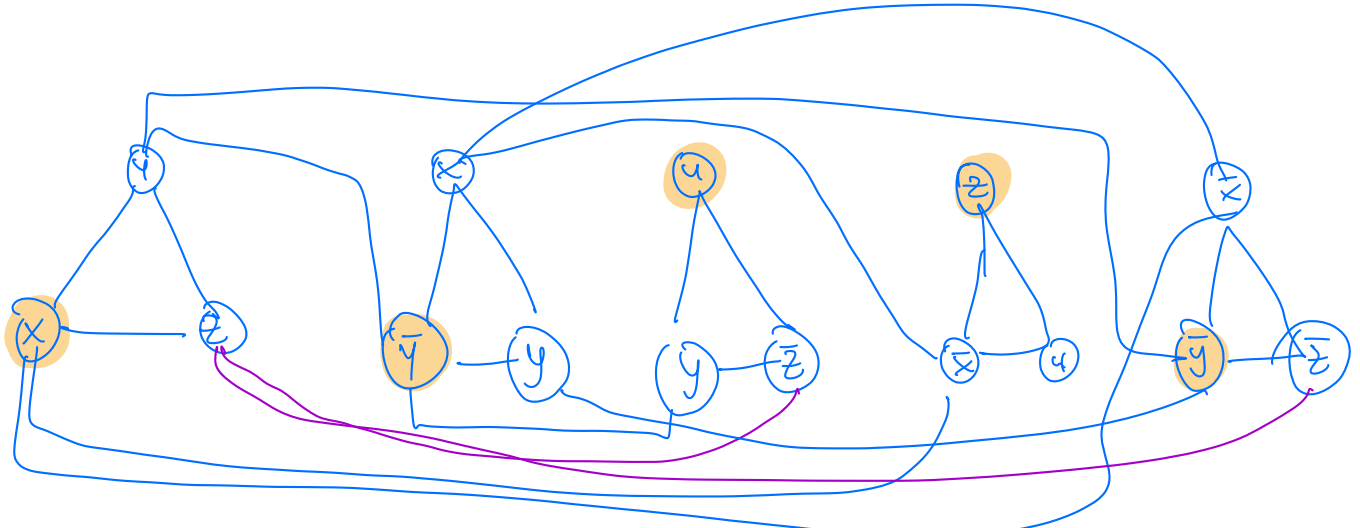
They involve a **gadget** that explains how to map aspects of one problem into another problem



# $3SAT \leq_p INDSET$

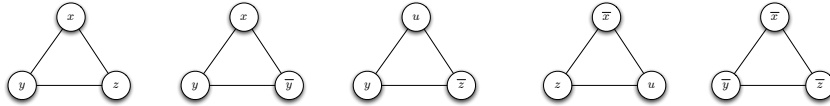
$K$  clauses

$$(x \vee y \vee z) \wedge (x \vee \bar{y} \vee y) \wedge (u \vee y \vee \bar{z}) \wedge (z \vee \bar{x} \vee u) \wedge (\bar{x} \vee \bar{y} \vee \bar{z})$$

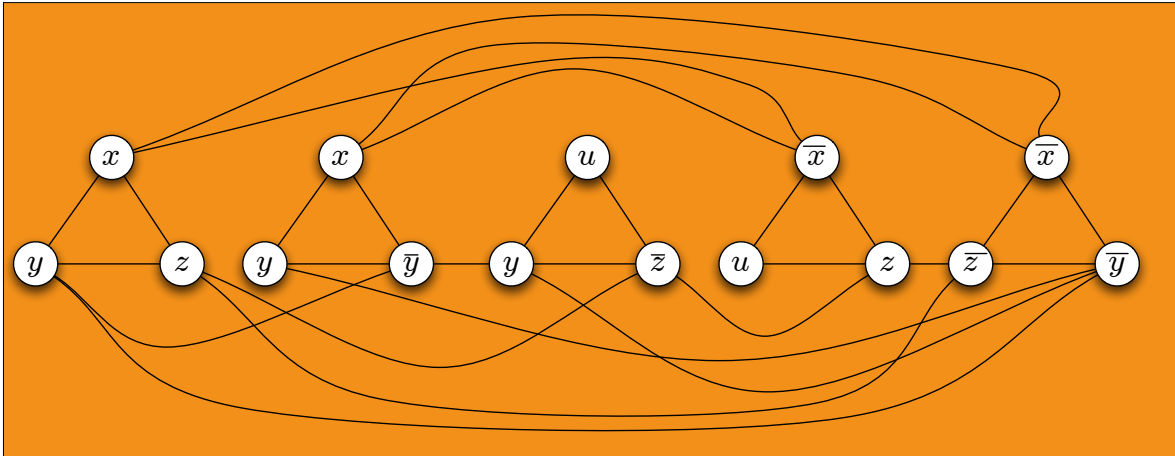


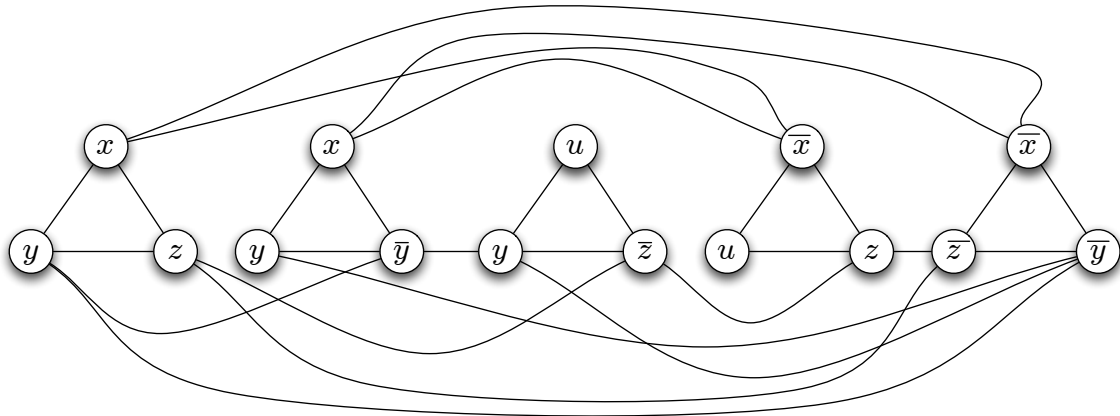
# $3\text{SAT} \leq_p \text{INDSET}$

$$(x \vee y \vee z) \wedge (x \vee \bar{y} \vee y) \wedge (u \vee y \vee \bar{z}) \wedge (z \vee \bar{x} \vee u) \wedge (\bar{x} \vee \bar{y} \vee \bar{z})$$



$$(x \vee y \vee z) \wedge (x \vee \bar{y} \vee y) \wedge (u \vee y \vee \bar{z}) \wedge (z \vee \bar{x} \vee u) \wedge (\bar{x} \vee \bar{y} \vee \bar{z})$$





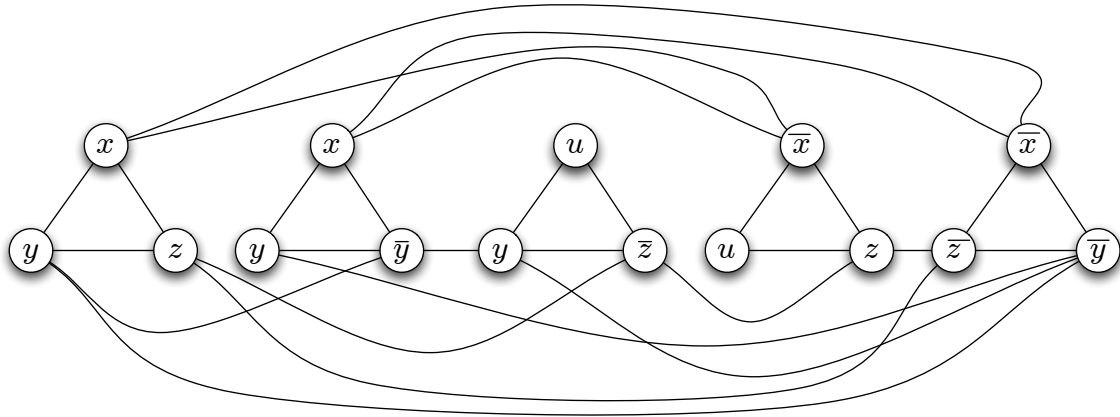
$k$  clause

$\phi \in \text{SAT} \implies \exists$  an independent set  $S$  of size  $k$

① each clause has some variable which makes it true.

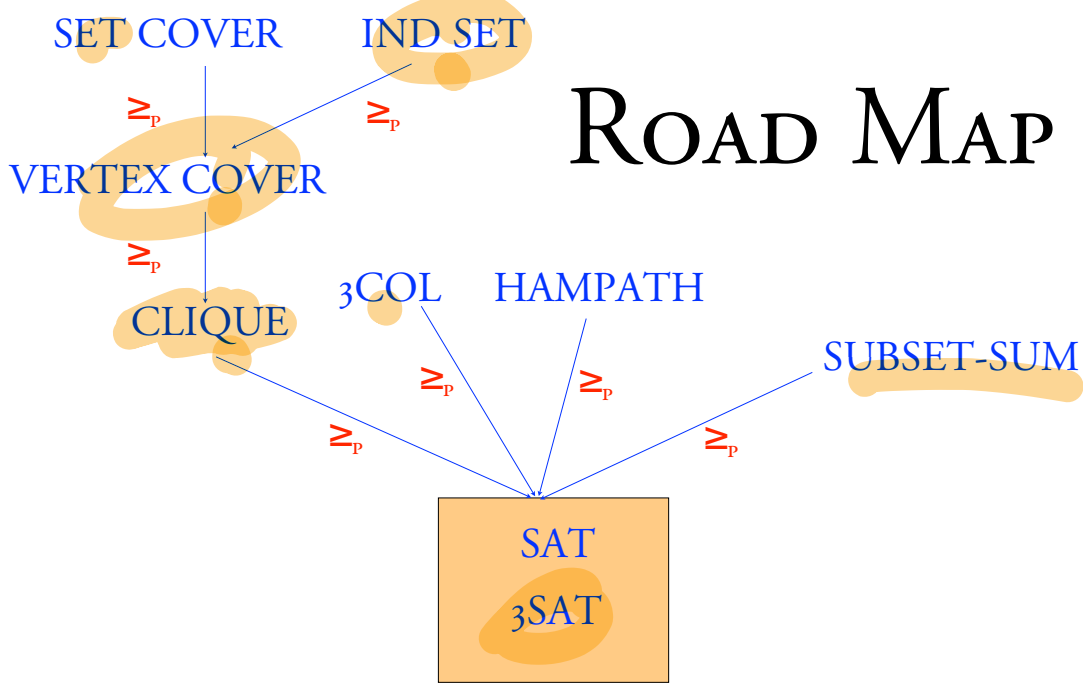
select 1 such variable for each clause, and add its corresponding node to  $S$ .

②  $S$  is an independent set. If  $x \in S$ ,  $x$  was true,  $\bar{x}$  was false and thus no instance of  $\bar{x}$  could be chosen to be added to  $S$ .  $\implies$  each triangle has exactly 1 selected node.

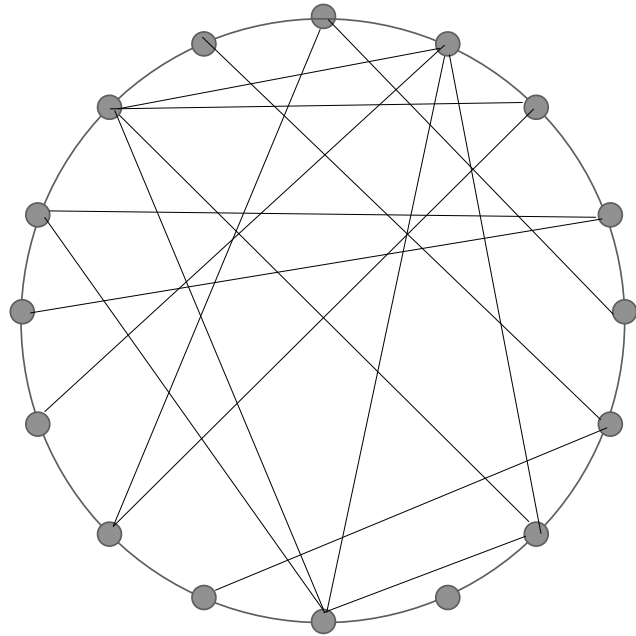


$(G, k) \in \text{INDSET} \implies$  (for you at home) V20  
 the original formula is satisfiable.

# ROAD MAP



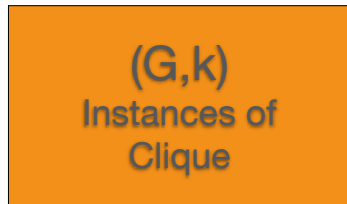
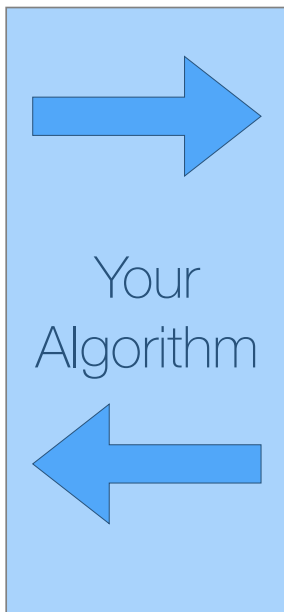
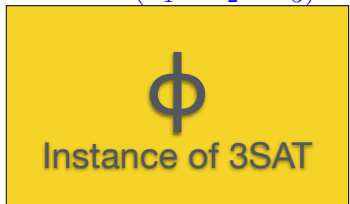
clique



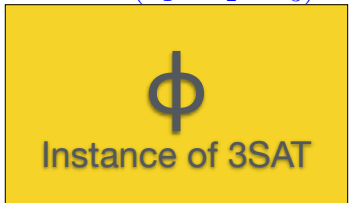
clique = {



$$\phi = (x_1 \vee x_2 \vee x_3) \wedge (\overline{x_1} \vee \overline{x_2} \vee x_3) \wedge (\overline{x_1} \vee x_2 \vee \overline{x_3})$$

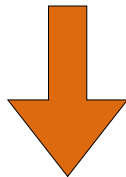
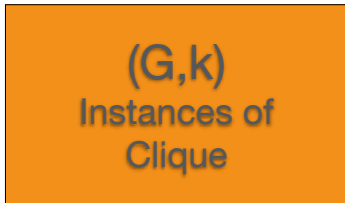
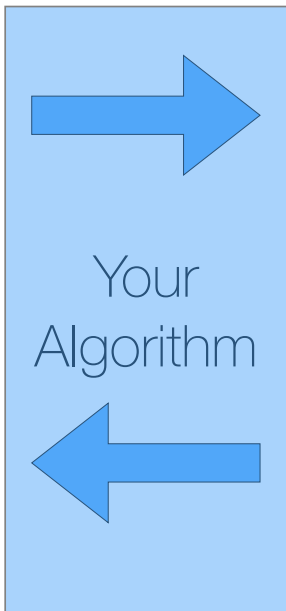


$$\phi = (x_1 \vee x_2 \vee x_3) \wedge (\overline{x_1} \vee \overline{x_2} \vee x_3) \wedge (\overline{x_1} \vee x_2 \vee \overline{x_3})$$



A

A satisfying assignment



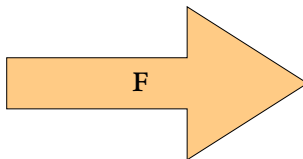
S

Ind Set

# CLIQUE

FORMULA

$$\phi = \begin{aligned} &(x_1 \vee x_2 \vee x_3) \\ &\wedge (\overline{x_1} \vee \overline{x_2} \vee x_3) \\ &\wedge (\overline{x_1} \vee x_2 \vee \overline{x_3}) \end{aligned}$$



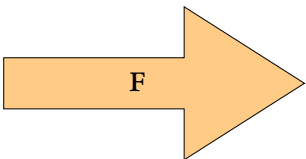
GRAPH, K

K = # CLAUSES

# CLIQUE

FORMULA

$$\phi = (x_1 \vee x_2 \vee x_3) \\ \wedge (\overline{x_1} \vee \overline{x_2} \vee x_3) \\ \wedge (\overline{x_1} \vee x_2 \vee \overline{x_3})$$



GRAPH, K

K = # CLAUSES

CREATE 3 NODES/CLAUSE

$x_1$

$x_2$

$x_3$

$\overline{x_1}$

$\overline{x_2}$

$x_3$

$\overline{x_1}$

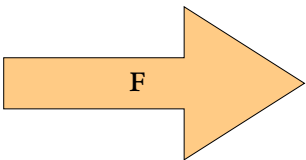
$x_2$

$\overline{x_3}$

# CLIQUE

FORMULA

$$\phi = (x_1 \vee x_2 \vee x_3) \wedge (\overline{x_1} \vee \overline{x_2} \vee x_3) \wedge (\overline{x_1} \vee x_2 \vee \overline{x_3})$$

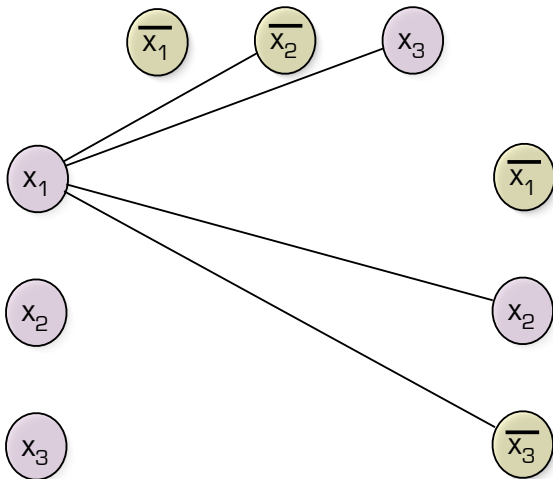


GRAPH, K

K = # CLAUSES

Create 3 nodes/clause

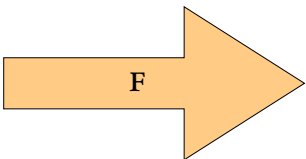
Connect nodes to  
“non-opposites”



# CLIQUE

FORMULA

$$\phi = (x_1 \vee x_2 \vee x_3) \wedge (\overline{x_1} \vee \overline{x_2} \vee x_3) \wedge (\overline{x_1} \vee x_2 \vee \overline{x_3})$$

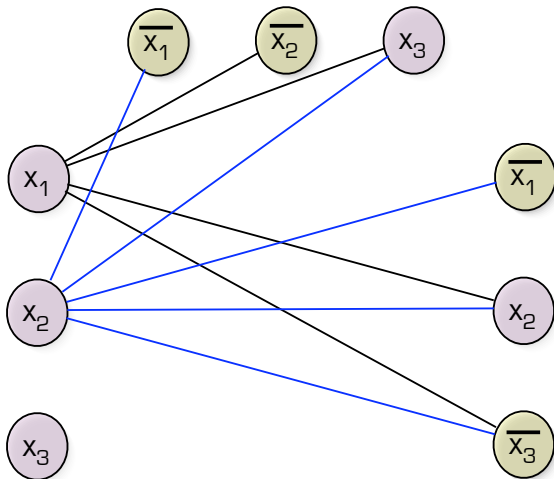


GRAPH, K

K = # CLAUSES

Create 3 nodes/clause

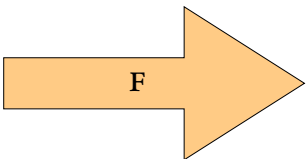
Connect nodes to  
“non-opposites”



# CLIQUE

FORMULA

$$\phi = (x_1 \vee x_2 \vee x_3) \wedge (\overline{x_1} \vee \overline{x_2} \vee x_3) \wedge (\overline{x_1} \vee x_2 \vee \overline{x_3})$$

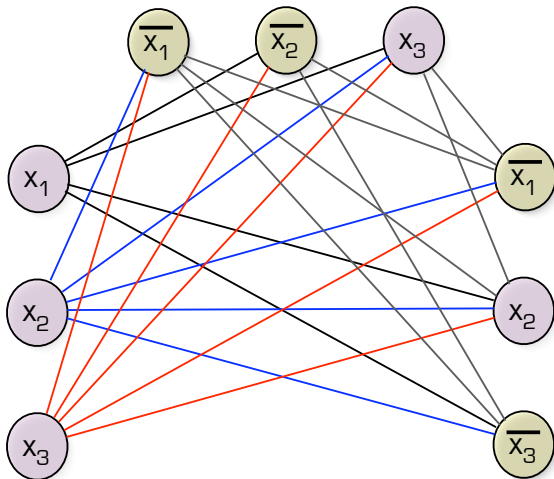


GRAPH, K

K = # CLAUSES

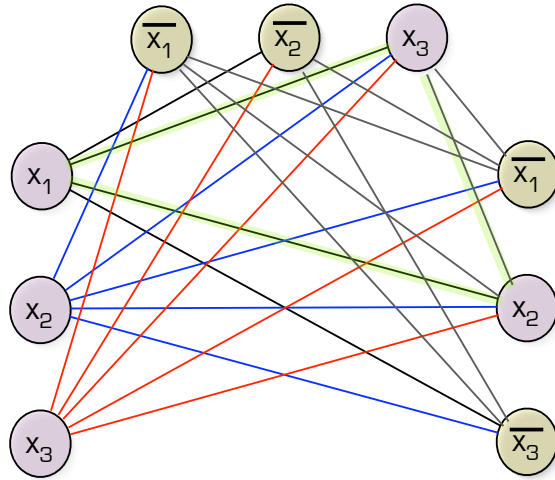
Create 3 nodes/clause

Connect nodes to  
“non-opposites”



# CLIQUE

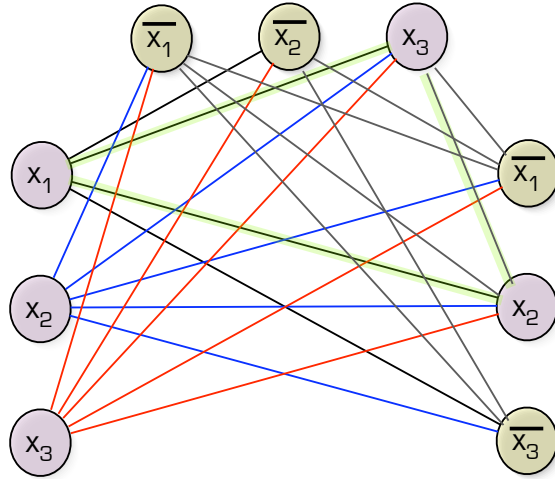
$$\phi = (x_1 \vee x_2 \vee x_3) \wedge (\overline{x_1} \vee \overline{x_2} \vee x_3) \wedge (\overline{x_1} \vee x_2 \vee \overline{x_3})$$





# CLIQUE

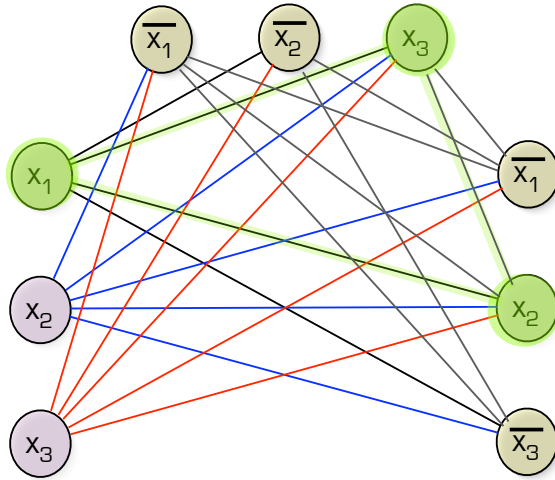
$$\phi = (x_1 \vee x_2 \vee x_3) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge (\bar{x}_1 \vee x_2 \vee \bar{x}_3)$$



SATISFYING ASSIGNMENT = 1 VAR/CLAUSE

# CLIQUE

$$\phi = (x_1 \vee x_2 \vee x_3) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge (\bar{x}_1 \vee x_2 \vee \bar{x}_3)$$

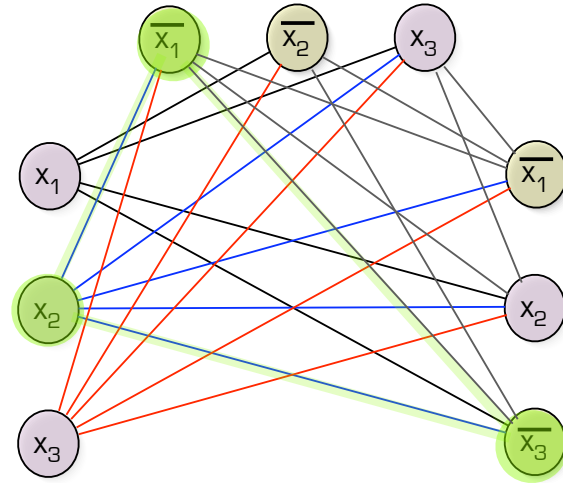


SATISFYING ASSIGNMENT = 1 VAR/CLAUSE

K “NON-OPPOSITE” CONNECTED NODES

# CLIQUE

$$\phi = (x_1 \vee x_2 \vee x_3) \wedge (\overline{x_1} \vee \overline{x_2} \vee x_3) \wedge (\overline{x_1} \vee x_2 \vee \overline{x_3})$$

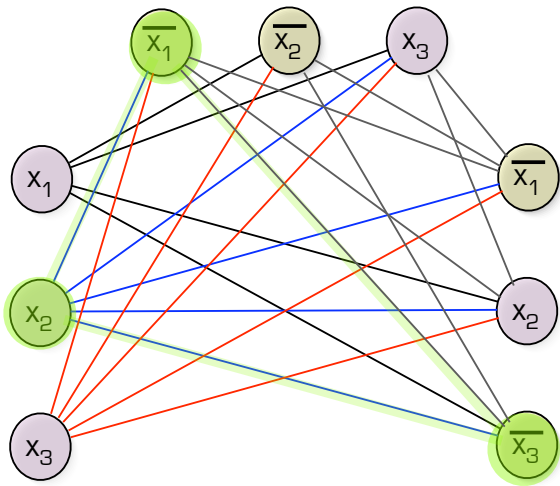


K-CLIQUE

I NODE/CLAUSE IS TRUE

# CLIQUE

$$\phi = (x_1 \vee x_2 \vee x_3) \wedge (\overline{x_1} \vee \overline{x_2} \vee x_3) \wedge (\overline{x_1} \vee x_2 \vee \overline{x_3})$$



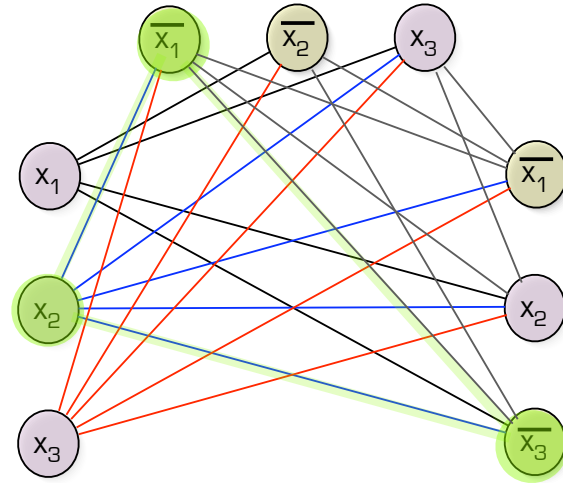
K-CLIQUE

1 NODE/CLAUSE IS TRUE

SATISFYING ASSIGNMENT

# CLIQUE

$$\phi = \begin{aligned} & (x_1 \vee x_2 \vee x_3) \\ & \wedge (\overline{x_1} \vee \overline{x_2} \vee x_3) \\ & \wedge (\overline{x_1} \vee x_2 \vee \overline{x_3}) \end{aligned}$$



$$\phi \in SAT \iff f(\phi) \in CLIQUE$$

# Theory of NP



# Languages



# DEF OF NP

a language  $L$  belongs to the class NP iff  
there exists a **polynomial time algorithm**  $A$   
and a **constant**  $c$  such that

$$L = \{x \in \{0, 1\}^* \mid \exists y \in \{0, 1\}^{|x|^c} \text{ s.t. } A(x, y) = 1\}$$

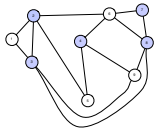
# NP-Completeness

A LANGUAGE  $L$  IS NP-COMPLETE IF

1.  $L \in \text{NP}$
2.  $\forall A \in \text{NP}, A \leq_P L$

“ $L$  IS AMONG THE HARDEST NP PROBLEMS”

# WHY IS VC IN NP?



vertexcover(G,k)

# COOK-LEVIN THEOREM



WHAT IS THE HARDEST  
PROBLEM IN NP?

# Cook-Levin theorem

$$\forall L \in \text{NP}$$

$$L \leq_f \text{3SAT}$$

# ROAD MAP

SET COVER  
IND SET  
↓  $\mathcal{M}_P$      ↓  $\mathcal{M}_P$   
VERTEX COVER

↓  $\mathcal{M}_P$   
CLIQUE

3COL

HAMPATH

SUBSET-SUM

SAT  
3SAT

COOK-LEVIN THM

ALL OF NP

